

2H7scFv-Ig cDNA and predicted amino acid sequence:

```
HindIII      |2H7 VL Leader Peptide→
~~~~~
      M D F Q V Q I F S F L L I S A S
1  AAGCTTGCCG CCATGGATT TCAAGTGCAG ATTTTCAGCT TCCTGCTAAT CAGTGCTTCA

      |2H7 VL→
      V I I A R G Q I V L S Q S P A I L S A S
61  GTCATAATTG CCAGAGGACA AATTGTTCTC TCCCAGTCTC CAGCAATCCT GTCTGCATCT

      P G E K V T M T C R A S S S V S Y M H W
121  CCAGGGGAGA AGGTCACAAT GACTTGCAGG GCCAGCTCAA GTGTAAGTTA CATGCACTGG

      BamHI
      ~~~~~
      Y Q Q K P G S S P K P W I Y A P S N L A
181  TACCAGCAGA AGCCAGGATC CTCCCCAAA CCCTGGATT ATGCCCCATC CAACCTGGCT

      S G V P A R F S G S G S G T S Y S L T I
241  TCTGGAGTCC CTGCTCGCTT CAGTGGCAGT GGGTCTGGGA CCTCTTACTC TCTCACAATC

      S R V E A E D A A T Y Y C Q Q W S F N P
301  AGCAGASTGG AGGCTGAAGA TGCTGCCACT TATTACTGCC AGCAGTGGAG TTTTAACCCA

      |(Gly4Ser)3 Linker
      P T F G A G T K L E L K G G G G S G G G
361  CCCACGTTCT GTGCTGGGAC CAAGCTGGAG CTGAAAGGTG GCGGTGGCTC GGGCGGTGGT

      |2H7 VH →
      G S G G G G S S Q A Y L Q Q S G A E L V
421  GGATCTGGAG GAGGTGGGAG CTCTCAGGCT TATCTACAGC AGTCTGGGGC TGAGCTGGTG

      R P G A S V K M S C K A S G Y T F T S Y
481  AGGCCTGGGG CCTCAGTGAA GATGTCCTGC AAGGCTTCTG GCTACACATT TACCAGTTAC

      N M H W V K Q T P R Q G L E W I G A I Y
541  AATATGCACT GGGTAAAGCA GACACCTAGA CAGGGCCTGG AATGGATTGG AGCTATTTAT

      P G N G D T S Y N Q K F K G K A T L T V
601  CCAGGAAATG GTGATACTTC CTACAATCAG AAGTTCAAGG GCAAGGCCAC ACTGACTGTA

      D K S S S T A Y M Q L S S L T S E D S A
661  GACAAATCCT CCAGCACAGC CTACATGCAG CTCAGCAGCC TGACATCTGA AGACTCTGCG

      V Y F C A R V V Y Y S N S Y W Y F D V W
721  GTCTATTTCT GTGCAAGAGT GGTGTACTAT AGTAACTCTT ACTGGTACTT CGATGTCTGG
```

**Fig. 1**

Serial No. 10/566,409  
Docket No. 910180.40102USPC  
Inventor(s): Jeffrey A. Ledbetter et al.  
"REPLACEMENT SHEET"

```

                                     BclI
                                     -----
                                     |human IgG1 Fc domain →
781   G T G T T V T V S D Q E P K S C D K T H
      GGCACAGGGA CCACGGTCAC CGTCTCTGAT CAGGAGCCCA AATCTTGTGA CAAAACTCAC

841   T C F P C P A P E L L G G P S V F L F F
      ACATGCCCAC CGTGGCCAGC ACCTGAACTC CTGGGGGGAC CGTCAGTCTT CCTCTTCCCC

901   P K P K D T L M I S R T P E V T C V V V
      CCAAAACCCA AGGACACCCF CATGATCTCC CGGACCCCTG AGGTCACATG CGTGGTGGTG

961   D V S H E D P E V K F N W Y V D G V E V
      GACGTGAGCC ACGAAGACCC TGAGGTCAAG TTCAACTGGT ACGTGGACGG CGTGGAGGTG

1021  H N A K T K P R E E Q Y N S T Y R V V S
      CATAATGCCA AGACAAAGCC GCGGGAGGAG CAGTACAACA GCACGTACCG TGTGGTCAGC

1081  V L T V L H Q D W L N G K E Y K C K V S
      GTCCTCACCG TCCTGCACCA GGACTGGCTG AATGGCAAGG AGTACAAGTG CAAGGTCTCC

1141  N K A L P A P I E K T I S K A K G Q P R
      AACAAAGCCC TCCCAGCCCC CATCGAGAAA ACAATCTCCA AAGCCAAAGG GCAGCCCCGA

1201  E F Q V Y T L P F S R D E L T K N Q V S
      GAACCACAGG TGTACACCCT GCCCCATCC CGGGATGAGC TGACCAAGAA CCAGGTCAGC

1261  L T C L V K G F Y P S D I A V E W E S N
      CTGACCTGCC TGGTCAAAGG CTTCTATCCC AGCGACATCG CCGTGGAGTG GGAGAGCAAT

1321  G Q P E N N Y K T T P F V L D S D G S F
      GGGCAGCCGG AGAACAATA CAAGACCAGC COTCCCGTGC TGGACTCCGA CGGCTCCTTC

1381  F L Y S K L T V D K S R W Q Q G N V F S
      TTCCTCTACA GCAAGCTCAC CGTGGACAAG AGCAGGTGGC AGCAGGGGAA CGTCTTCTCA

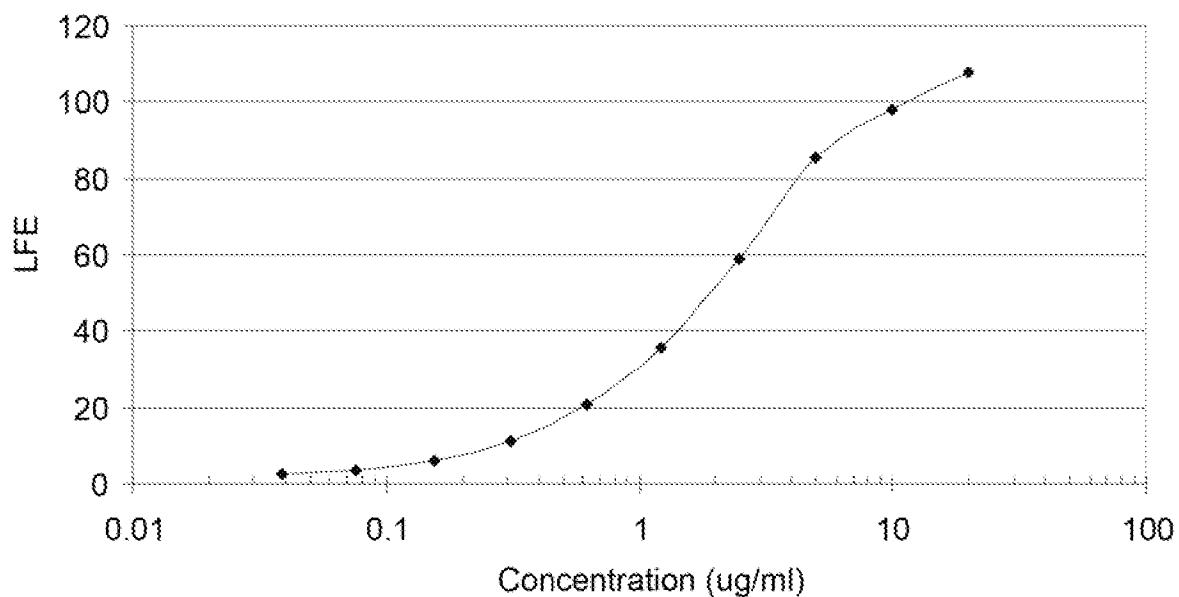
1441  C S V M H E A L H N H Y T Q K S L S L S
      TGCTCCGTGA TGCATGAGGC TCTGCACAAC CACTACACGC AGAAGAGCCT CTCCCTGTCT

                                     XbaI
                                     -----
1501  P G K *
      CCGGGTAAAT GATCTAGA
```

**Fig. 1 (continued)**

## Production Levels of 2H7 scFvlg by Stable CHO Lines

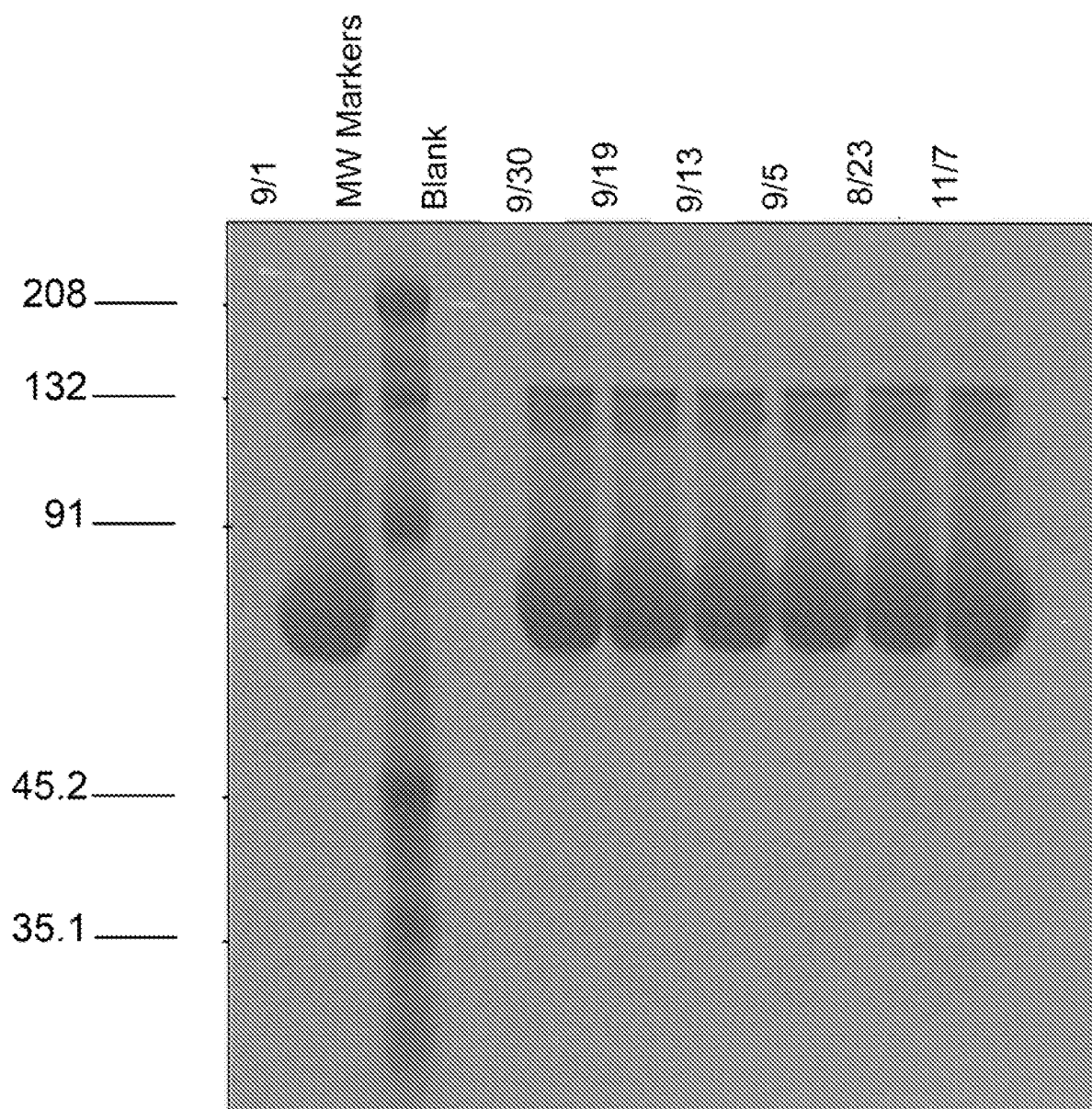
### 2H7scFvlg Standard Curve



| Clone      | LFE @ 1.50 Estimated Concentration (ug/ml) |
|------------|--|
| D2         | 26.156                                     |
| III C6     | 25.755                                     |
| IVA3       | 28.661                                     |
| Spent bulk | 29.664                                     |

**Fig. 2**

SDS-PAGE Analysis of  
2H7 scFvIGG1 (SSS-S)H WCH2 WCH3 Protein.



**Fig. 3**

# Complement Mediated B Cell Killing After Binding of CD20-targeted 2H7 scFvIgG1 (SSS-S) H WCKH2 WCKH3:

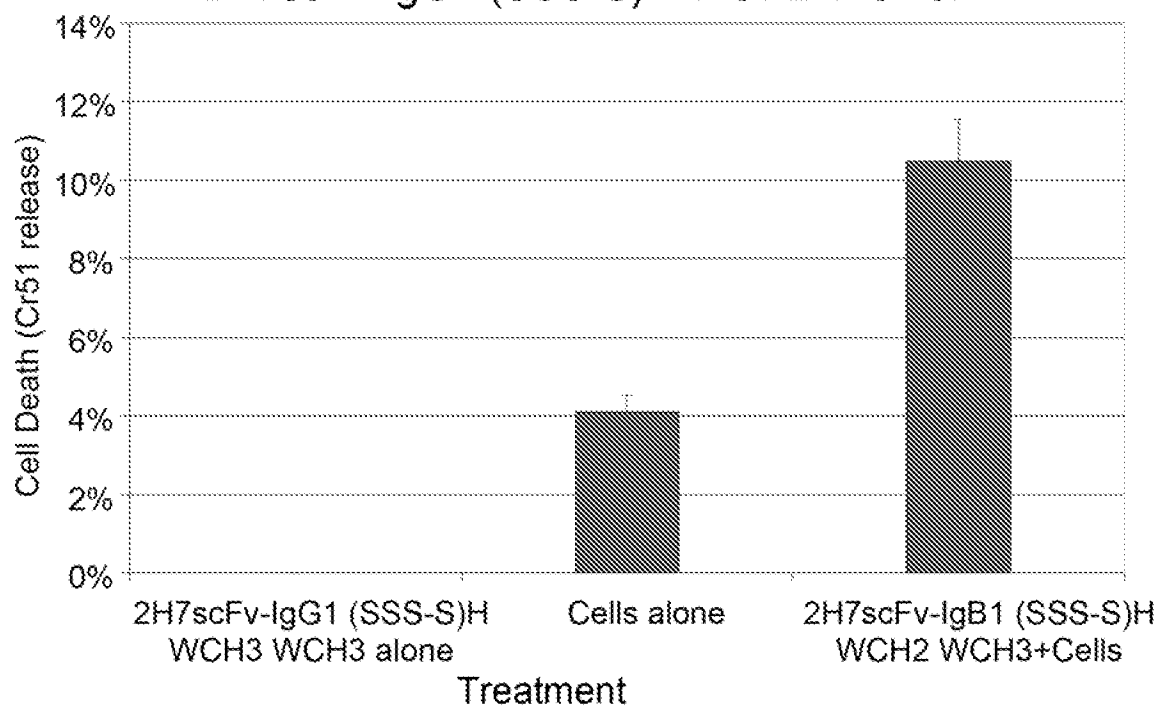
| 2H7scFv-Ig Concentration | RAMOS |                          | BJAB |                          |
|--------------------------|-------|--------------------------|------|--------------------------|
|                          |       | # live cells/total cells |      | # live cells/total cells |
| 20 µg/ml + complement    | -     | 0.16                     | -    | 0.07                     |
| 5 µg/ml + complement     | -     | 0.2                      | -    | N.D.                     |
| 1.25 µg/ml + complement  | -     | 0.32                     | -    | 0.1                      |
| Complement alone         | -     | 0.98                     | -    | 0.94                     |

\*Viability was determined by trypan blue exclusion and is tabulated as the fraction of viable cells out of the total number of cells counted.

\*\*N.D. (not determined).

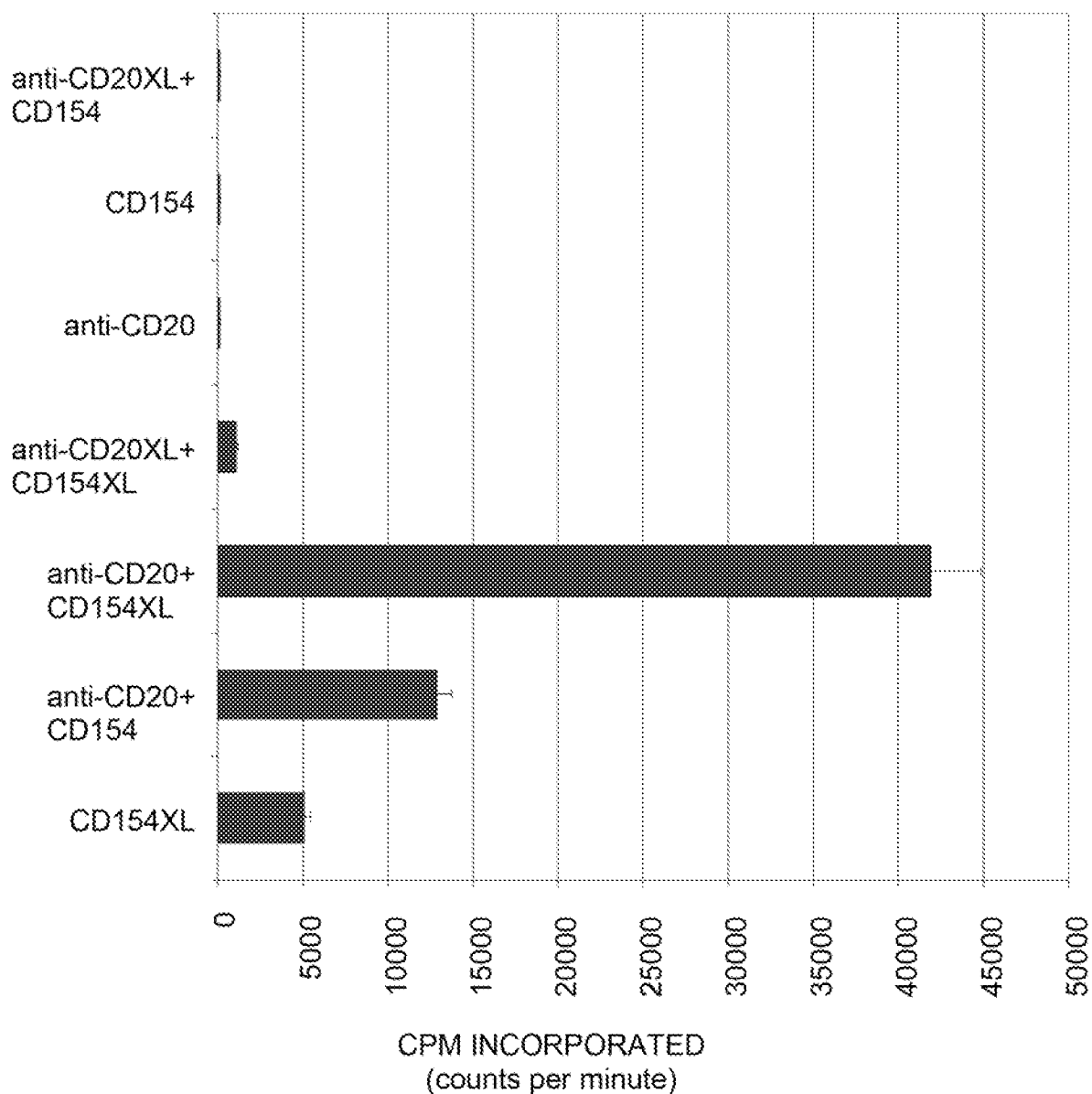
**Fig. 4A**

## Antibody-dependent cellular cytotoxicity (ADCC) mediated by 2H7scFv-IgG1 (SSS-S)H WCH2 WCH3:



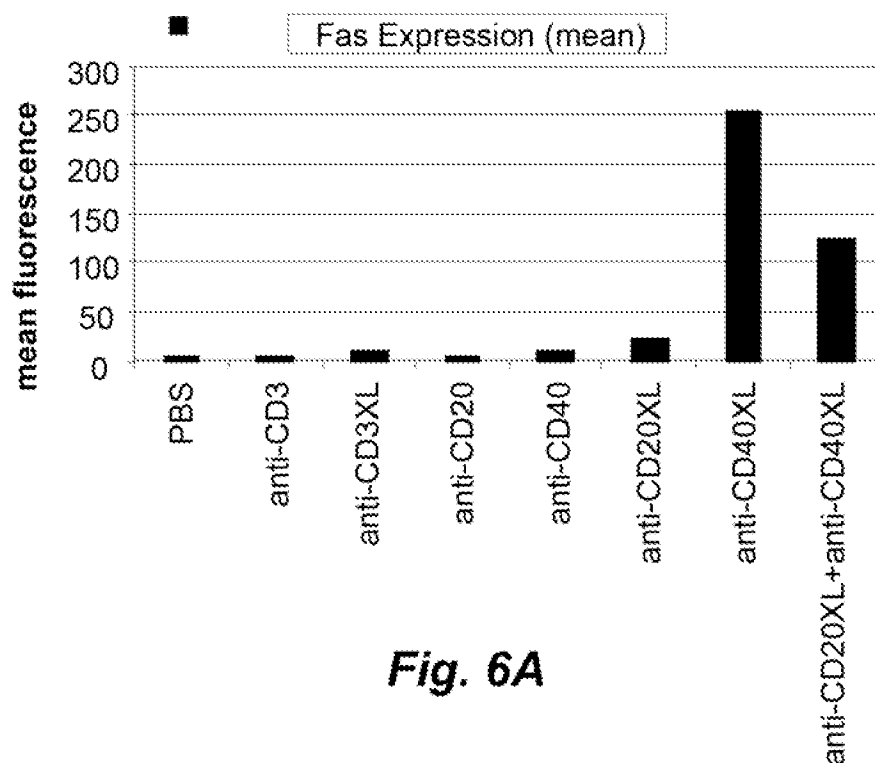
**Fig. 4B**

## Effects of Crosslinking of CD20 and CD40 Cell Surface Receptors on B Cell Proliferation:

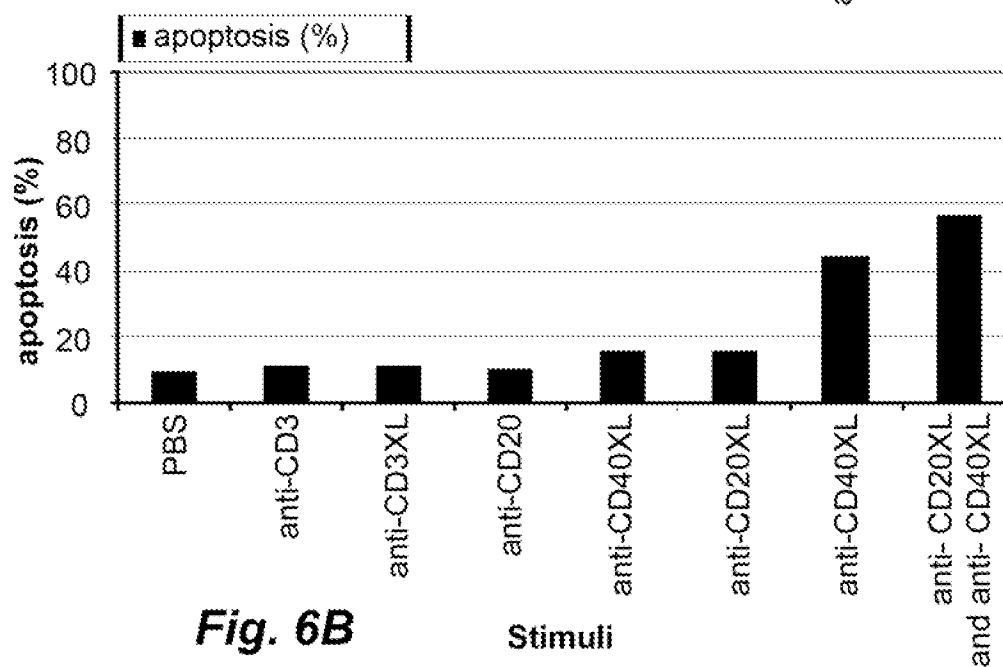


**Fig. 5**

Effect of Simultaneous ligation of CD20 and CD40  
on CD95 and apoptosis.



**Fig. 6A**



**Fig. 6B**

Serial No. 10/566,409  
Docket No. 910180.40102USPC  
Inventor(s): Jeffrey A. Ledbetter et al.  
**"REPLACEMENT SHEET"**

2H7-CD154 L2 cDNA and predicted amino acid sequence:

```

HindIII      NcoI      |2H7 VL Leader Peptide →
~~~~~      ~~~~~
1  AAGCTTGCCG CC      ATGGATTTC TCAAGTGCAG ATTTTCAGCT TCCTGCTAAT CAGTGCATTC

                                |2H7 VL →
61  V I I A R G Q I V L S Q S F A I L S A S
    GTCATAATTG CCAGAGGACA AATTGTTCTC TCCCAGTCTC CAGCAATCCT GTCTGCATCT

    P G E K V T M T C R A S S S V S Y M H W
121  CCAGGGGAGA AGGTCACAAT GACTTGCAGG GCCAGCTCAA GTGTAAGTTA CATGCACTGG

                                BamHI
                                ~~~~~
181  Y Q Q K P G S S P K P W I Y A P S N L A
    TACCAGCAGA AGCCAGGATC CTCCCCCAA CCCTGGATTTC ATGCCCCATC CAACCTGGCT

    S G V P A R F S G S G S G T S Y S L T I
241  TCTGGASTCC CTGCTCGCTT CAGTGGCAGT GGGTCTGGGA CCTCTTACTC TCTCACAATC

    S R V E A E D A A T Y Y C Q Q W S F N P
301  AGCAGAGTGG AGGCTGAAGA TGCTGCCACT TATTACTGCC AGCAGTGGAG TTTTAACCCA

                                |(Gly4Ser)3 Linker →
361  P T F G A G T K L E L K G G G G S G G G
    CCCACGTTCC GTGCTGGGAC CAAGCTGGAG CTGAAAGGTG GCGGTGGCTC GGGCGGTGGT

                                |2H7 VH →
421  G S G G G G S S Q A Y L Q Q S G A E L V
    GGATCTGGAG GAGGTGGGAG CTCTCAGGCT TATCTACAGC AGTCTGGGGC TGAGCTGGTG

    R P G A S V K M S C K A S G Y T F T S Y
481  AGGCCTGGGG CCTCAGTGAA GATGTCTGTC AAGGTTCTG GCTACACATT TACCAGTTAC

    N M H W V K Q T P R Q G L E W I G A I Y
541  AATATGCACT GGGTAAAGCA GACACCTAGA CAGGGCCTGG AATGGATTGG AGCTATTTAT

    P G N G D T S Y N Q K F K G K A T L T V
601  CCAGGAAATG GTGATACTTC CTACAATCAG AAGTTCAAGG GCAAGGCCAC ACTGACTGTA

    D K S S S T A Y M Q L S S L T S E D S A
661  GACAAATCCT CCAGCACAGC CTACATGCAG CTCAGCAGCC TGACATCTGA AGACTCTGGC

    V Y F C A R V V Y Y S N S Y W Y F D V W
721  GTCTATTTCT GTGCAAGAGT GGTGTACTAT AGTAACTCTT ACTGGTACTT CGATGTCTGG

```

**Fig. 7A**



|human CD154/amino acid 48→

Ecl/Bam hybrid site  
~~~~~

781 G T G T T V T V S D P R R L D K I E D E  
GGCACAGGGA CCACGGTCAC CGTCTCT**GGAT** CCAAGAAGGT TGGACAAGAT AGAAGATGAA

841 R N L H E D F V F M K T I Q R C N T G E  
AGGAATCTTC ATGAAGATTT TGTATTCATG AAAACGATAC AGAGATGCAA CACAGGAGAA

901 R S L S L L N C E E I K S Q F E G F V K  
AGATCCTTAT CTTACTGAA CTGTGAGGAG ATTAAAAGCC AGTTTGAAGG CTTTGTGAAG

BclI  
961 D I M L N K E E T K K E N S F E M Q K G  
GATATAATGT TAAACAAAGA GGAGACGAAG AAAGAAAACA GCTTTGAAAT GCAAAAAGGT

EclI  
~~~~~  
1021 D Q N P Q I A A H V I S E A S S K T T S  
GATCAGAATC CTCAAATTGC GGCACATGTC ATAAGTGAGG CCAGCAGTAA AACAACATCT

1081 V L Q W A E K G Y Y T M S N N L V T L E  
GTGTTACAGT GGGCTGAAAA AGGATACTAC ACCATGAGCA ACAACTTGGT AACCCCTGGAA

1141 N G K Q L T V K R Q G L Y Y I Y A Q V T  
AATGGGAAC AGCTGACCGT TAAAAGACAA GGACTCTATT ATATCTATGC CCAAGTCACC

HindIII  
~~~~~  
1201 F C S N R E A S S Q A P F I A S L C L K  
TTCTGTTCCA ATCGGGAAGC TTCGAGTCAA GCTCCATTTA TAGCCAGCCT CTGCCTAAAG

1261 S P G R F E R I L L R A A N T H S S A K  
TCCCCCGGTA GATTCGAGAG AATCTTACTC AGAGCTGCAA ATACCCACAG TTCCGCCCCAA

1321 F C G Q Q S I H L G G V F E L Q P G A S  
CCTTGCGGGC AACAATCCAT TCACTTGGGA GGAGTATTTG AATTGCAACC AGGTGCTTCG

NcoI  
~~~~~  
1381 V F V N V T D P S Q V S H G T G F T S F  
GTGTTTGTCA ATGTGACTGA TCCAAGCCAA GTGAGCCATG GCACTGGCTT CACGTCTTTT

XhoI XbaI  
~~~~~  
1441 G L L K L E \* \*  
GGCTTACTCA AACTCGAGTG ATAATCTAGA

**Fig. 7A (continued)**

Serial No. 10/566,409  
Docket No. 910180.40102USPC  
Inventor(s): Jeffrey A. Ledbetter et al.  
**"REPLACEMENT SHEET"**

2H7scFv-CD154 S4 cDNA and predicted amino acid sequence:

```

HindIII      NcoI
~~~~~|~~~~~|2H7 VL Leader Peptide→
      M D F Q V Q I F S F L L I S A S
1  AAGCTTGCCG CC  ATGGATTTC AAGTGCAG ATTTTCAGCT TCCTGCTAAT CAGTGCTTCA

      |2H7 VL →
      V I I A R G Q I V L S Q S F A I L S A S
61 GTCATAATTG CCAGAGGACA AATTGTTCTC TCCCAGTCTC CAGCAATCCT GTCTGCATCT

      P G E K V T M T C R A S S S V S Y M H W
121 CCAGGGGAGA AGGTCACAAT GACTTGCAGG GCCAGCTCAA GTGTAAGTTA CATGCACTGG

      BamHI
      ~~~~~
      Y Q Q K P G S S P K P W I Y A P S N L A
181 TACCAGCAGA AGCCAGGATC CTCCCCCAA CCCTGGATT ATGCCCCATC CAACCTGGCT

      S G V P A R F S G S G S G T S Y S L T I
241 TCTGGASTCC CTGCTCGCTT CAGTGGCAGT GGGTCTGGGA CCTCTTACTC TCTCACAATC

      S R V E A E D A A T Y Y C Q Q W S F N P
301 AGCAGAGTGG AGGCTGAAGA TGCTGCCACT TATTACTGCC AGCAGTGGAG TTTTAACCCA

      | (Gly4Ser)3 Linker →
      P T F G A G T K L E L K G G G G S G G G
361 CCCACGTTCTG GTGCTGGGAC CAAGCTGGAG CTGAAAGGTG GCGGTGGCTC GGGCGGTGGT

      |2H7 VH →
      G S G G G G S S Q A Y L Q Q S G A E L V
421 GGATCTGGAG GAGGTGGGAG CTCTCAGGCT TATCTACAGC AGTCTGGGGC TGAGCTGGTG

      R P G A S V K M S C K A S G Y T F T S Y
481 AGGCCTGGGG CCTCAGTGAA GATGCTCTGC AAGGCTTCTG GCTACACATT TACCAGTTAC

      N M H W V K Q T P R Q G L E W I G A I Y
541 AATATGCACT GGGTAAAGCA GACACCTAGA CAGGGCCTGG AATGGATTGG AGCTATTTAT

      P G N G D T S Y N Q K F K G K A T L T V
601 CCAGGAAATG GTGATACTTC CTACAATCAG AAGTTCAAGG GCAAGGCCAC ACTGACTGTA

      D K S S S T A Y M Q L S S L T S E D S A
661 GACAAATCCT CCAGCACAGC CTACATGCAG CTCAGCAGCC TGACATCTGA AGACTCTGGC

      V Y F C A R V V Y Y S N S Y W Y F D V W
721 GTCTATTTCT GTGCAAGAGT GGTGTACTAT AGTAACTCTT ACTGGTACTT CGATGTCTGG

```

**Fig. 7B**

|human CD154/amino acid 108 →

Bcl/Bam hybrid site

~~~~~

781 G T G T T V T V S D P E N S F E M Q K G  
GGCACAGGGA CCACGGTCAC CGTCTC**TGAT** CCAGAAAACA GCTTTGAAAT GCAAAAAGGT

BclI

~~~~~

841 D Q N P Q I A A H V I S E A S S K T T S  
GATCAGAATC CTCAAATTGC GGCACATGTC ATAAGTGAGG CCAGCAGTAA AACAAATCT

901 V L Q W A E K G Y Y T M S N N L V T L E  
GTGTTACAGT GGGCTGAAAA AGGATACTAC ACCATGAGCA ACAACTTGGT AACCCCTGGAA

961 N G K Q L T V K R Q G L Y Y I Y A Q V T  
AATGGGAAAC AGCTGACCGT TAAAGACAA GGACTCTATT ATATCTATGC CCAAGTCACC

HindIII

~~~~~

1021 F C S N R E A S S Q A P F I A S L C L K  
TTCTGTTCCA ATCGGGAAGC TTCGAGTCAA GCTCCATTTA TAGCCAGCCT CTGCCTAAAG

1081 S P G R F E R I L L R A A N T H S S A K  
TCCCCCGGTA GATTCGAGAG AATCTTACTC AGAGCTGCAA ATACCCACAG TTCCGCCAAA

1141 F C G Q Q S I H L G G V F E L Q P G A S  
CCTTGCGGGC AACAAATCCAT TCACTTGGGA GGAGTATTTG AATTGCAACC AGGTGCTTCG

NcoI

~~~~~

1201 V F V N V T D P S Q V S H G T G F T S F  
GTGTTTGTCA ATGTGACTGA TCCAAGCCAA GTGAGCCATG GCACTGGCTT CACGTCCTTT

XhoI

XbaI

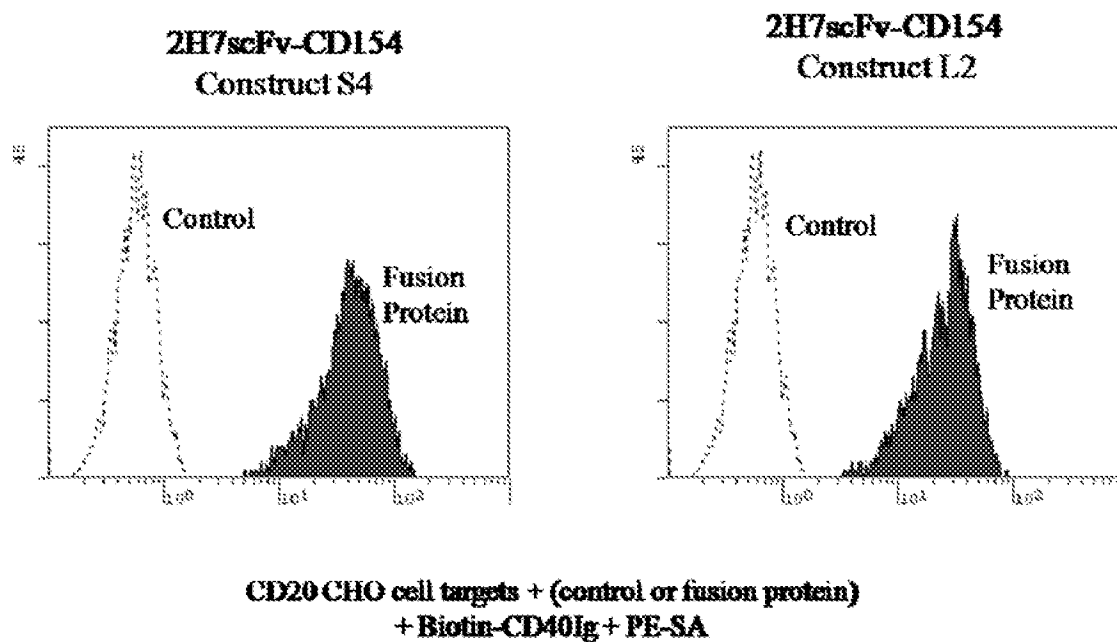
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1261 G L L K L E \* \*  
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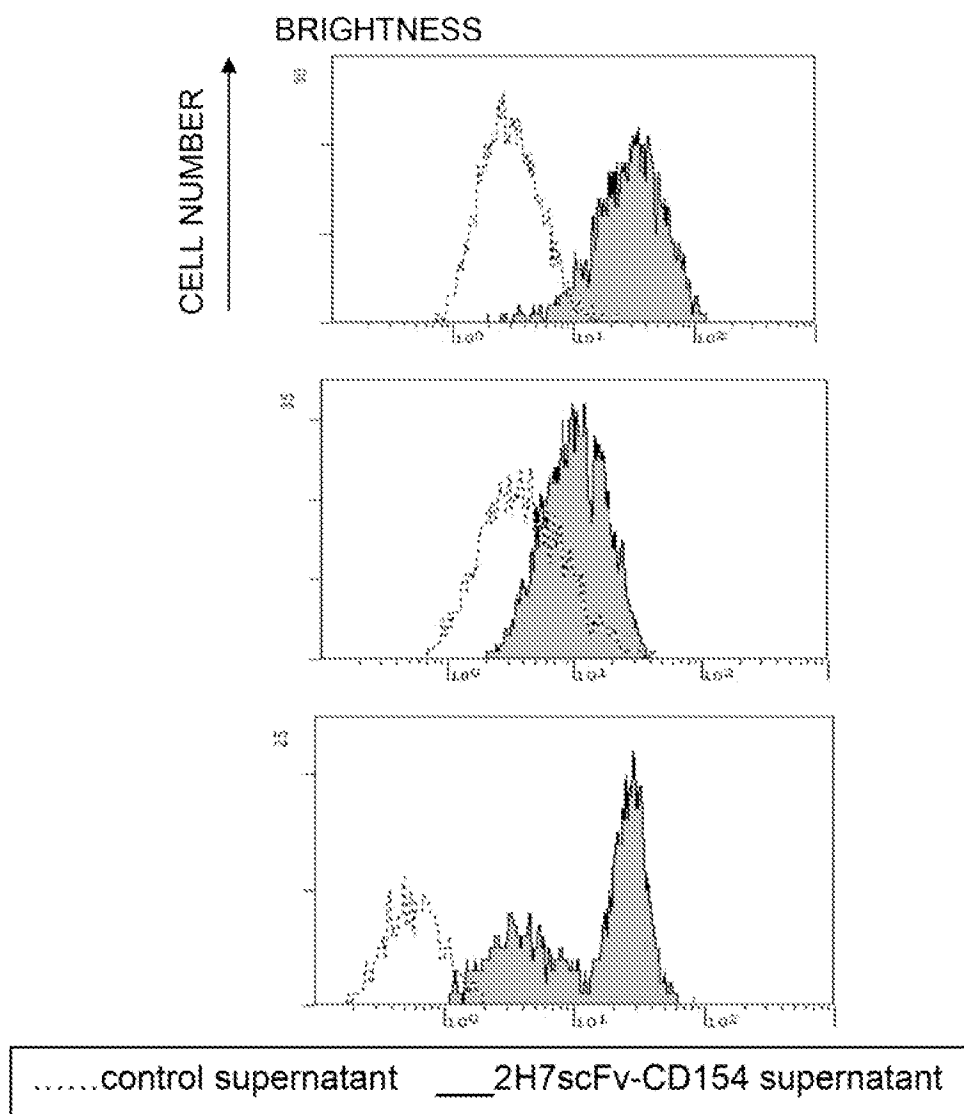
**Fig. 7B (continued)**

Simultaneous Binding of 2H7scFv-CD154  
Fusion Proteins to CD20 and CD40



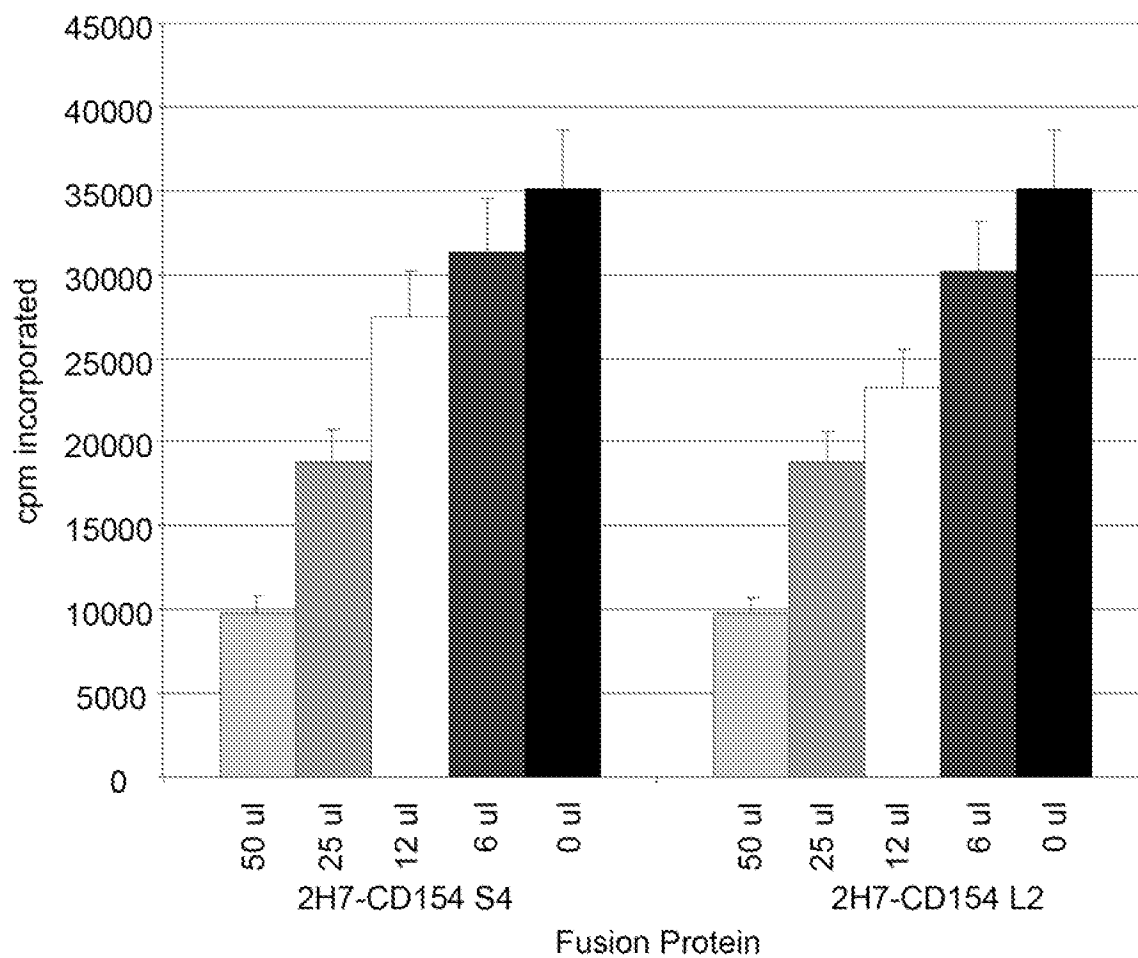
**Fig. 8**

# Induction of Apoptosis Measured by Binding of Annexin V after incubation with 2H7scFv-CD154



**Fig. 9**

Proliferation of T51 B Cell Line After Incubation with  
2H7-CD154 S4 or 2H7 scFv-CD154 L2 constructs



**Fig. 10**

# Schematic Representation of 2H7 scFvlg fusion proteins

2H7 scFvlgG (SSS-S)H WCH2 WCH3

OR 2H7 scFvlgG1 (SSS-S)H P238SCH2 WCH3:

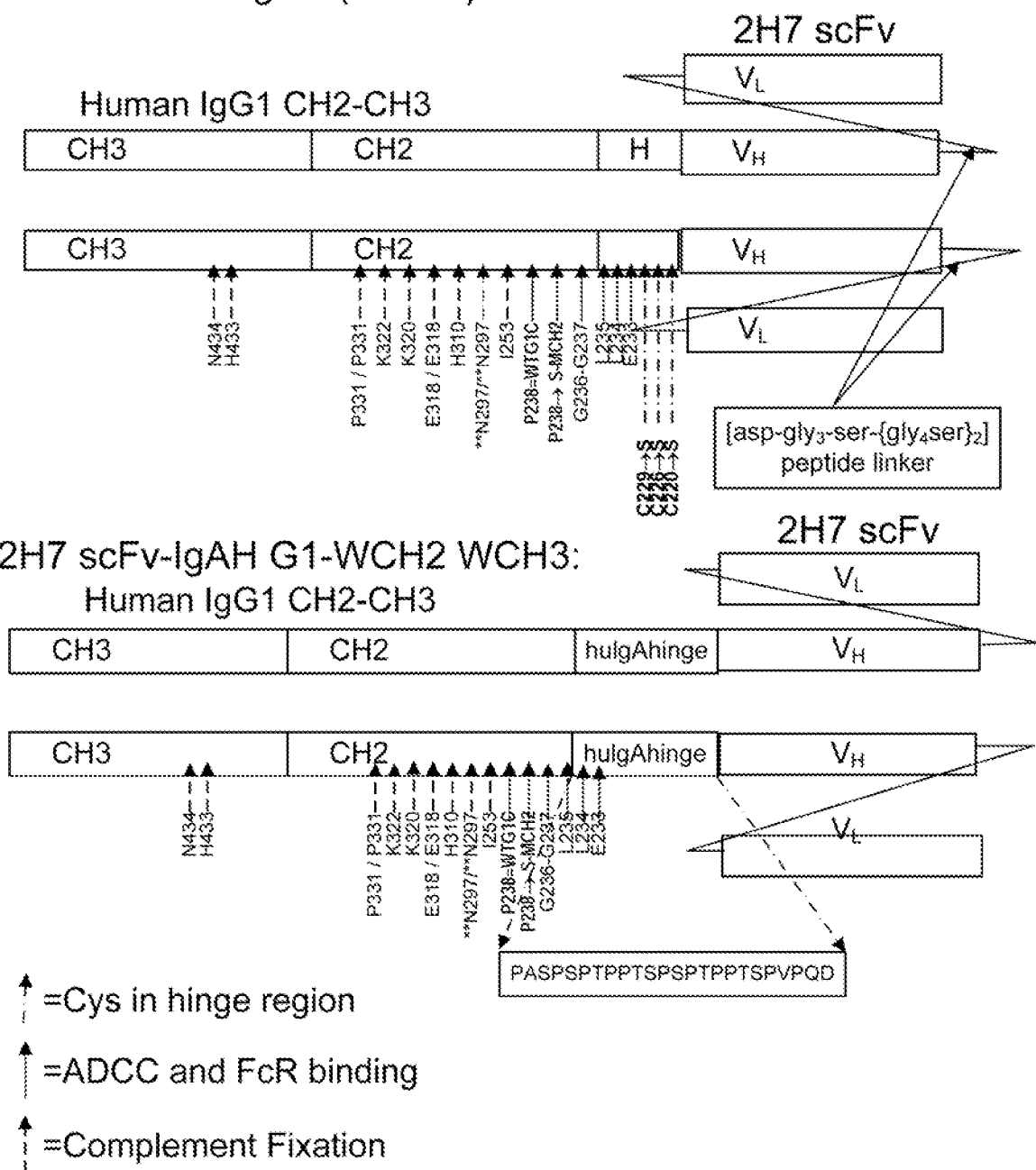
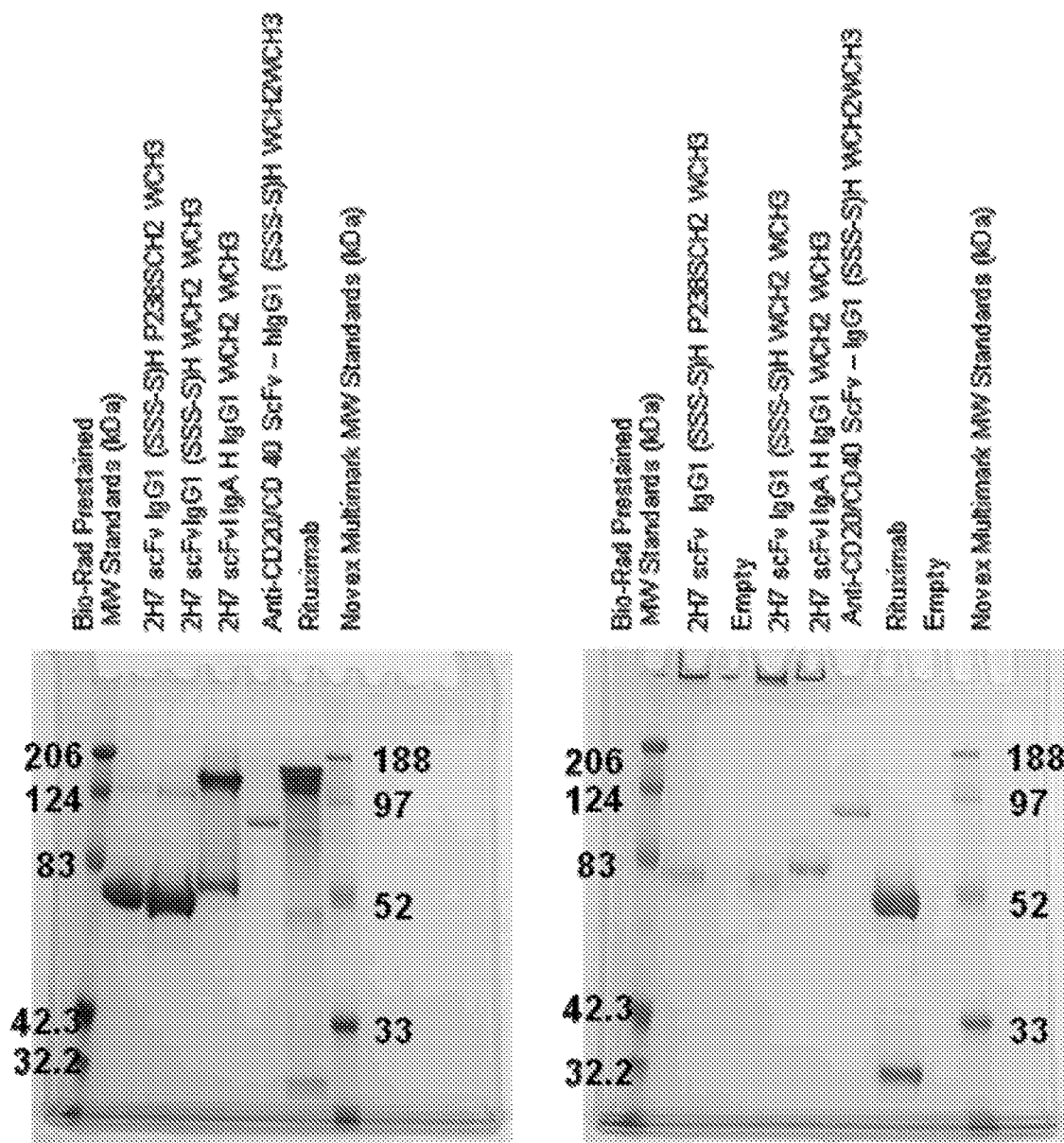


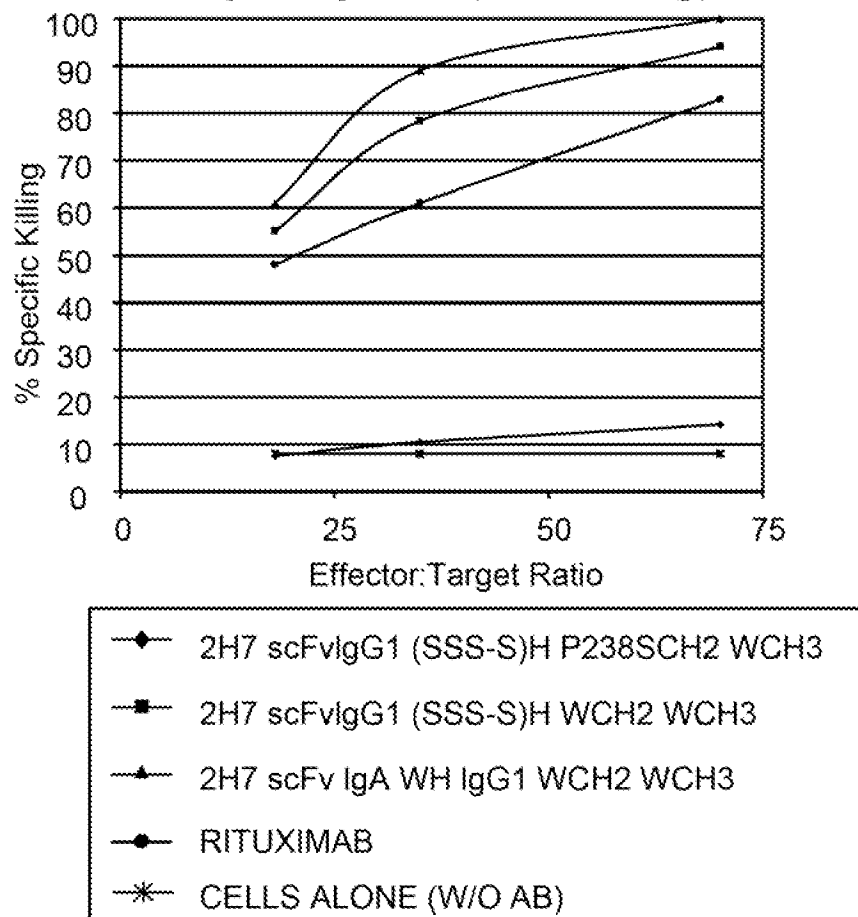
Fig. 11



**Fig. 12**

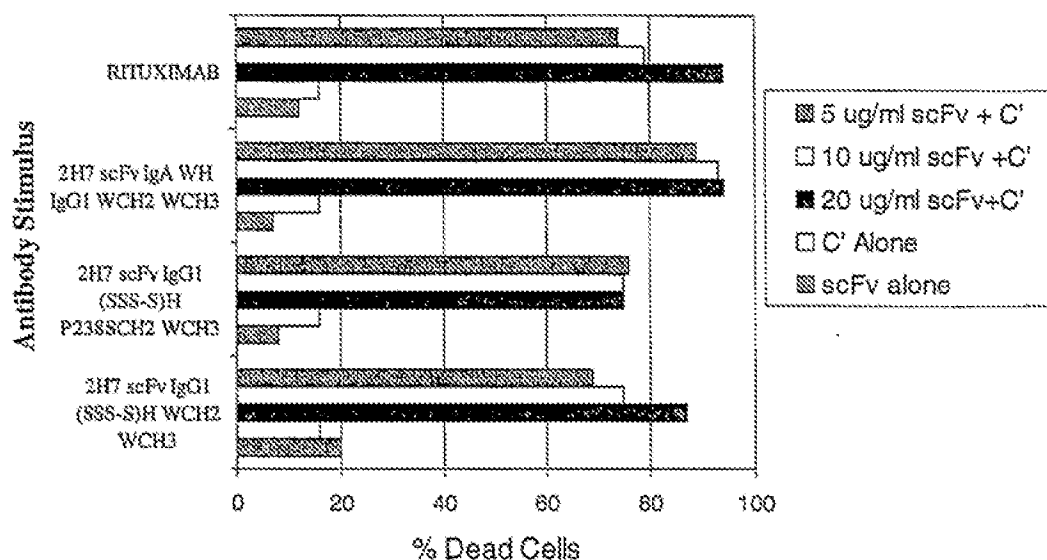


### ADCC Activity of Cytotoxic B (2H7 scFvlg) Constructs



**Fig. 13**

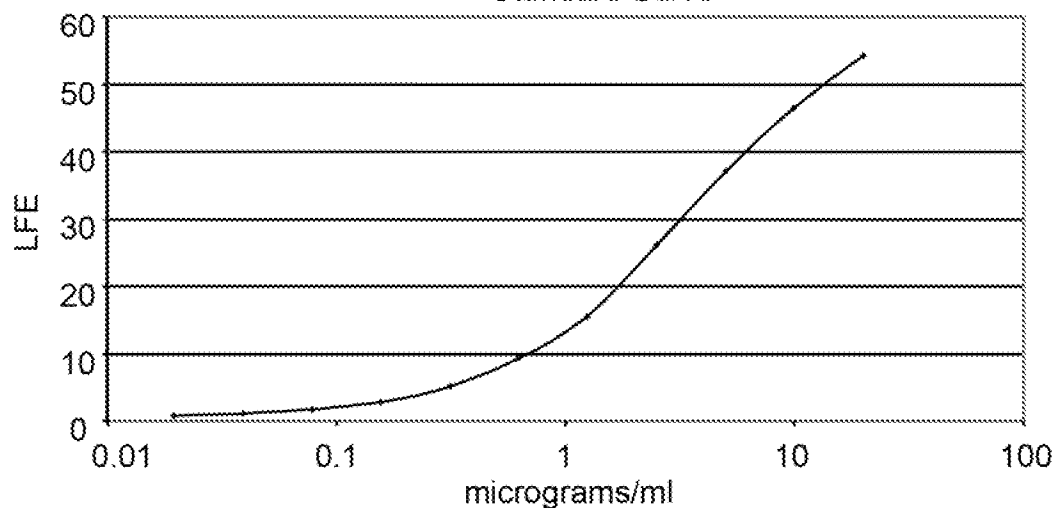
## CDC of Cytotoxic B (2H7 scFvlg) Constructs



**Fig. 14**

2H7 (anti-CD20) scFv IgG1 (SSS-S)H WCH2 WCH3  
In Vivo Half Life

Anti-CD20 2H7 scFV IgG1 (SSS-S)H WCH2 WCH3  
Standard Curve



Macaque A99314

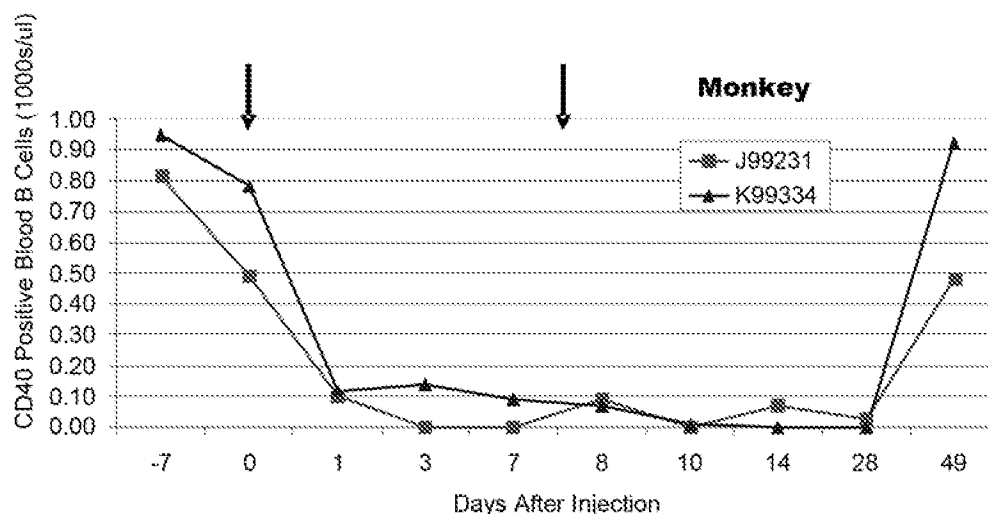
|              | Day | Binding intensity         | estimated             |
|--------------|-----|---------------------------|-----------------------|
|              |     | At 1:50 dilution of serum | concentration (ng/ml) |
| Injection #1 | -7  | 0.213                     | <0.1                  |
|              | 0   | 0.227                     | <0.1                  |
|              | 1   | 7.79                      | 25.1                  |
|              | 3   | 5.51                      | 15.6                  |
| Injection #2 | 7   | 3.37                      | 9.4                   |
|              | 8   | 11.33                     | 41.7                  |
|              | 10  | 5.45                      | 15.4                  |
|              | 14  | 0.27                      | <0.1                  |

Macaque F98081

|              | Day | Binding intensity         | estimated             |
|--------------|-----|---------------------------|-----------------------|
|              |     | At 1:50 dilution of serum | concentration (ng/ml) |
| Injection #1 | -7  | 0.208                     | <0.1                  |
|              | 0   | 0.219                     | <0.1                  |
|              | 1   | 6.73                      | 21.9                  |
|              | 3   | 6.14                      | 19.3                  |
| Injection #2 | 7   | 3.04                      | 8.7                   |
|              | 8   | 9.83                      | 33.8                  |
|              | 10  | 4.77                      | 14.4                  |
|              | 14  | 0.231                     | <0.1                  |

**Fig. 15**

## B Cell Depletion in macaques mediated by Cytos B20 (2H7 scFv IgG1 (SSS-S)H WCH2 WCH3) Construct

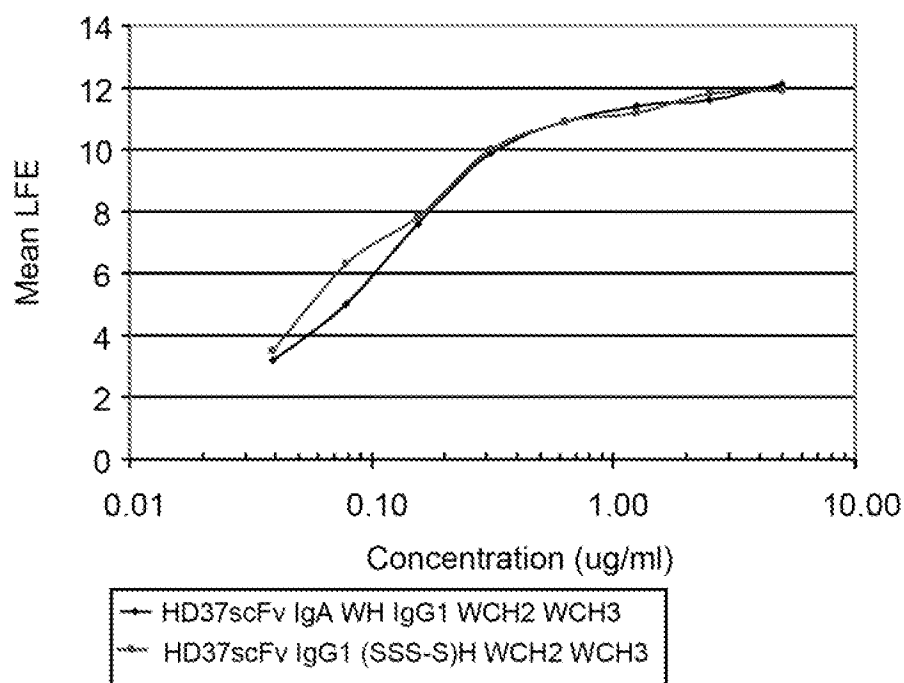


- CytosB20 injections of 6mg/kg yields 3 week B-cell depletion
- 3-4 day half-life *in vivo*
- CD20 saturation in lymph node B-cells at d14
- No first dose effects
- No anti-chimeric antibody development

**Fig. 16**

## Production Levels of HD37 scFvlg by CHO Cell Lines

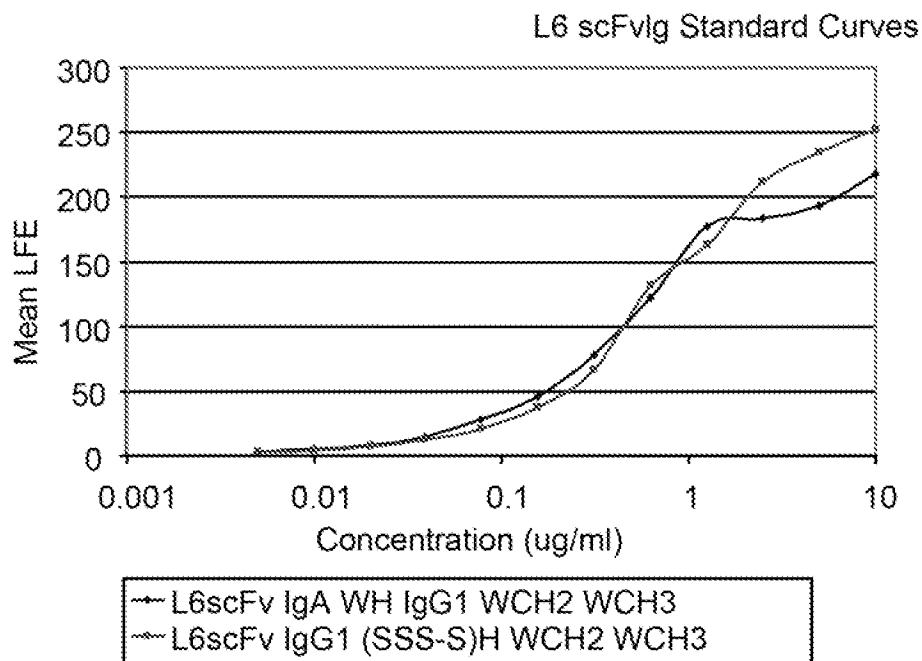
### Standard Curve of HD37 scFvlg Derivative Binding to B Cells



| Clone/Isolate          | Mean LFE at 1:100 | Estimated Concentration |
|------------------------|-------------------|-------------------------|
| BulkHD37 scFv          |                   |                         |
| IgA WH IgG1 WCH2 WCH3  | 11.2              | > 60 ug/ml              |
| 1B2                    | 10.4              | >50 ug/ml               |
| 6C5                    | 10.5              | >50 ug/ml               |
| 4B1                    | 8.6               | >40 ug/ml               |
| Bulk HD37 scFv         |                   |                         |
| IgG1 (SSS-S)HWCH2 WCH3 | 10.9              | > 50 ug/ml              |
| 2G8                    | 10.6              | > 50 ug/ml              |
| 3F3                    | 8.3               | >40 ug/ml               |
| 3D9                    | 11.1              | > 60 ug/ml              |

**Fig. 17**

## Production of L6 scFvlg by CHO Cells



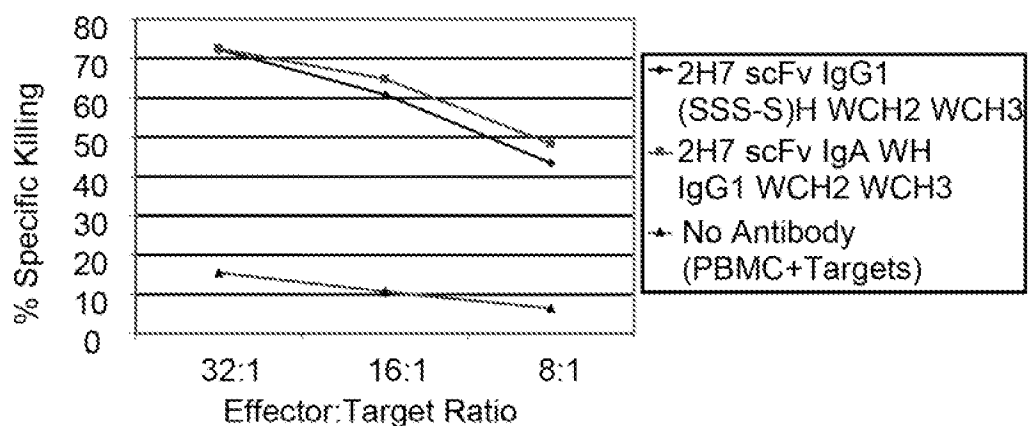
| Construct                                                | Mean LFE 1:20 | Estimated Concentration |
|----------------------------------------------------------|---------------|-------------------------|
| L6scFv IgA WH<br>IgG1 WCH2 WCH3<br>unamplified CHO sup   | 51.1          | 6.25 ug/ml              |
| L6scFv IgG1 (SSS-S)H<br>WCH2 WCH3<br>unamplified CHO sup | 23.0          | 3.2 ug/ml               |

**Fig. 18**

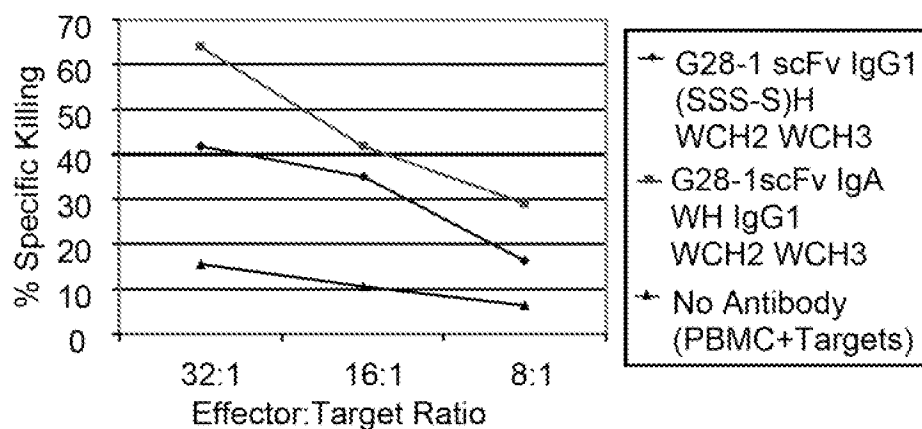
ADCC Activity of 2H7 scFvIg, G28-1 scFvIg, and HKD37  
scFvIg Constructs

ADCC Activity of scFvs Targeted to B Cell Antigens

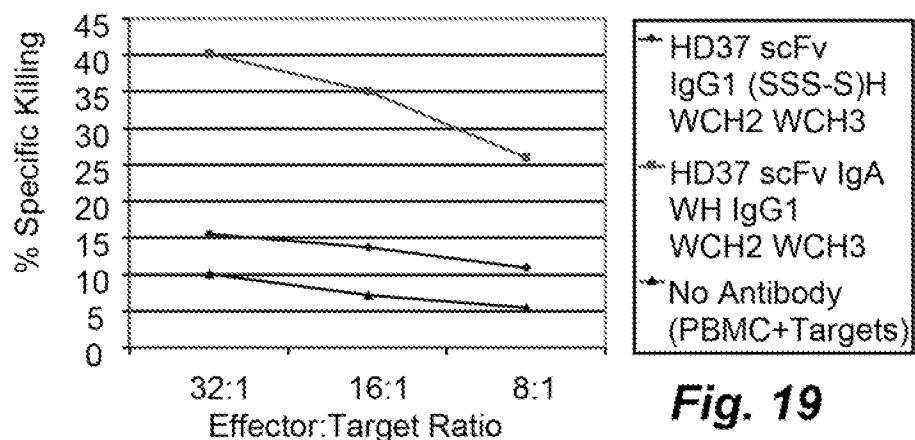
A. 2H7 (anti-CD20) scFv Derivatives



B. G28-1 (anti-CD37) scFv Derivatives



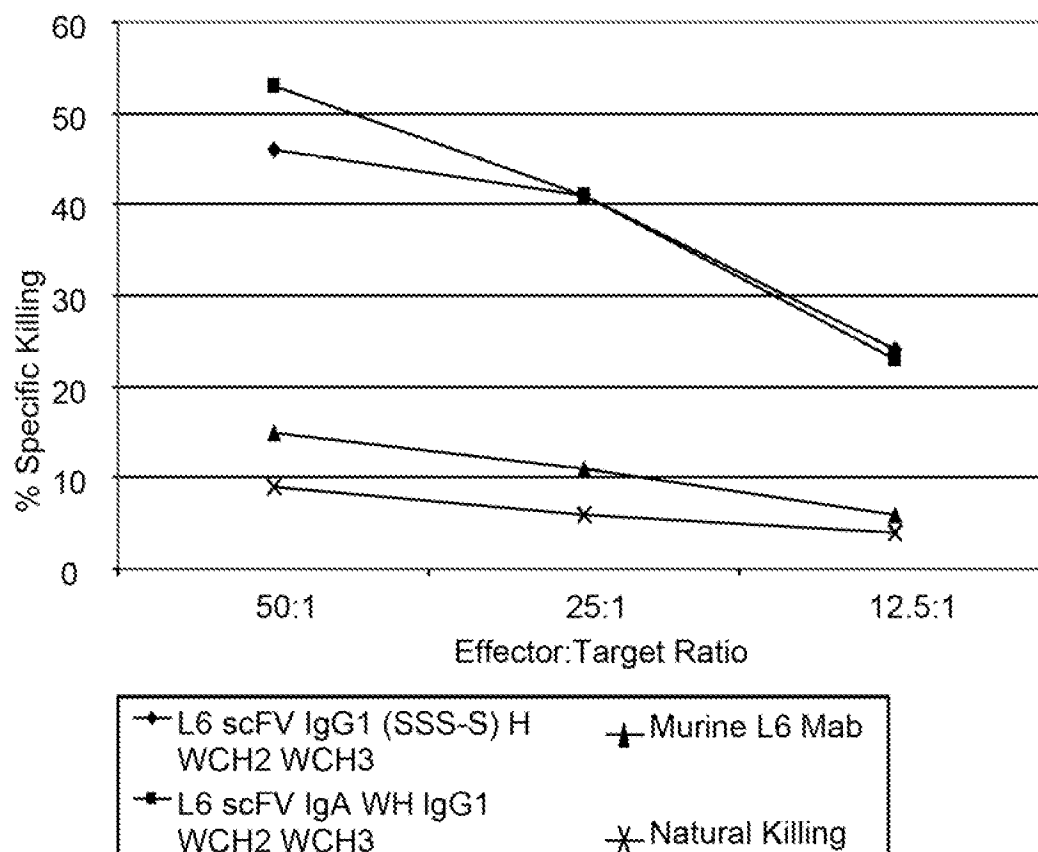
C. HD37 (anti-CD19) scFv Derivatives



**Fig. 19**

## ADCC Activity of L6 scFv Ig Constructs

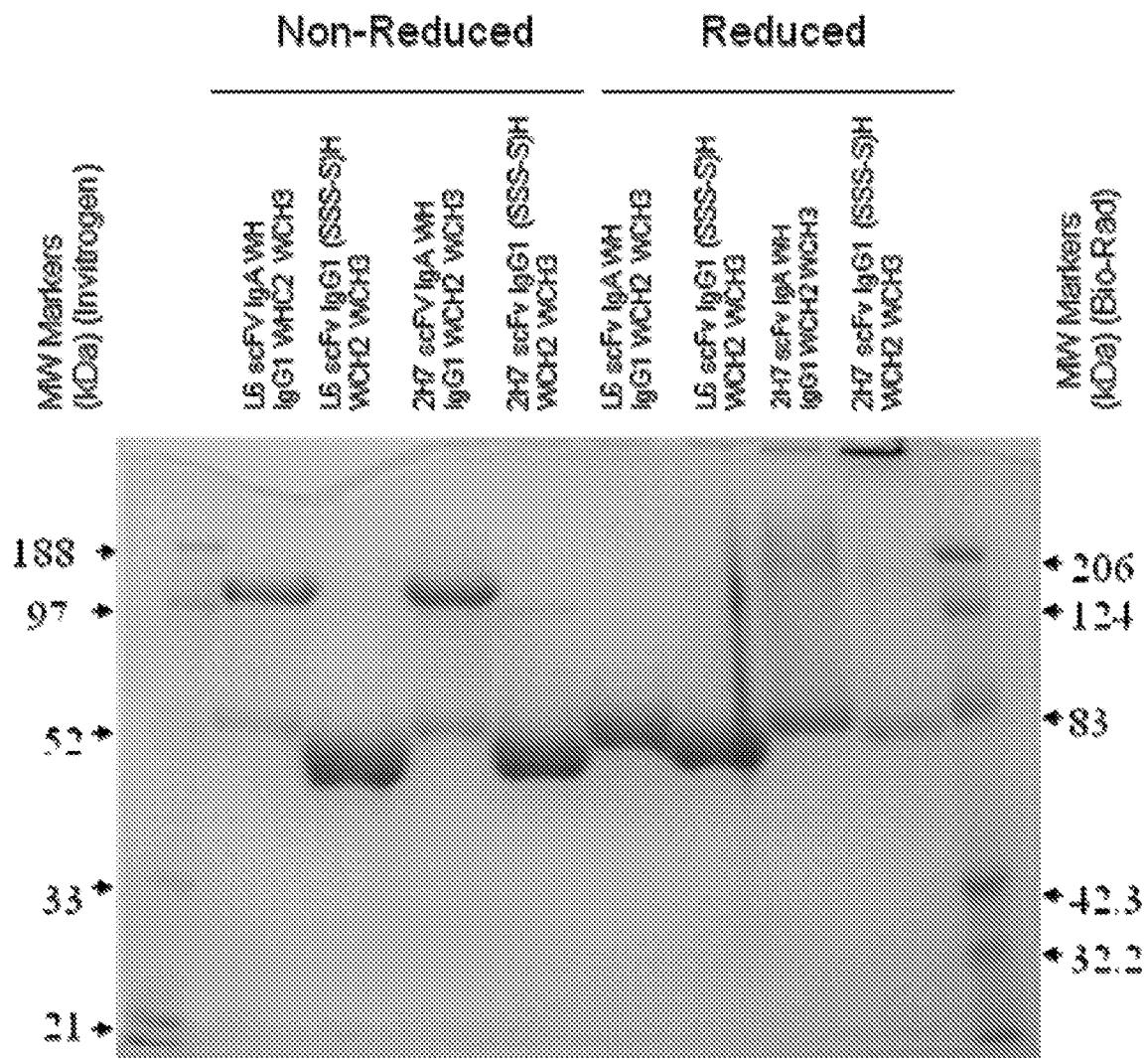
ADCC Activity of L6scFvlg Constructs with 2981 Targets



**Fig. 20**

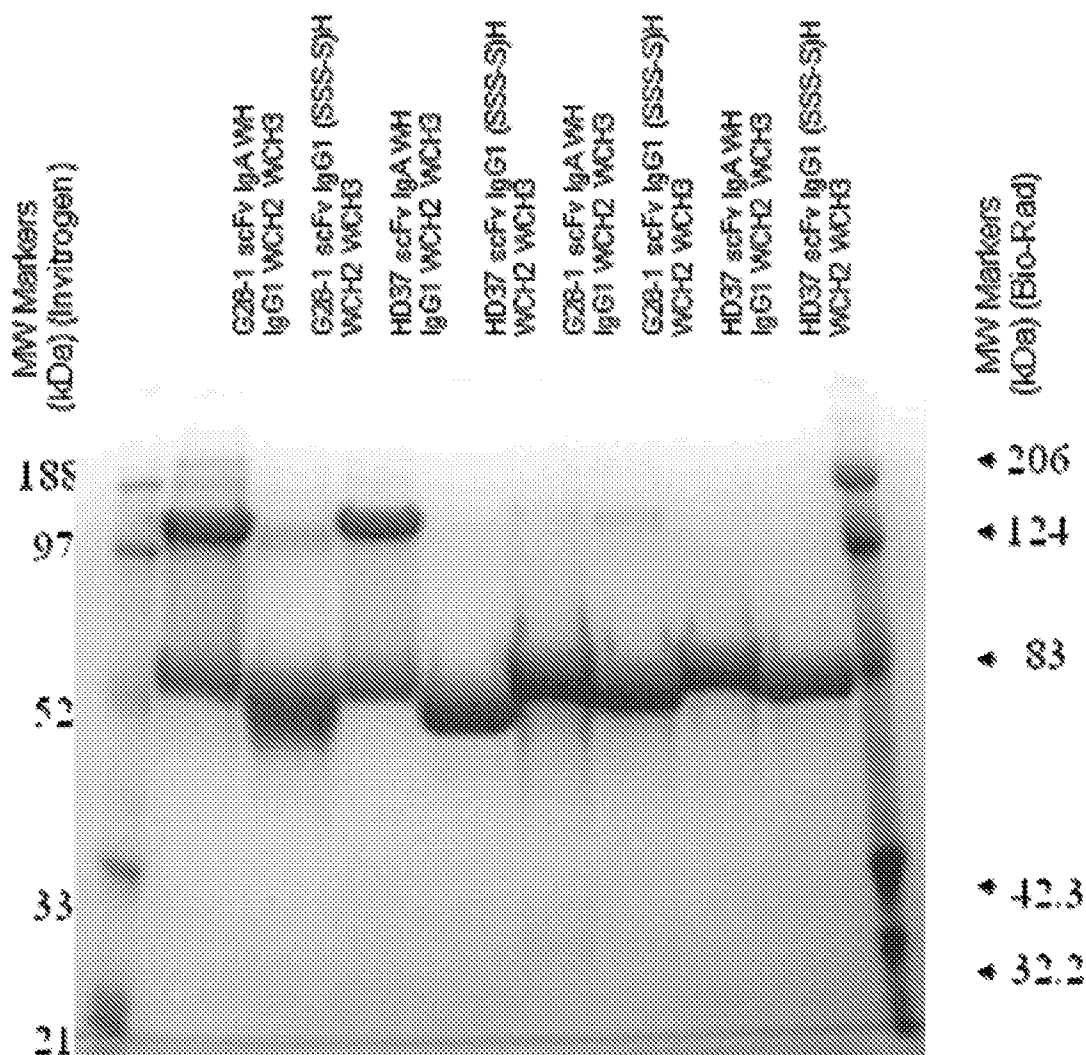


# SDS-PAGE Analysis of L6 and 2H7 scFvIg Fusion Proteins.



**Fig. 21**

# SDS-PAGE Analysis of G28-1 and HD37 scFvIg Fusion Proteins.



**Fig. 22**

Sequence alignment of human and llama Fc regions

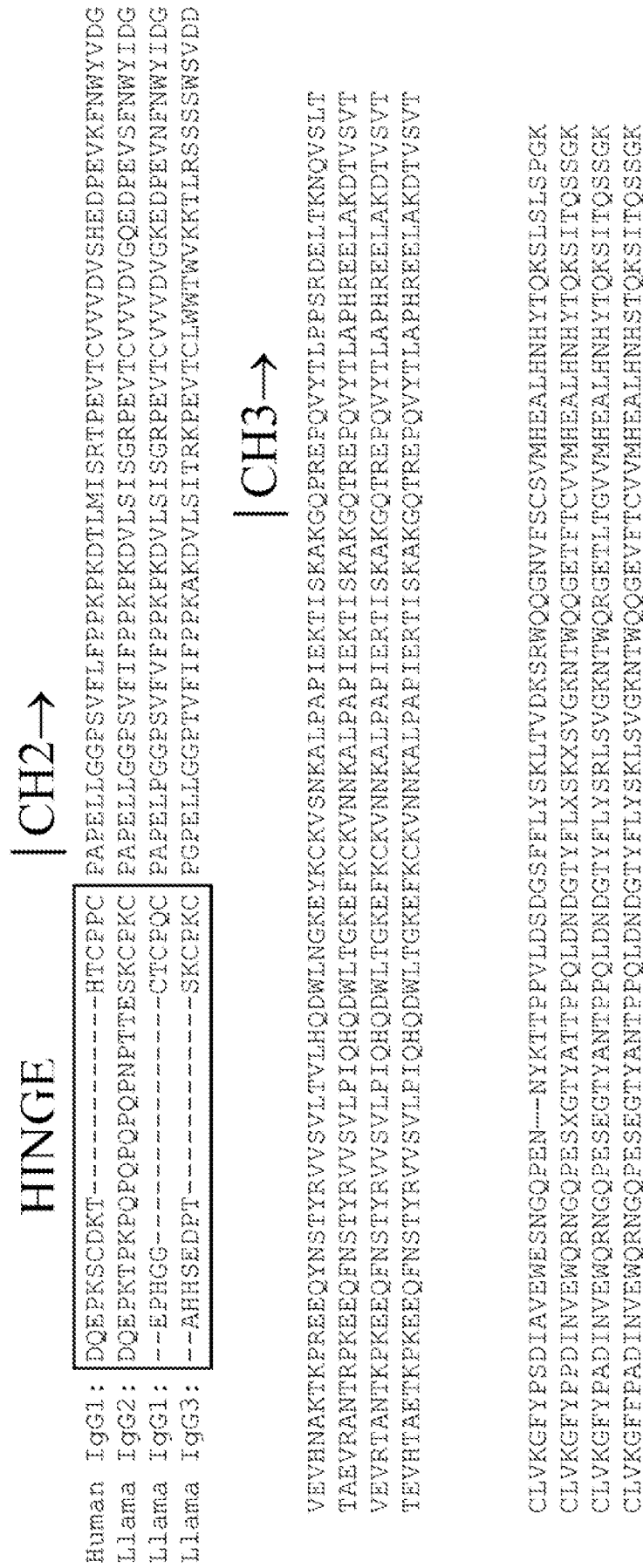
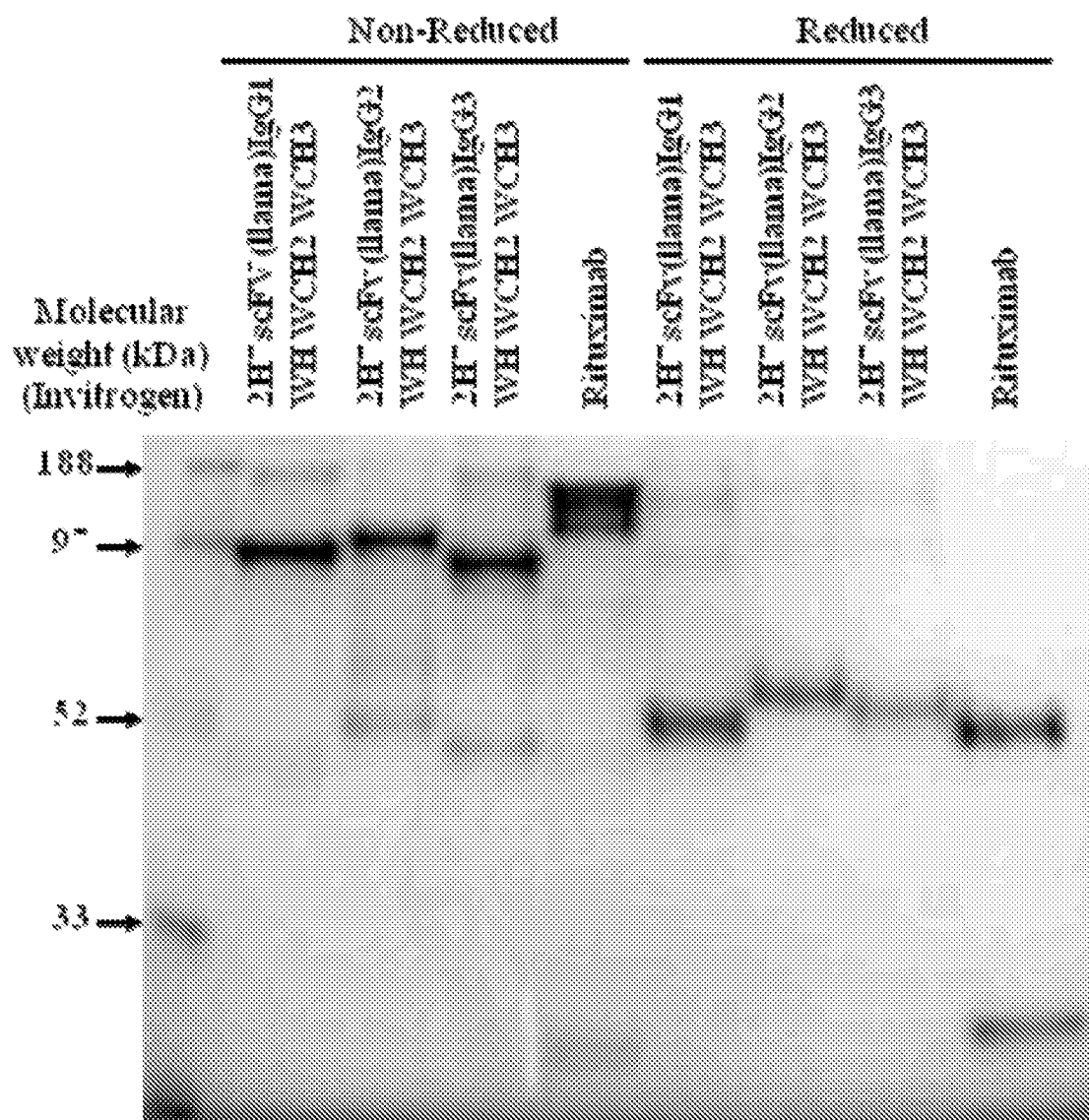
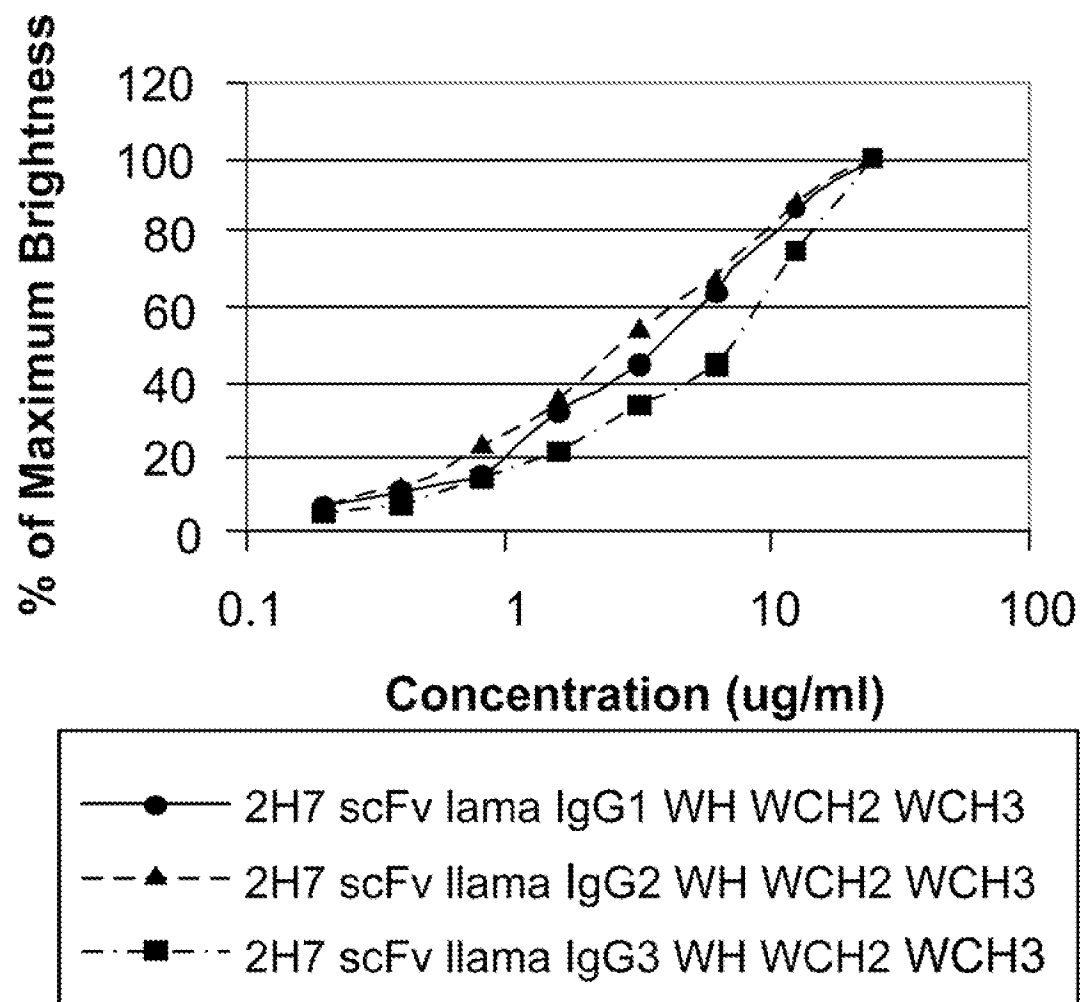


Fig. 23



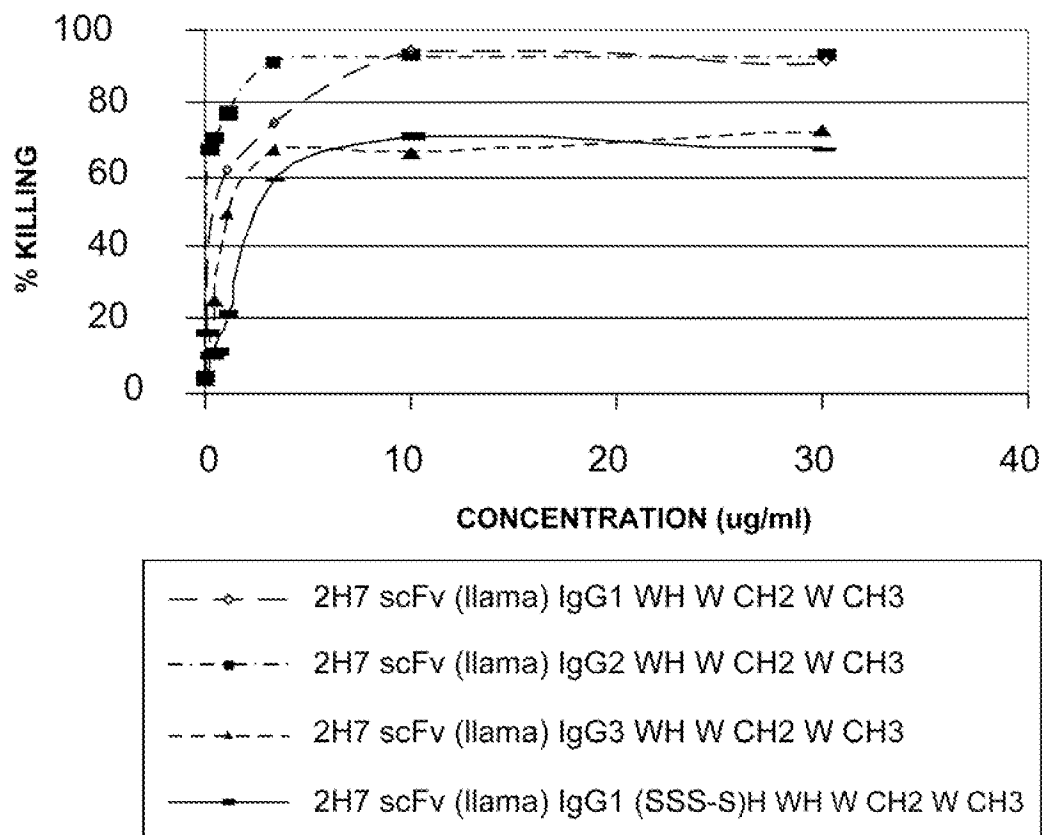
**Fig. 24**

## Llama Tails Binding Assay with CD20 CHO Cells



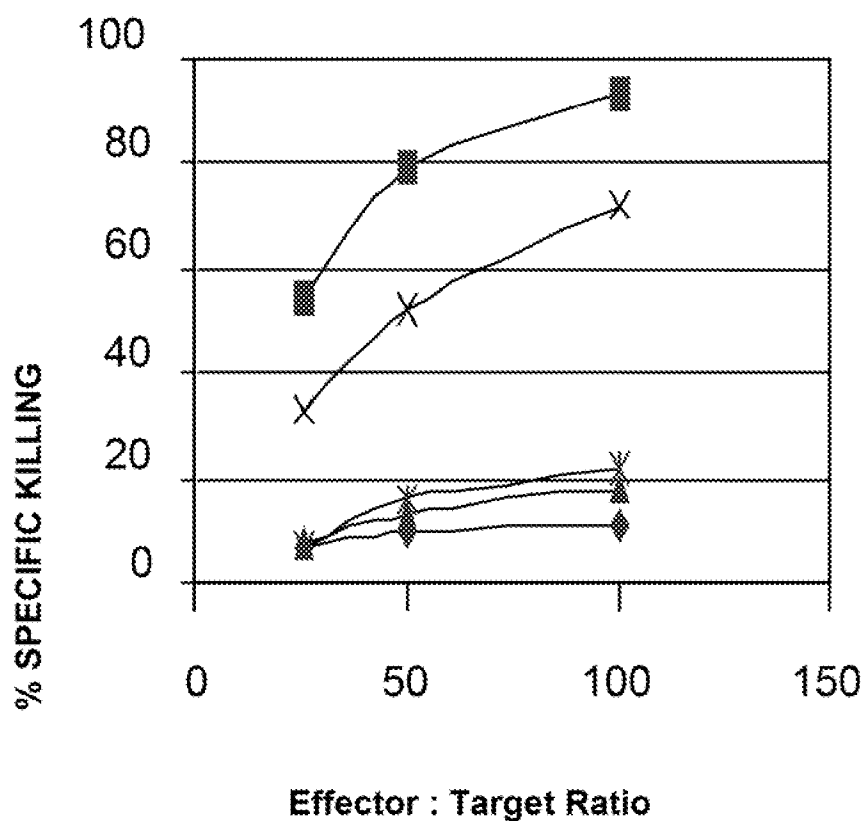
**Fig. 25**

2H7 scFvlg Llama Tails binding Assay with CD20 CHO Cells



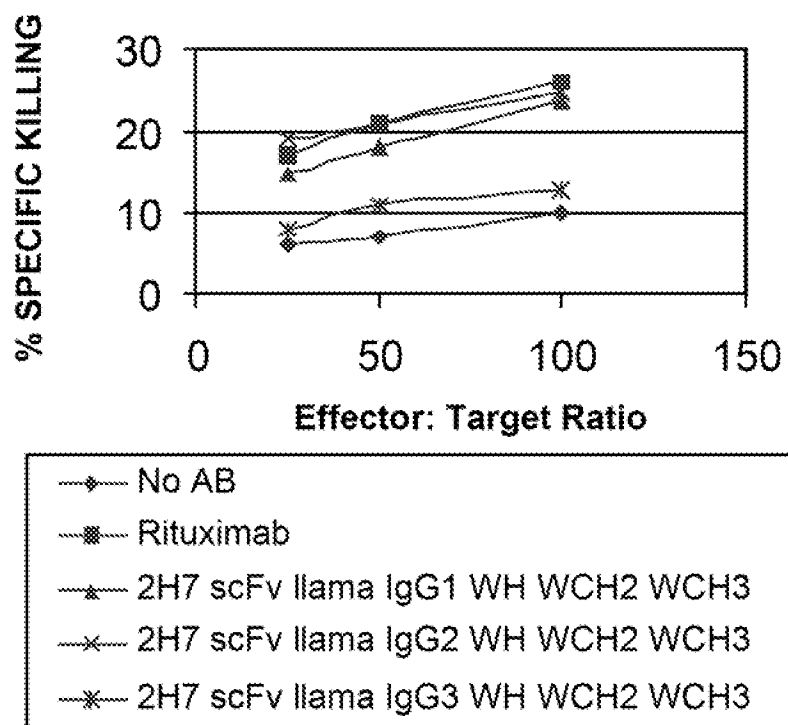
**Fig. 26**

## ADCC Assay with BJAB Targets and Human PBMC Effectors



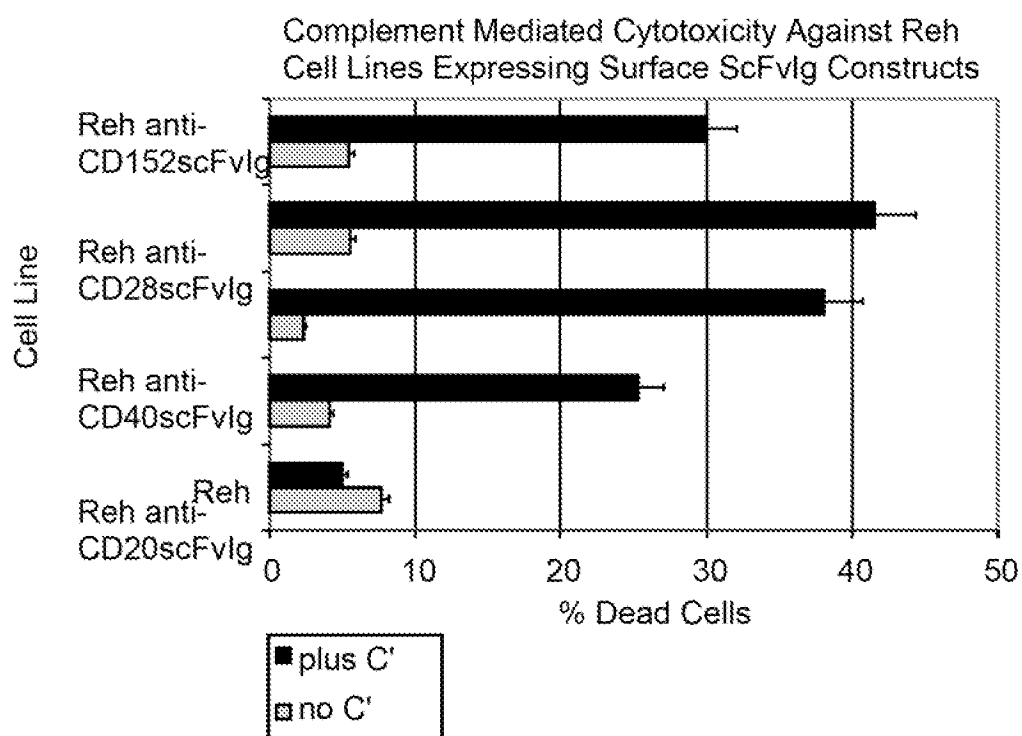
**Fig. 27**

### ADCC Assay with BJAB Cells And Llama PBMC Effectors



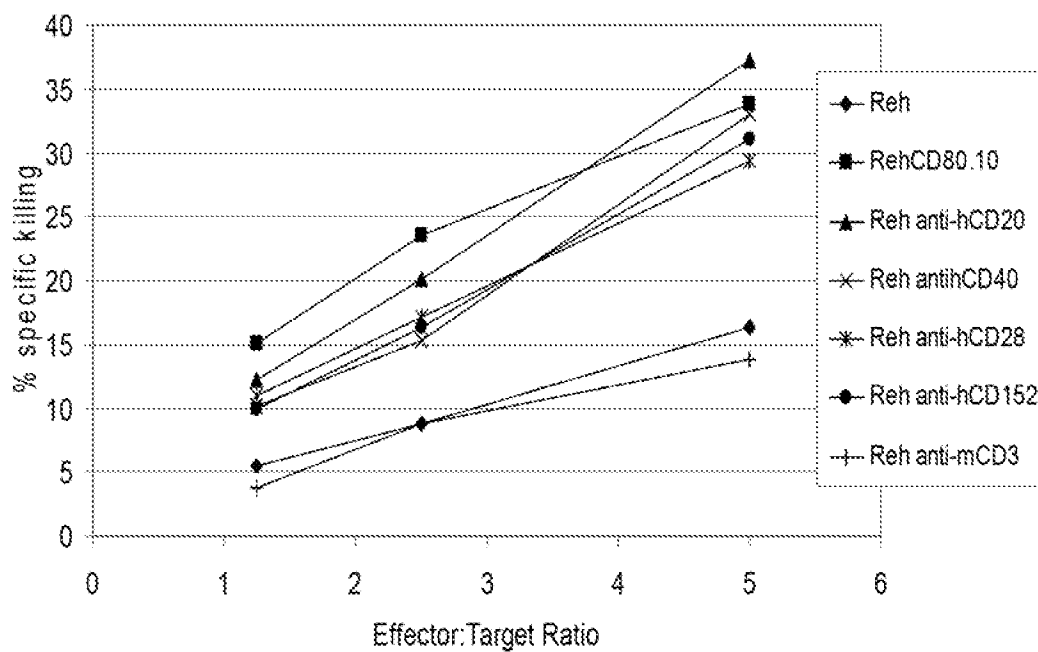
**Fig. 28**





**Fig. 29**

### ADCC Activity of Cell Surface Expressed ScFvlg Constructs

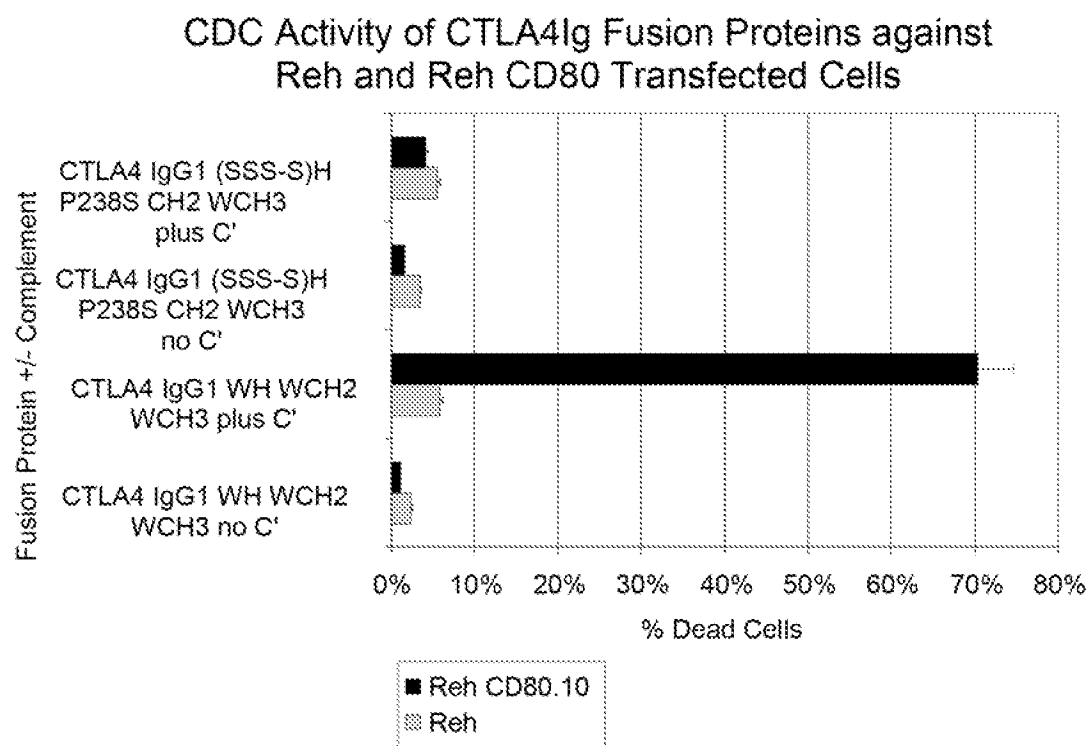


**Fig. 30**

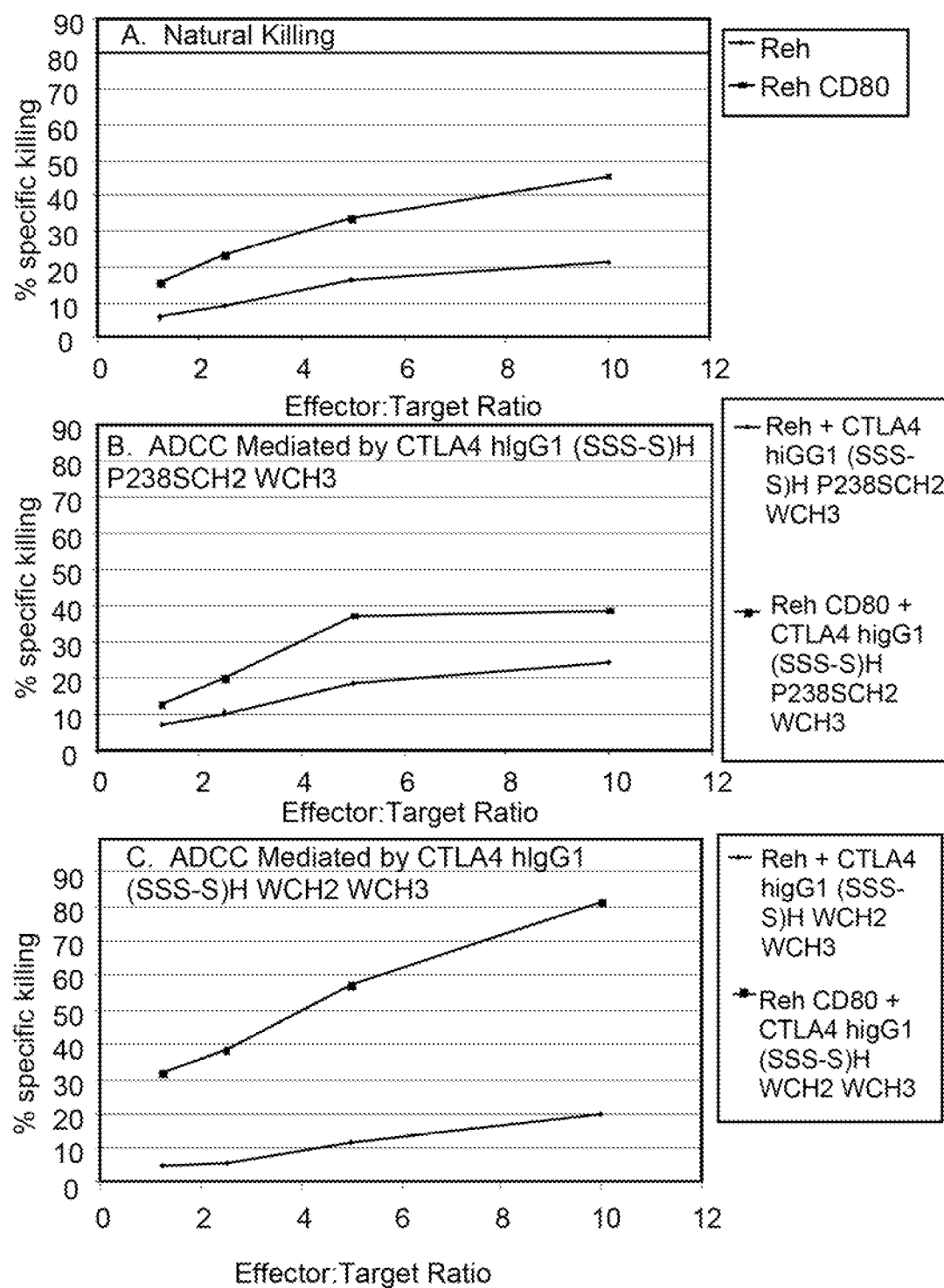
## Ig Constructs and Nomenclature:

| Name Identifier                        | Hinge Sequence                  | CH2 Sequence                        | CH3 Sequence                                |
|----------------------------------------|---------------------------------|-------------------------------------|---------------------------------------------|
| hIgG1 (CCC-P)H<br>WCH2 WCH3            | IgG1 WTHinge<br>(CCC-P)         | Wild Type CH2                       | Wild Type CH3                               |
| hIgG1 (SSS-S)H<br>WCH2 WCH3            | IgG1 Mutant<br>Hinge<br>(SSS-S) | Wild type CH2<br>(IgG1)             | Wild type CH3 (IgG1)                        |
| VH L11S<br>hIgG1 (SSS-S)H<br>WCH2 WCH3 | IgG1 Mutant<br>Hinge<br>(SSS-S) | Wild type CH2<br>(IgG1)             | Wild type CH3 (IgG1)                        |
| IgG1 (SSC-S)H<br>WCH2 WCH3             | IgG1 Mutant<br>Hinge<br>(SSC-S) | Wild type CH2<br>(IgG1)             | Wild type CH3 (IgG1)                        |
| IgG1 (SCS-S)H<br>WCH2 WCH3             | IgG1 Mutant<br>Hinge<br>(SCS-S) | Wild type CH2<br>(IgG1)             | Wild type CH3 (IgG1)                        |
| IgG1 (CSS-S)H<br>WCH2 WCH3             | IgG1 Mutant<br>Hinge (CSS-S)    | Wild type CH2<br>(IgG1)             | Wild type CH3 (IgG1)                        |
| IgG1 (SSS-S)H<br>P238S CH2 WCH3        | IgG1 Mutant<br>Hinge<br>(SSS)   | Mutant CH2<br>(IgG1)<br>Pro→Ser 238 | Wild type CH3 (IgG1)                        |
| IgA WH hIgG1<br>WCH2 WCH3              | IgA Hinge                       | Wild type CH2<br>(IgG1)             | Wild type CH3 (IgG1)                        |
| IgA WH IgA<br>WCH2 WCH3                | IgA Hinge                       | Wild type CH2<br>(IgA)              | Wild type CH3 (IgA)                         |
| IgA WH IgA<br>WCH2 T4CH3               | IgA Hinge                       | Wild type CH2<br>(IgA)              | Truncated CH3 (IgA)<br>Missing 3 aa at COOH |

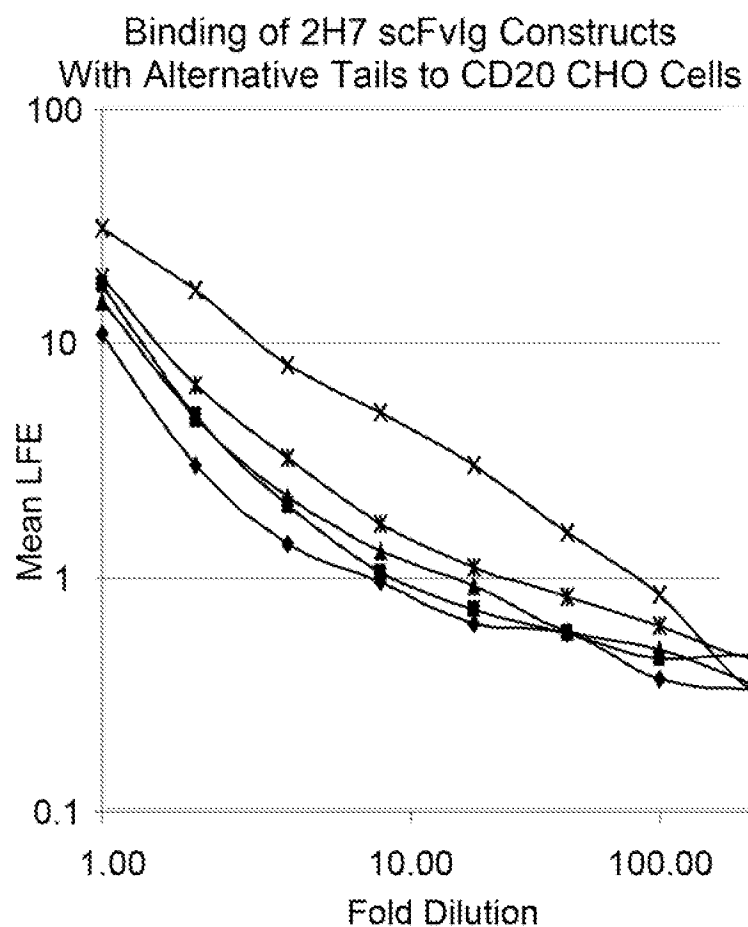
**Fig. 31**



**Fig. 32**



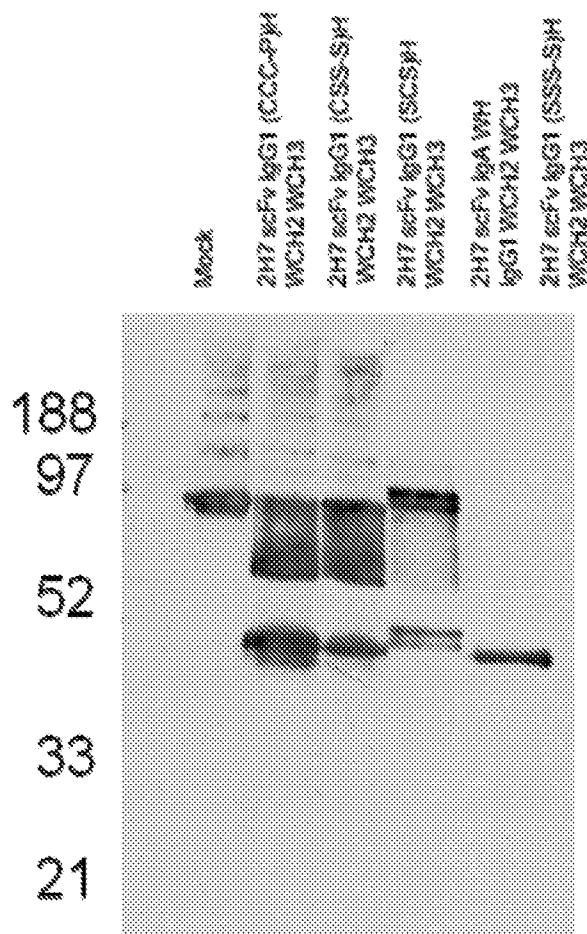
**Fig. 33**



- ◆ 2H7 scFv hlgG1 (CCC-P)H WCH2 WCH3
- 2H7 scFv hlgG1 (CSS-S)H WCH2 WCH3
- ▲ 2H7 scFv hlgG1 (SCS-S)H WCH2 WCH3
- ✕ 2H7 scFv HlgG1 (SSC-S)H WCH2 WCH3
- ⊠ 2H7 scFv VH L11S hlgG1 (CCC-P)H WCH2 WCH3

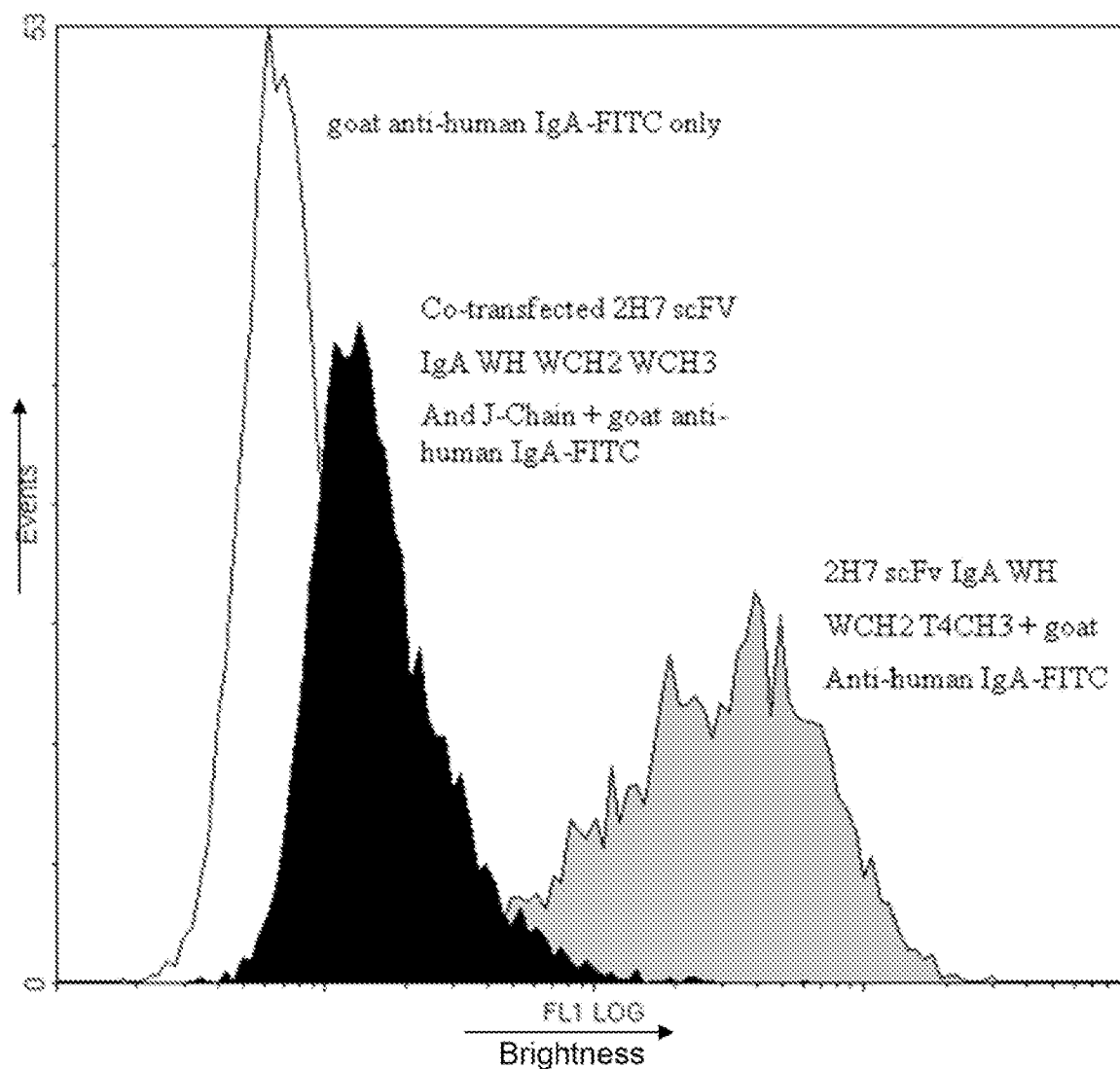
**Fig. 34**

## Immunoblot Analysis of protein immunoprecipitates from COS transfections of 2H7 scFvlg Constructs



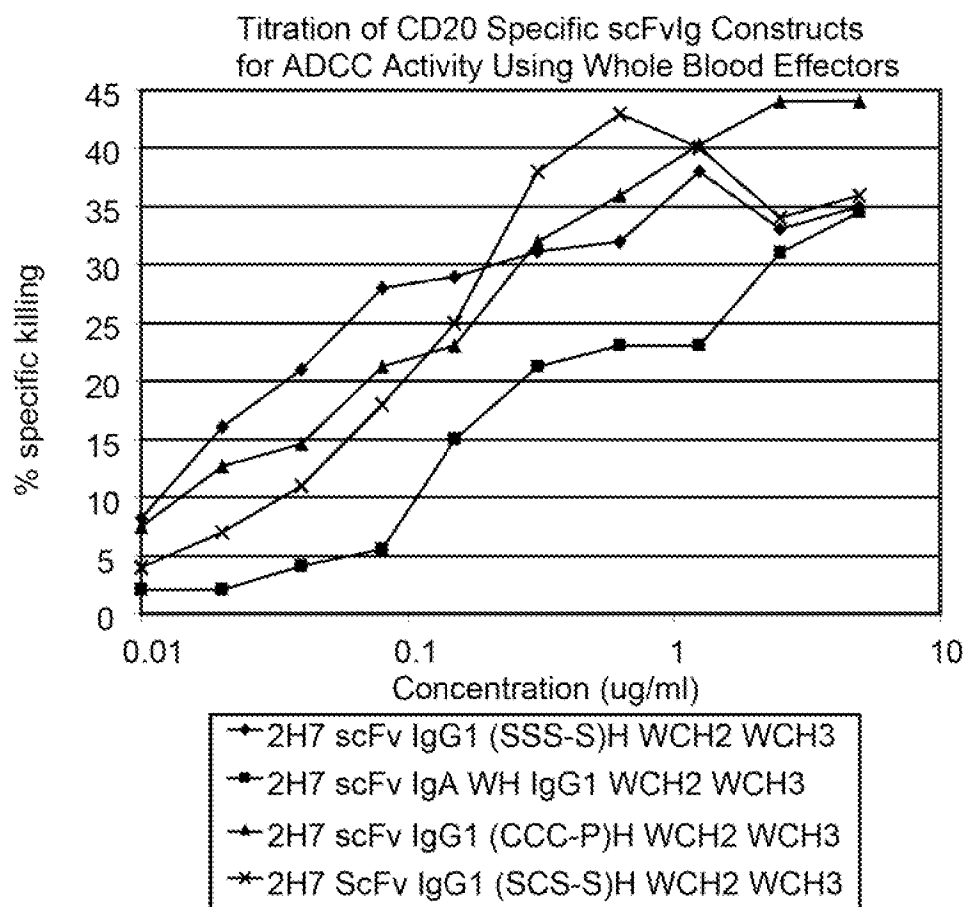
**Fig. 35**

## Binding to CD20 CHO cells by constructs That link anti-CD20 scFv to IgA Fc Domains

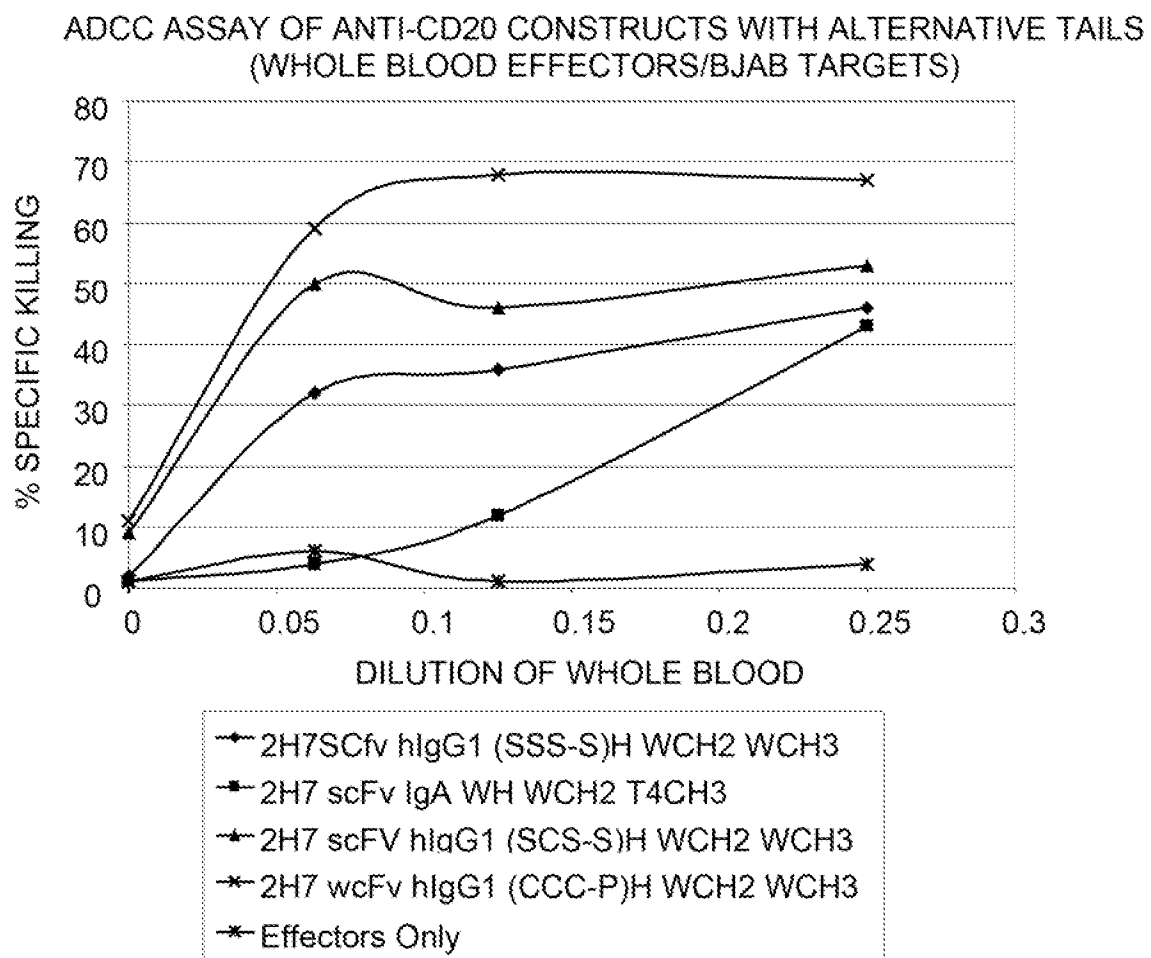


**Fig. 36**



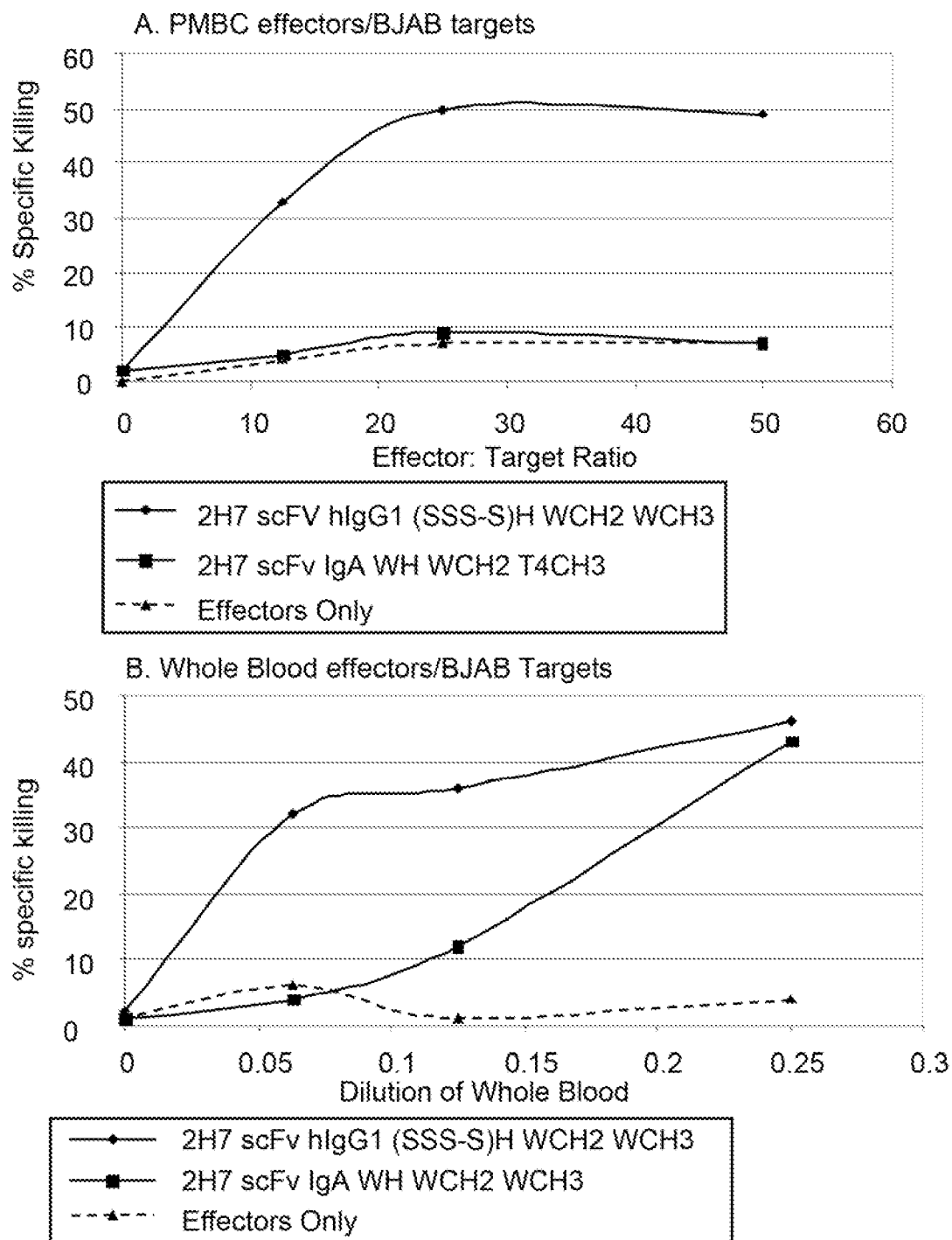


**Fig. 37**



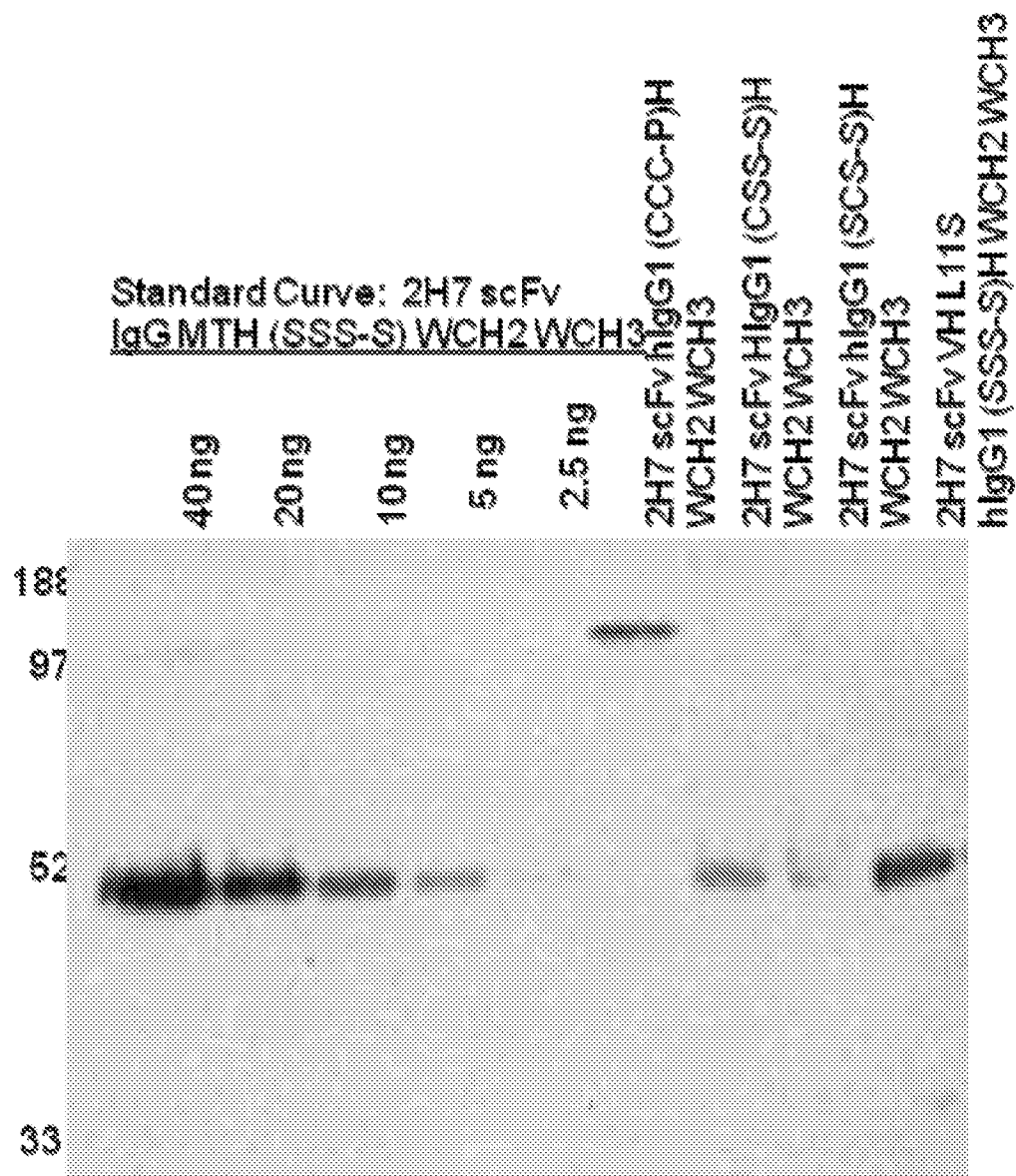
**Fig. 38**

ADCC Assay of Anti-CD20 scFvIG Constructs  
Using Different Effector Populations Against BJAB Targets

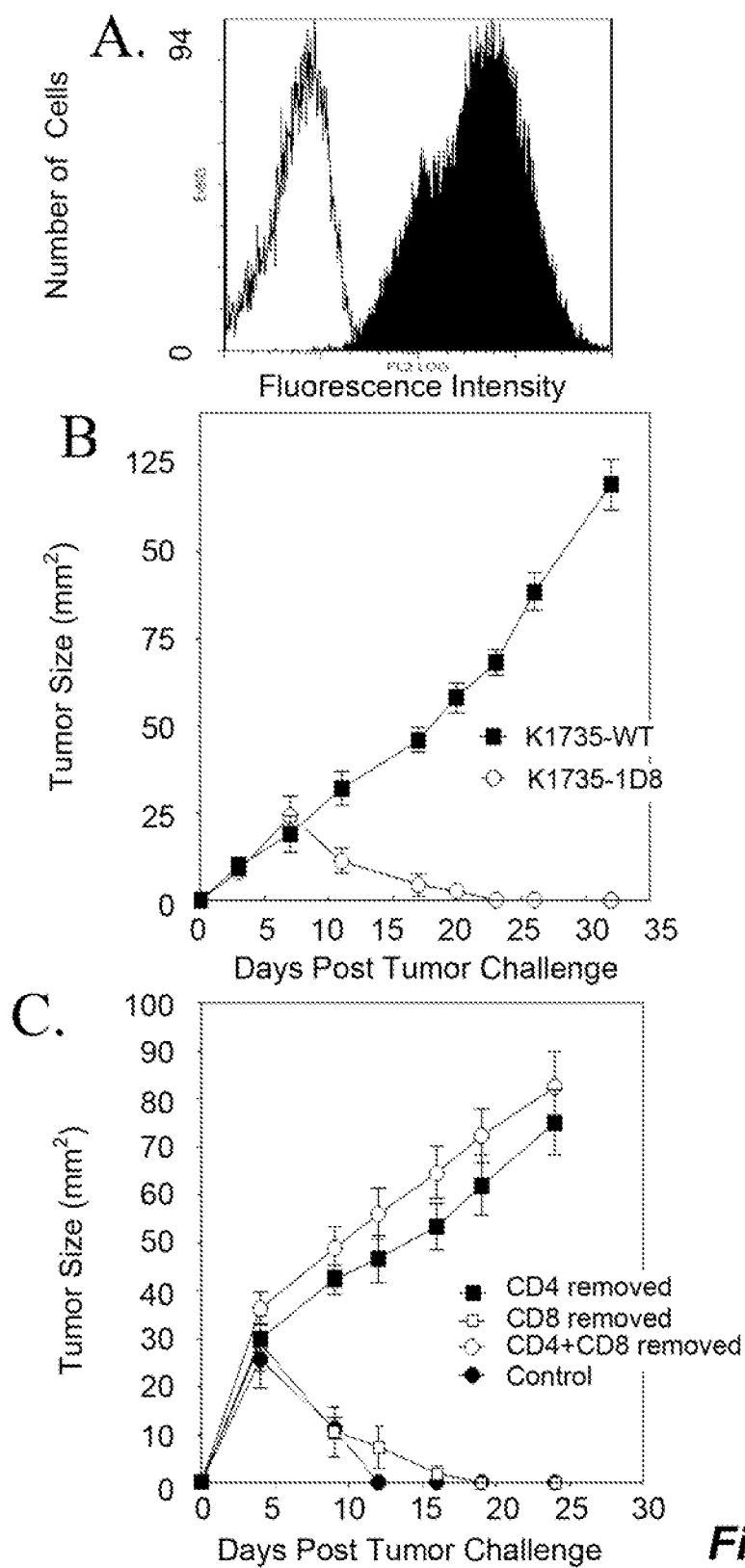


**Fig. 39**

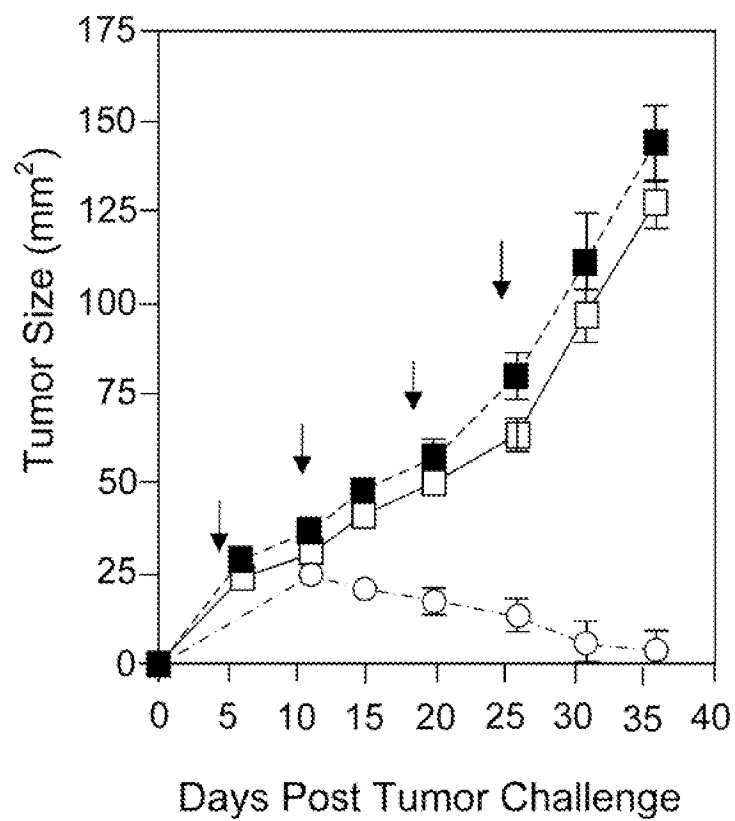
Immunoblot of 2H7 scFv Ig constructs from COS  
Transfections (1 µl/well) compared to a Concentration Standard



**Fig. 40**

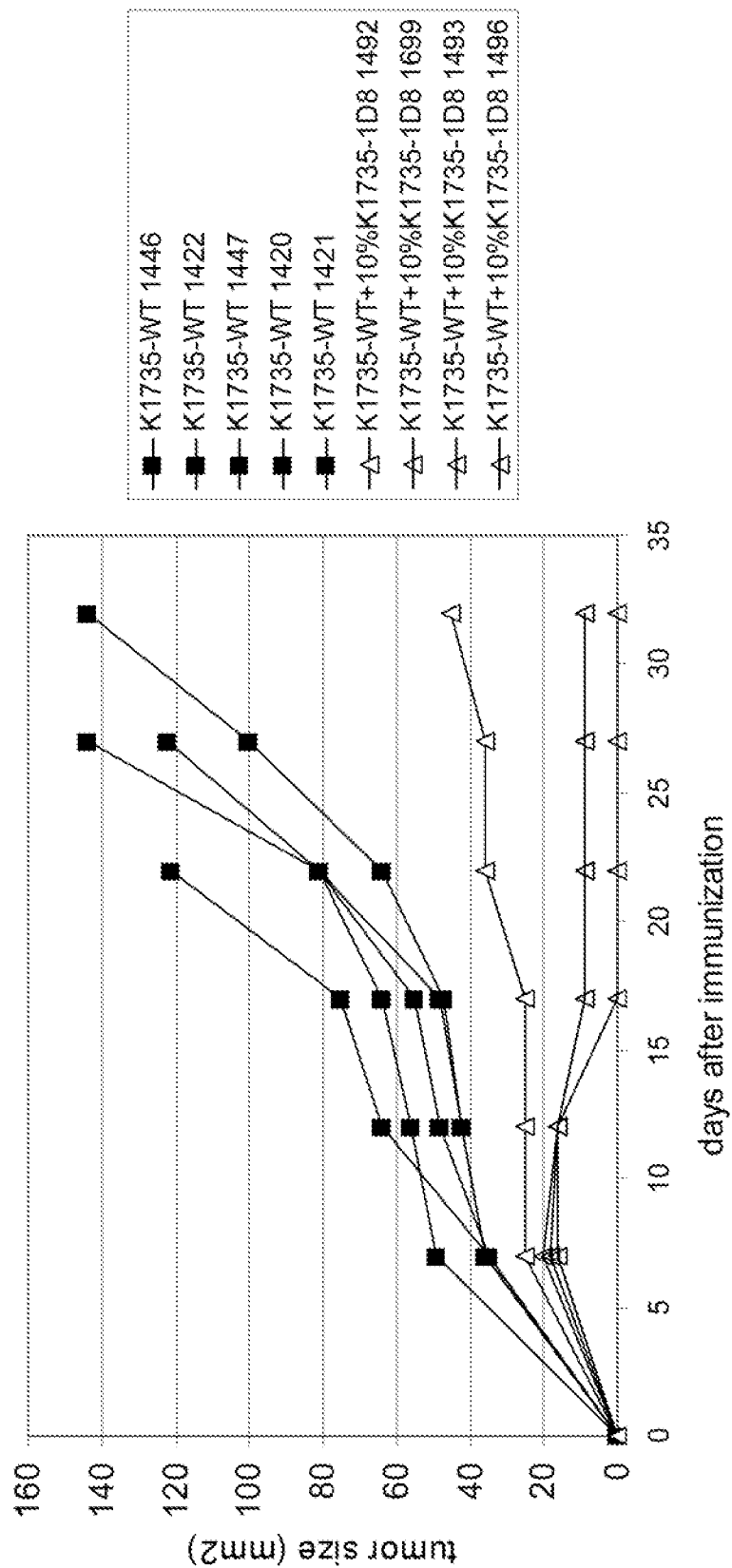


**Fig. 41**



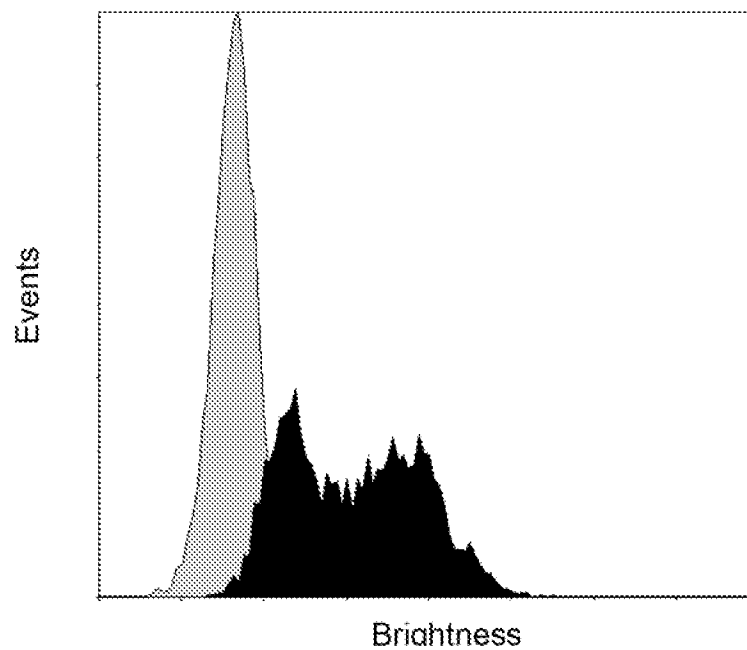
**Fig. 42**

Mixtures of K1735-WT and K1735-1D8 transfected tumor lines  
inhibit tumor outgrowth in C3H mice



**Fig. 43**

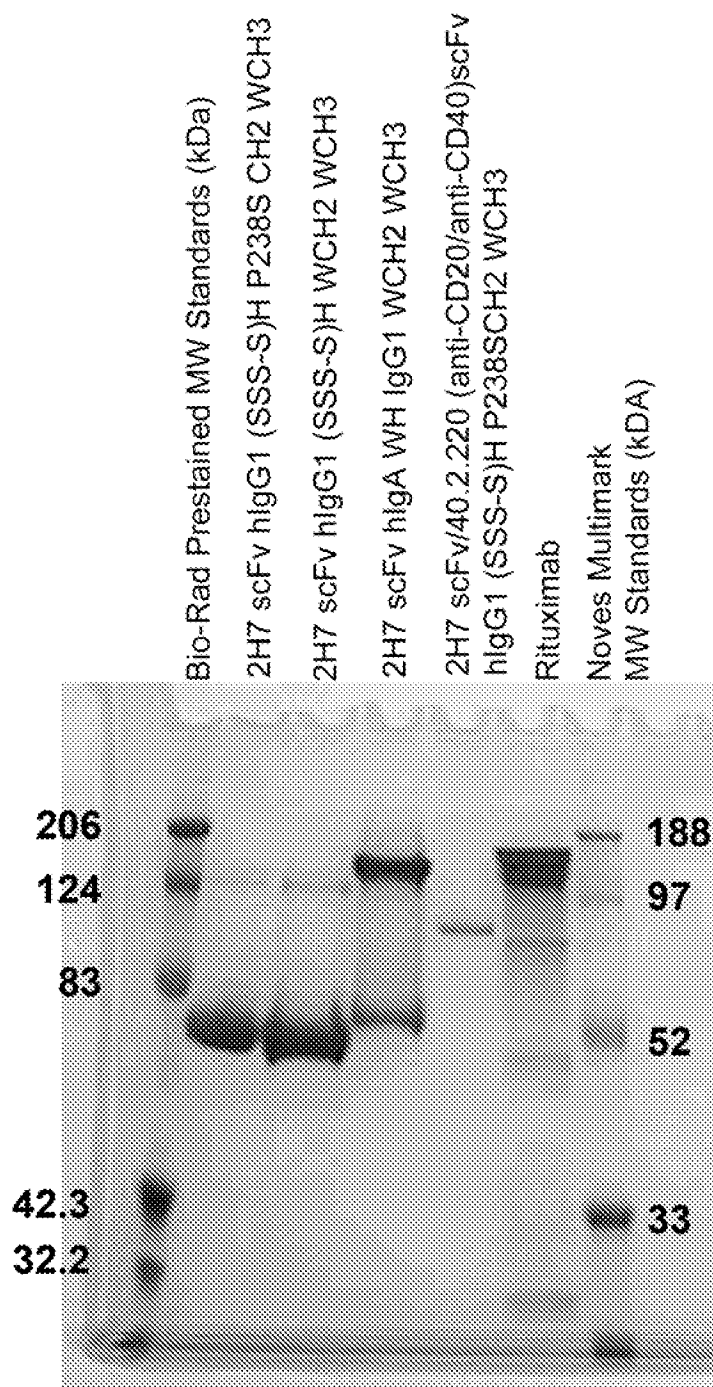
Expression of anti-mouse CD137 (1D8) scFv-hIgG1 (SSS-S)H  
P238SCH2 WCH3 On the surface of panned  
Ag104-1D8 Transfected Tumor Cells



***Fig. 44***

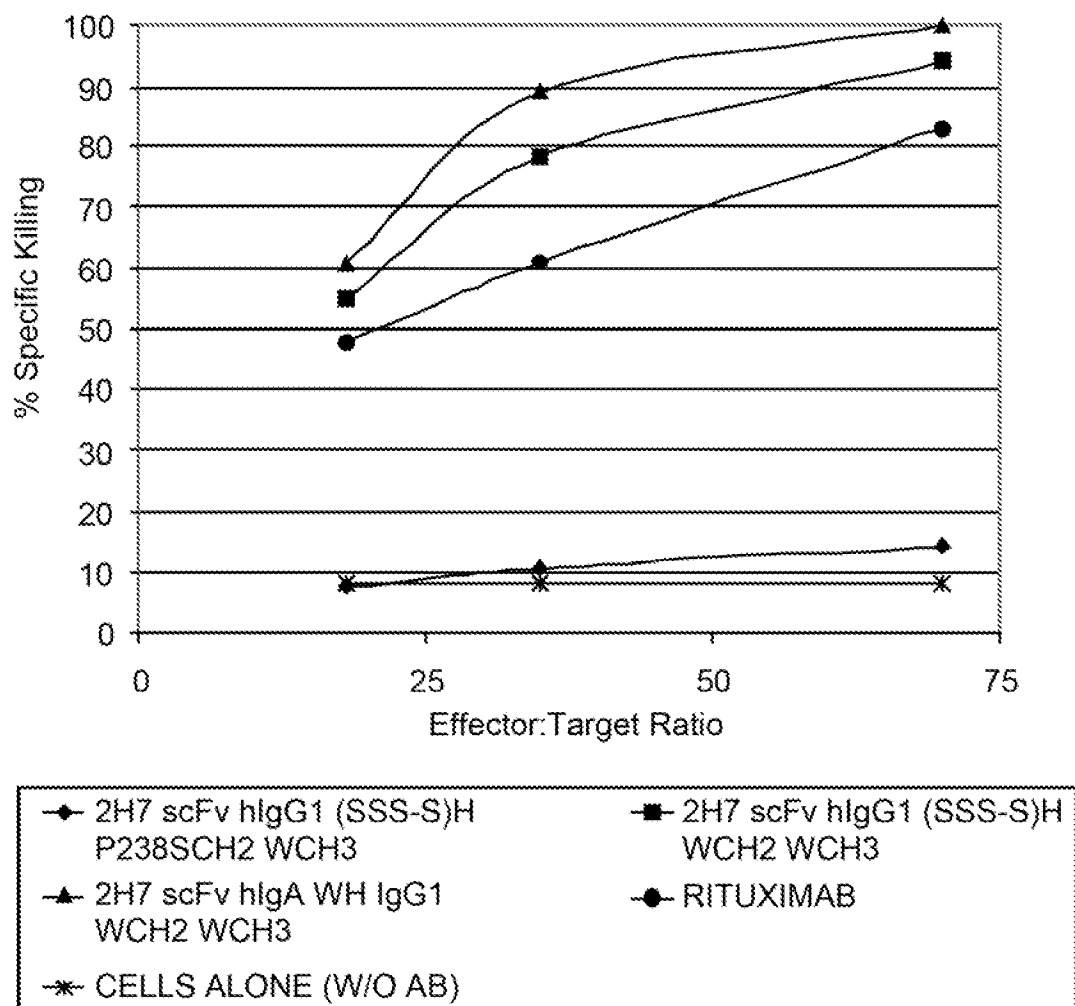


## Coomassie Stained SDS-PAGE Gel of 2H7 scFv Ig Constructs



**Fig. 45**

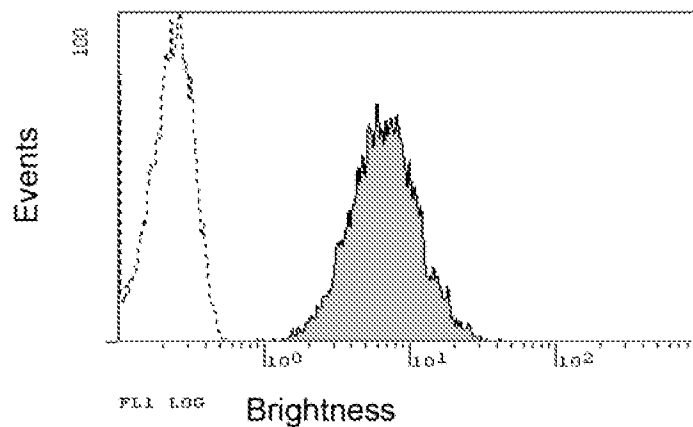
**ADCC mediated by 2H7 scFvIg derivatives by human PBMC  
effector cells against Bjab targets**



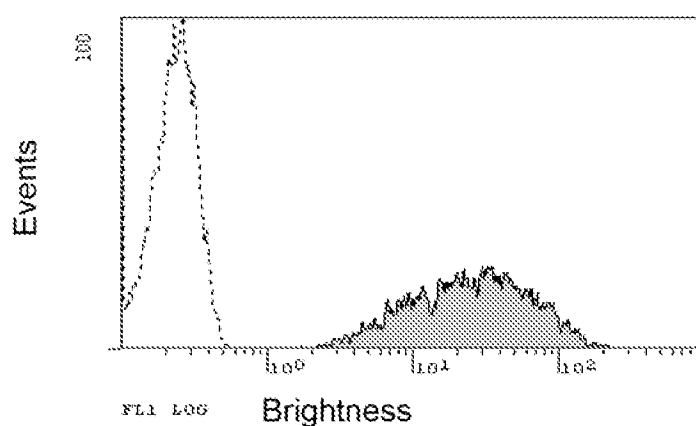
**Fig. 46**

Cell surface expression of anti-human CD3 G19-4 scFv hIgG1  
(SSS-S)H P238SCH2 WCH3-hCD80TM/CT on Reh and T51 Cells.

Reh anti-CD3 (G19-4) scFv hIgG1 (SSS-S)H  
P238SCH2 WCH3-hCD80TM/CT

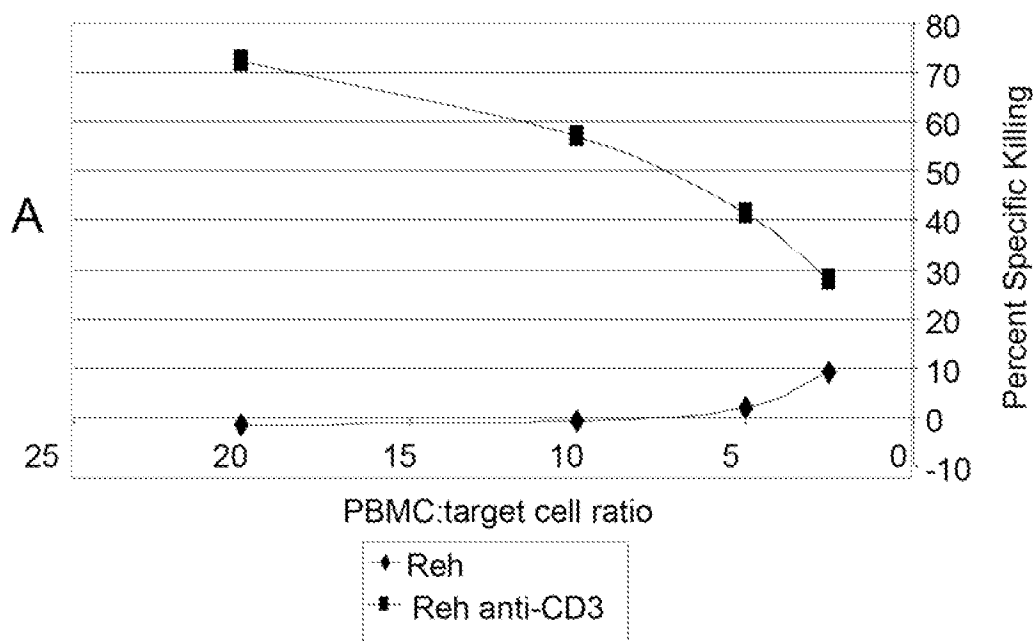


T51 G19-4 scFv hIgG1 (SSS-S)H  
P238SCH2 WCH3-hCD80TM/CT:

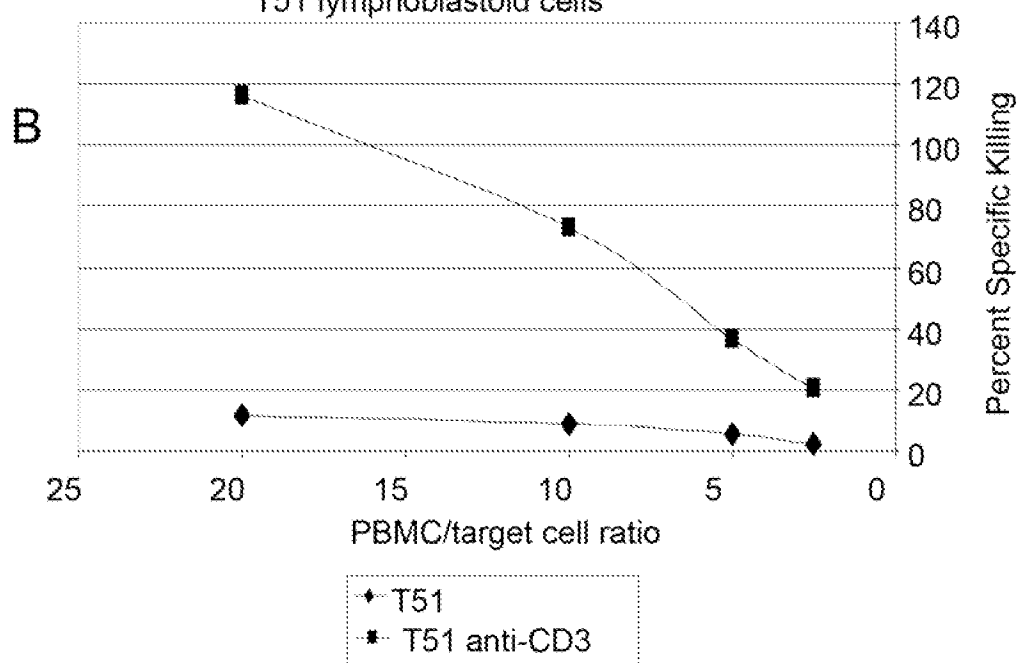


**Fig. 47**

Targeting of Cytotoxicity to Transfected Cell Lines  
by Surface expression of CD3 scFvlg  
Cytotoxic activity of resting PBMC towards transfected Reh cells

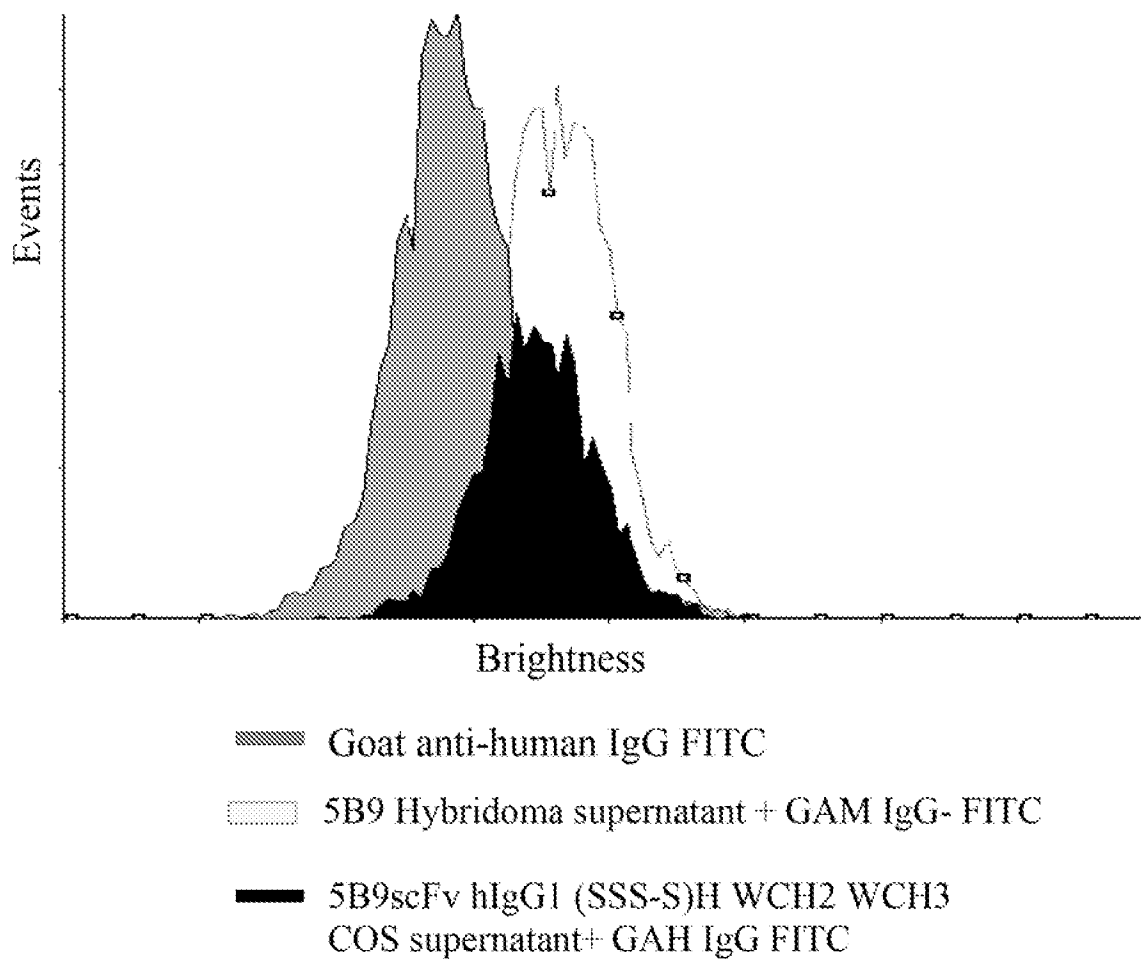


Cytotoxic activity of resting PBMC towards transfected  
T51 lymphoblastoid cells



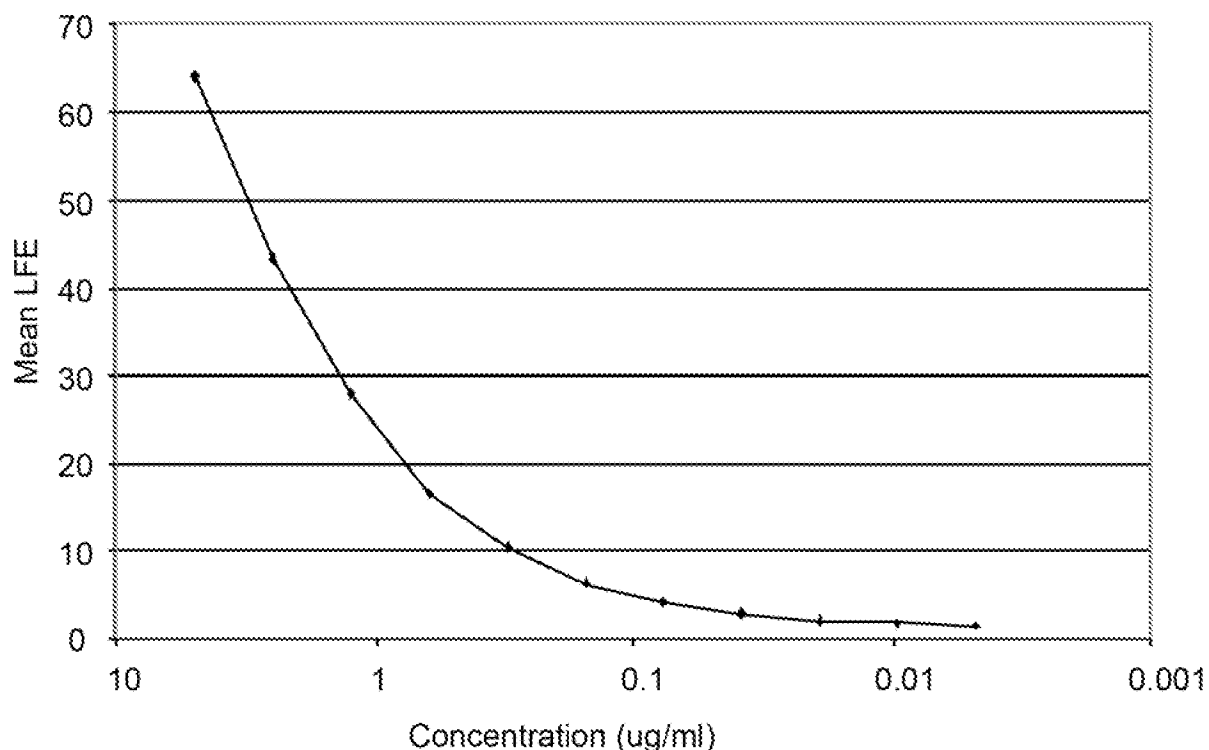
**Fig. 48**

Binding of 5B9, a mouse anti-human CD137 scFv hIgG1  
(SSS-H) WCH2 WCH3 to stimulated human PBMC



**Fig. 49**

Effect of V<sub>H</sub>L11S Mutation on Cytox B20  
2H7 scFv hIgG1 (SSS-S)H WCH2 WCH3 Protein Expression  
A. Standard Curve: 2H7VH-L11S-IgG1 (SSS-S)H WCH2 WCH3

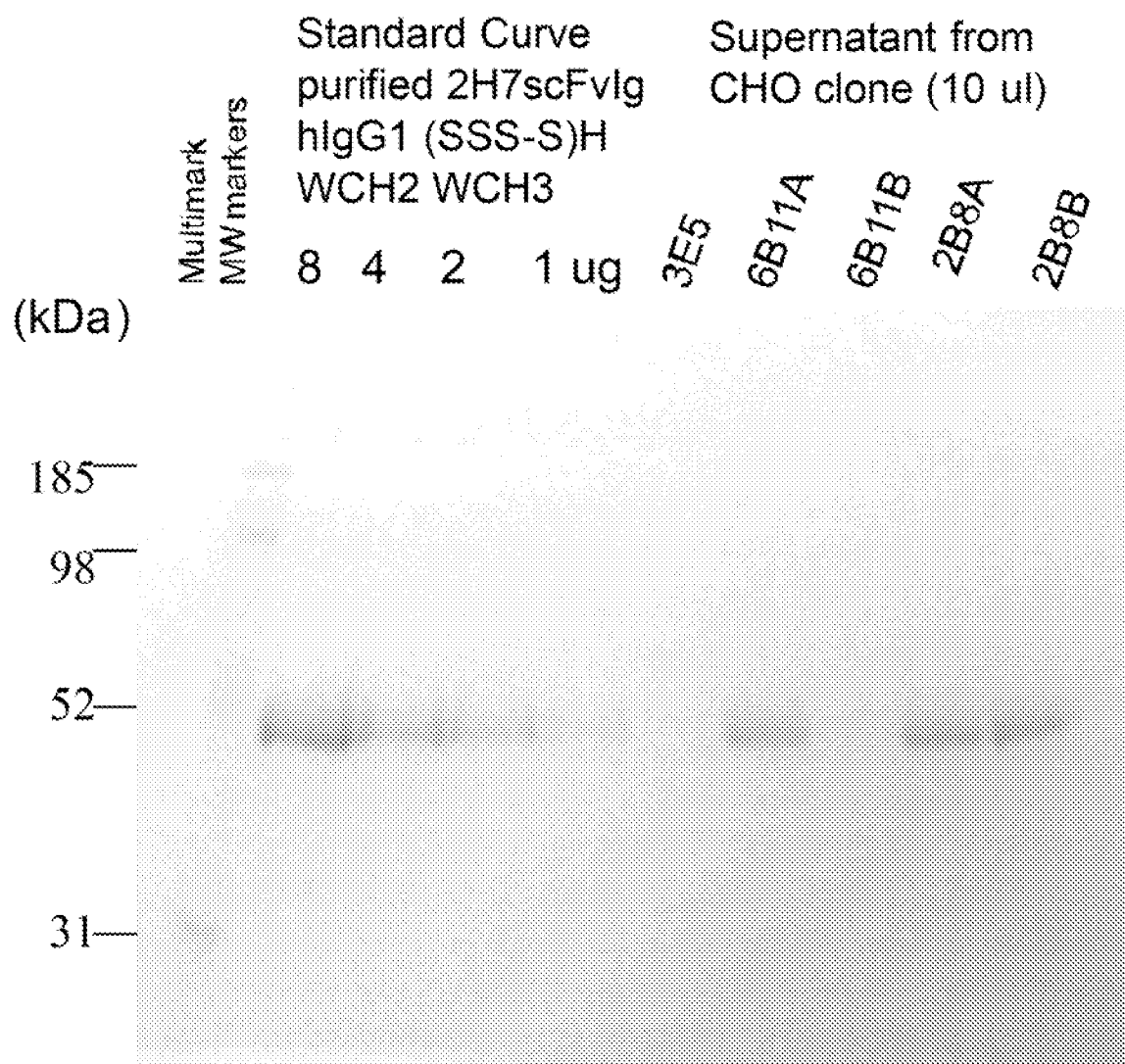


B. CHO supernatant Brightness and Estimation of Protein concentrations from Standard Curve:

|                          | CHO clone name |            |            |              |             |
|--------------------------|----------------|------------|------------|--------------|-------------|
|                          | <u>4F2</u>     | <u>4F5</u> | <u>3E5</u> | <u>6B11A</u> | <u>2B8A</u> |
| Mean LFE                 |                |            |            |              |             |
| 1/100                    | 71.7           | 40.6       | 31.5       | 99.7         | 101.5       |
| 1/500                    | 27.1           | 12.4       | 11.2       | 40.8         | 43          |
| approx<br>conc.<br>µg/ml | 600            | 225        | 125        | 1000         | 1250        |

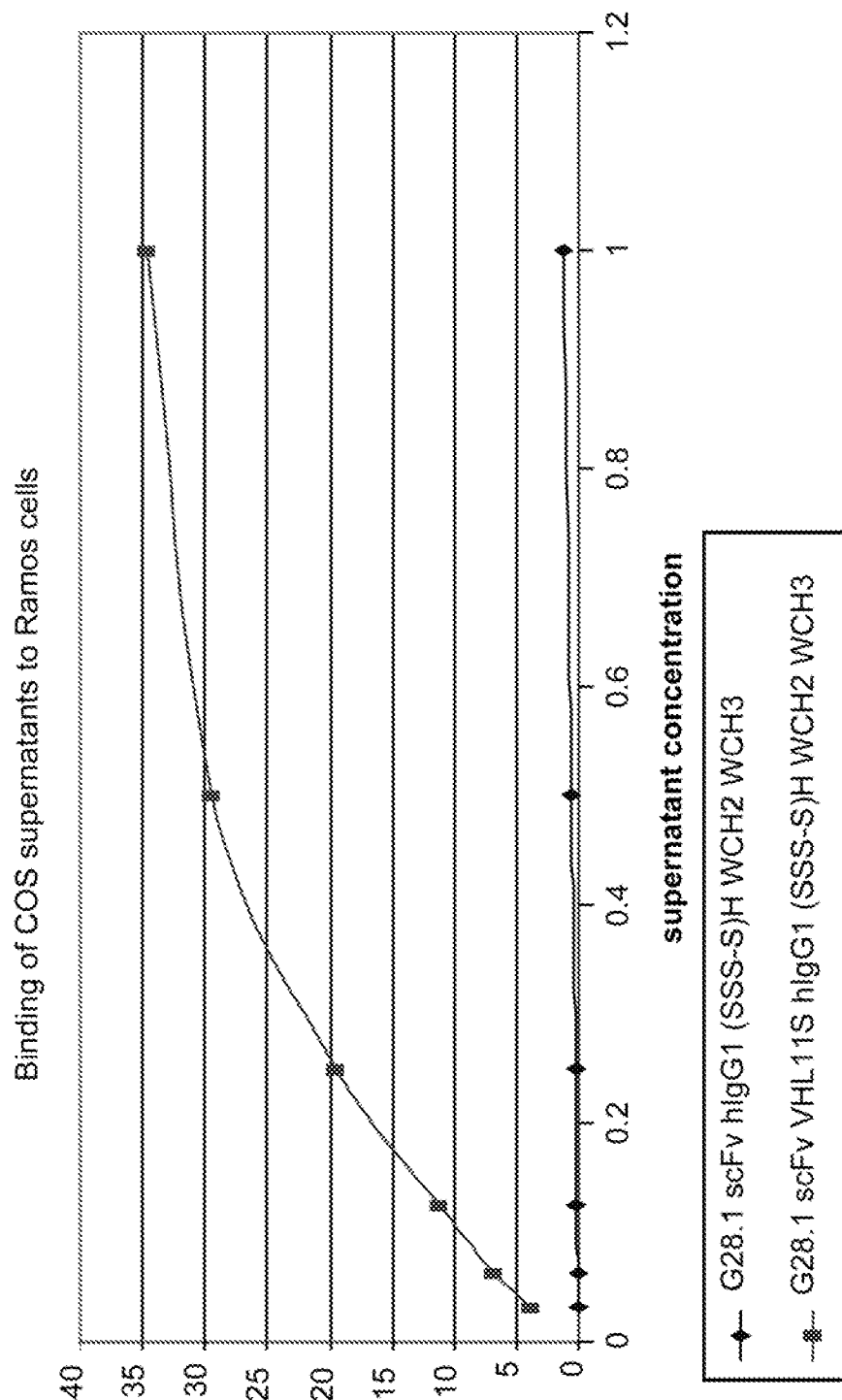
**Fig. 50**

Production Levels of 2H7scFV VH L11S hlgG1  
(SSS-S)H WCH2 WCH3  
from CHO Clone Culture Supernatants



**Fig. 51**

Effect of VHL11S Mutation on G28-1 scFvlg Construct  
Protein Production from COS cells



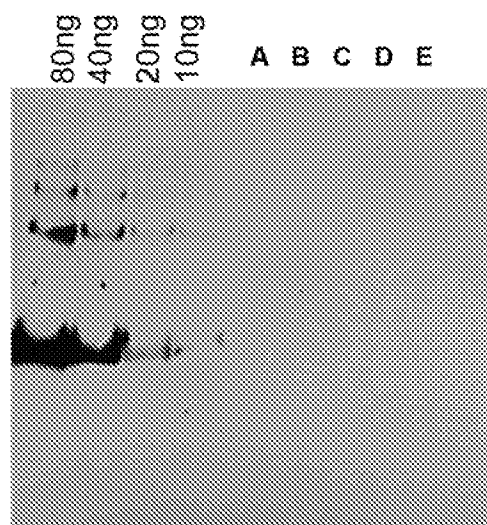
**Fig. 52**



## Immunoblot of G28-1 scFvlg Derivatives

Purified G28-1  
(11/6/01)  
scFv IgG1 (SSS-S)H  
WCH2 WCHC3

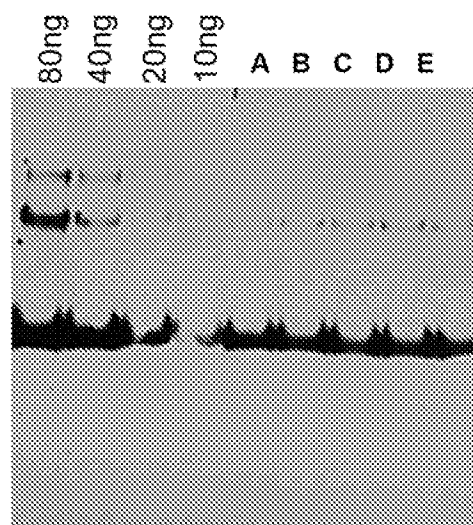
G28-1 scFv  
hlgG1 (SSS-S)H  
WCH2 WCH3  
1 ul/well



**Fig. 53A**

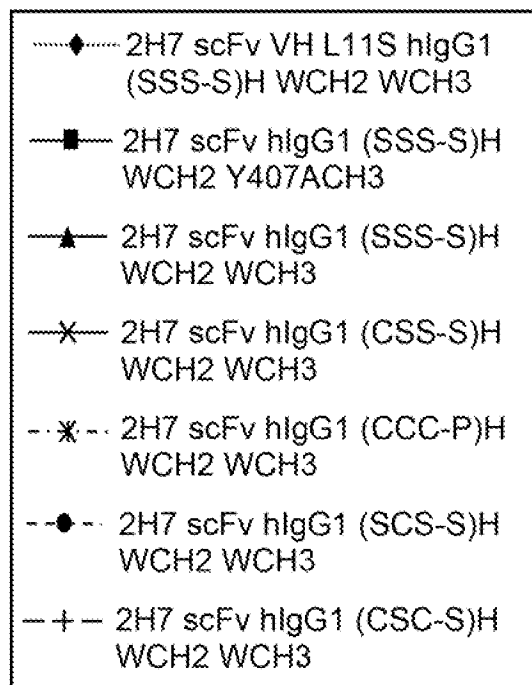
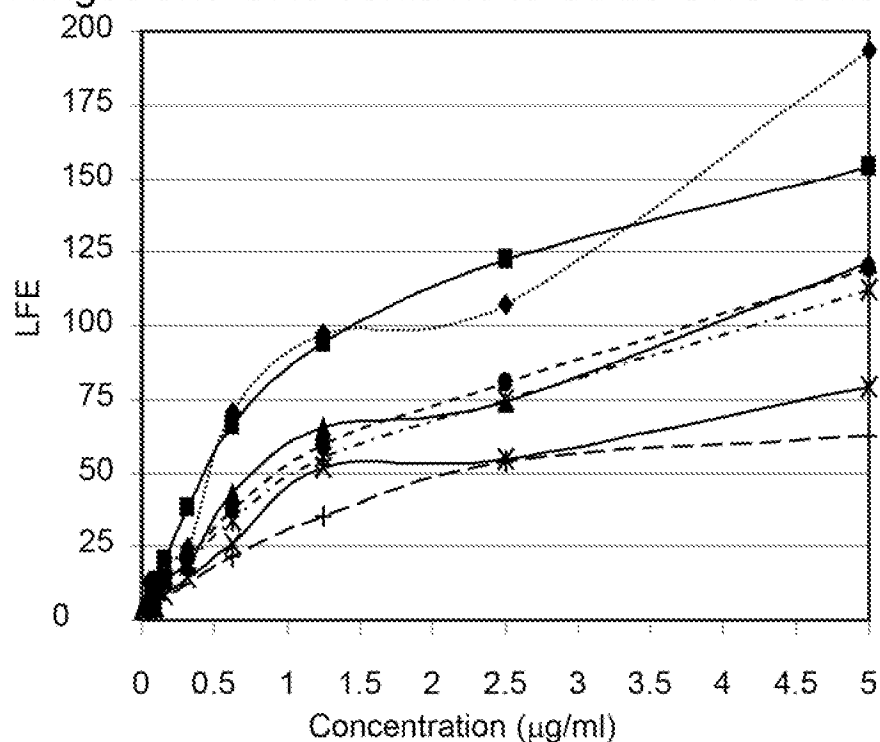
Purified G28-1  
(11/6/01)  
scFv hlgG1 (SSS-S)H  
WCH2 WCH3

G28-1VHL11S  
scFv hlgG1 (SSS-S)H  
WCH2 WCH3  
1 ul/well



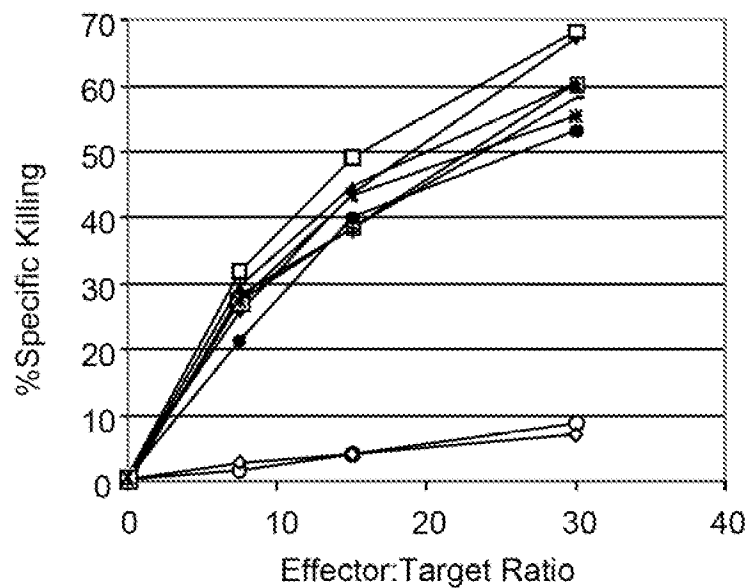
**Fig. 53B**

# Binding of 2H7 scFvlg Constructs with Altered Hinges and CH3 domains to CD20 CHO Cells



**Fig. 54**

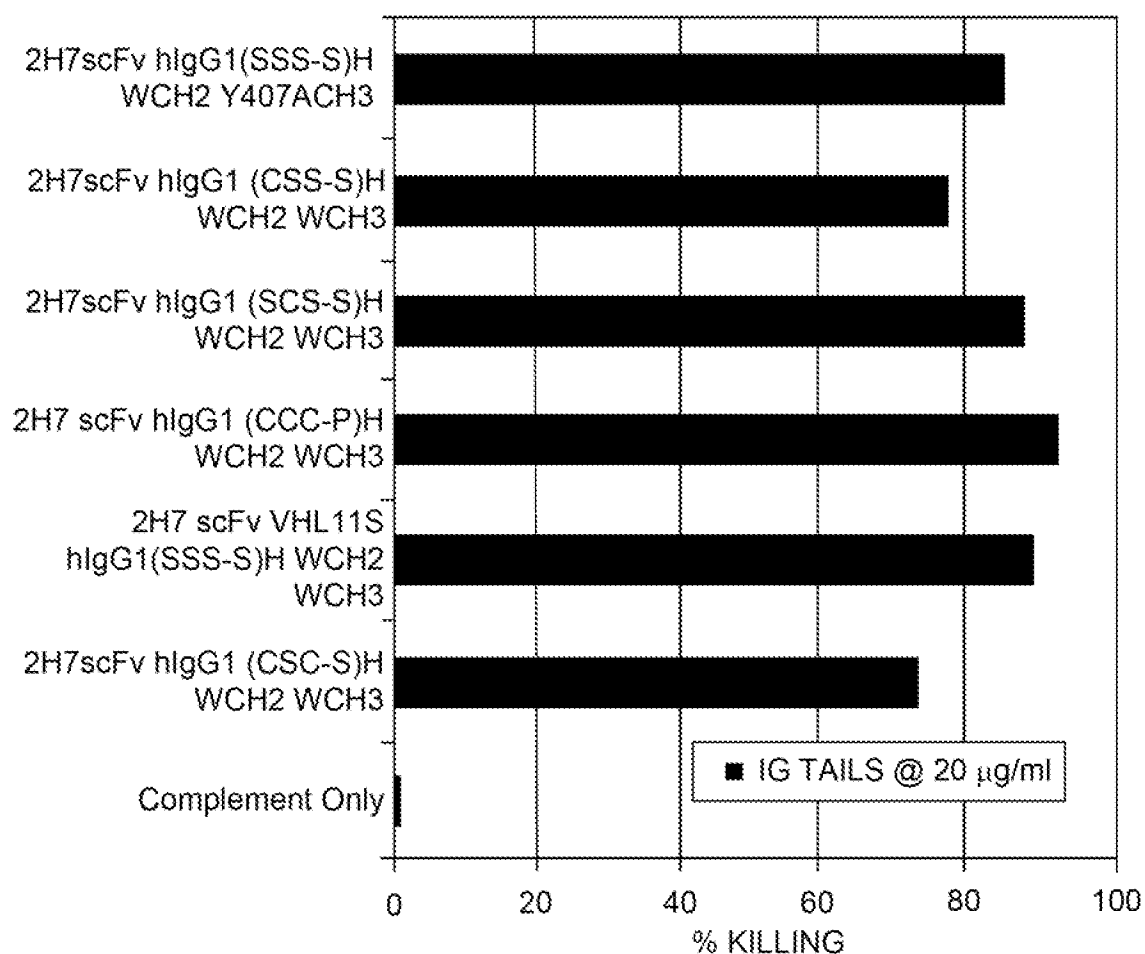
## ADCC Activity of 2H7 scFvIg Constructs Against BJAB Targets and PBMC Effectors



- ◆— 2H7 scFv hlgG1 (CCC-P)H WCH2 WCH3
- 2H7 scFv hlgG1 (CSS-S)H WCH2 WCH3
- ▲— 2H7 scFv hlgG1 (SCS-S)H WCH2 WCH3
- 2H7 scFv hlgG1 (CSC-S)H WCH2 WCH3
- ✱— 2H7 hlgG1 scFv (SSS-S)H WCH2 WCH3
- 2H7 scFv hlgG1 (SSS-S)H WCH2 Y407A CH3
- 2H7 scFv hlgA WH WCH2 WCH3
- ◇— Natural Killing





**Fig. 55**

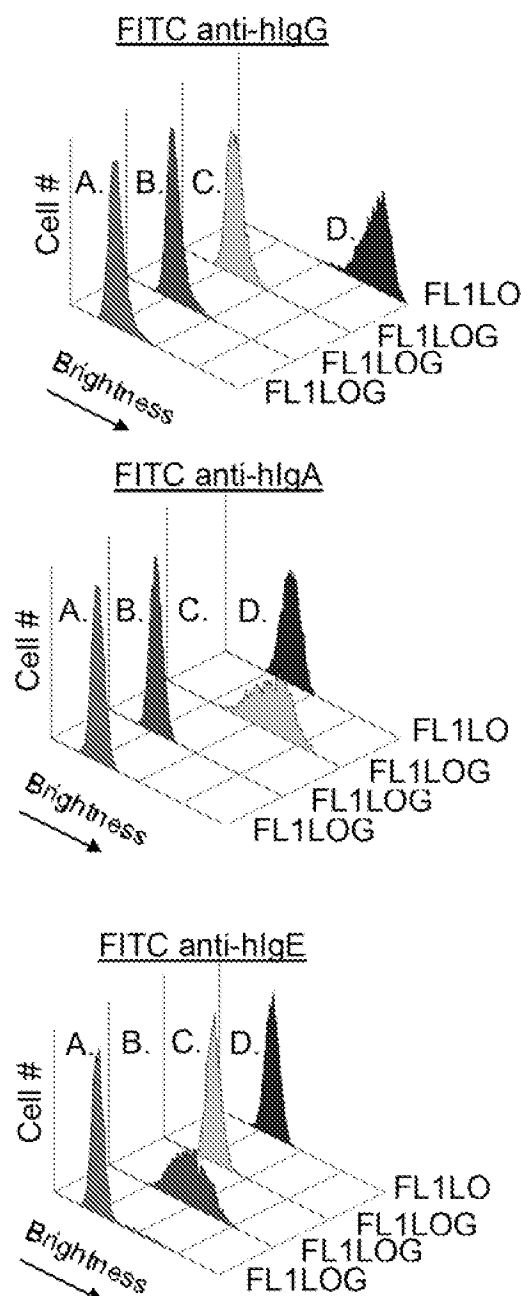
## Complement Activity of 2H7 scFvlg Constructs with Ramos Target Cells



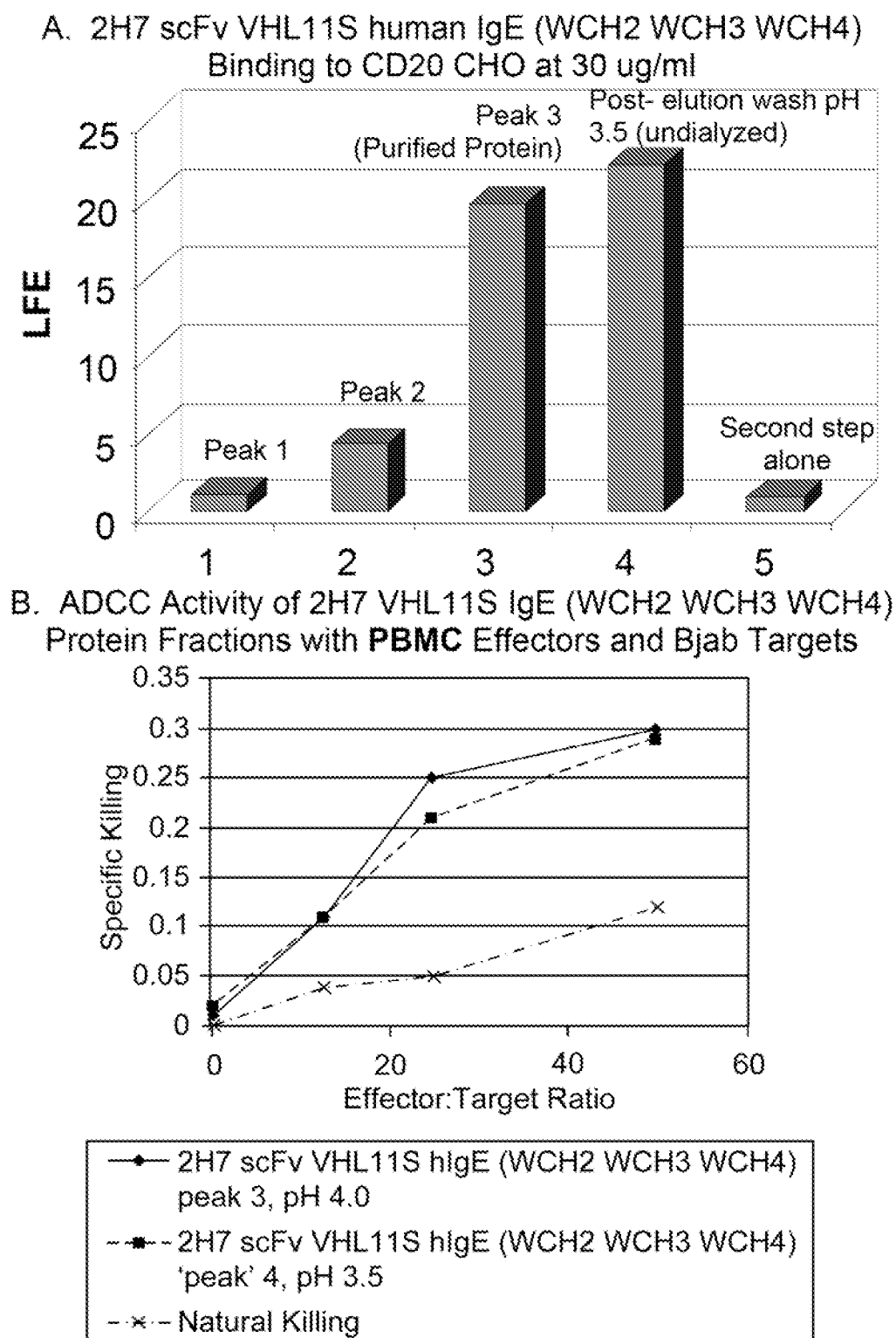
**Fig. 56**

## Binding of 2H7 scFvlg Derivatives to CD20CHO Cells

- A.  No fusion protein  
B.  2H7 scFv hlgE CH2CH3CH4  
C.  2H7 scFv hlgA WH WCH2 WCH3  
D.  2H7 scFv hlgG (SSS-S)H WCH2 WCH3

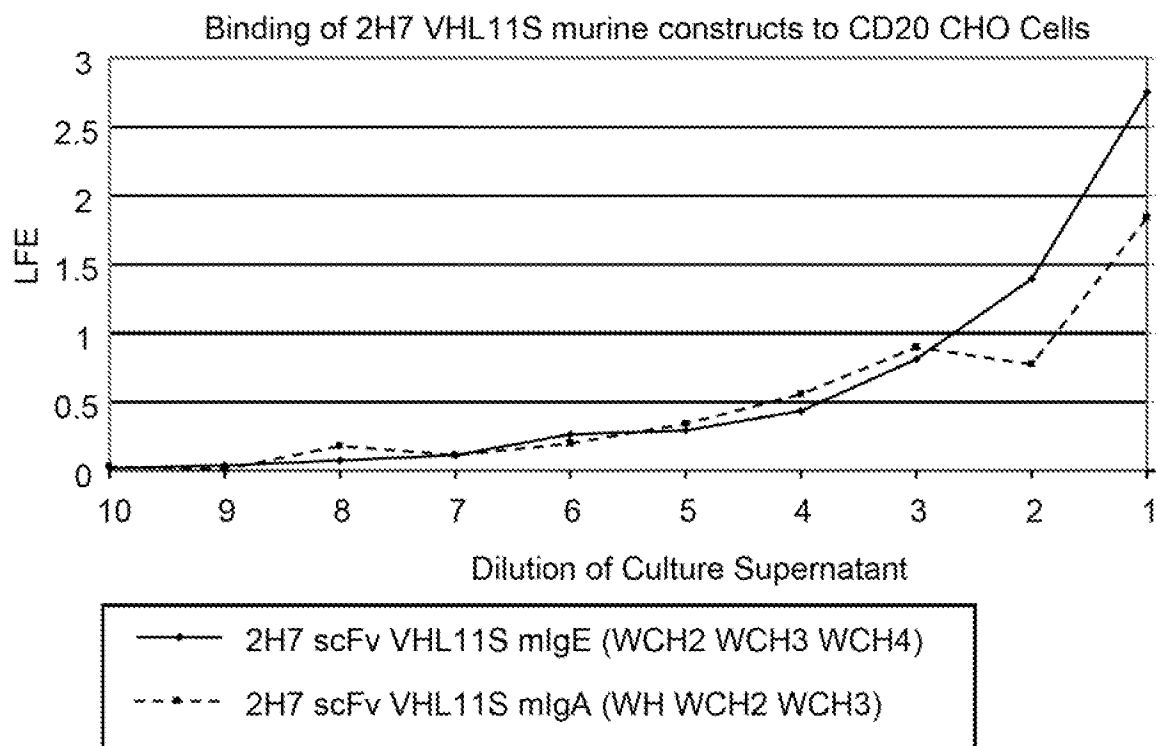


**Fig. 57**

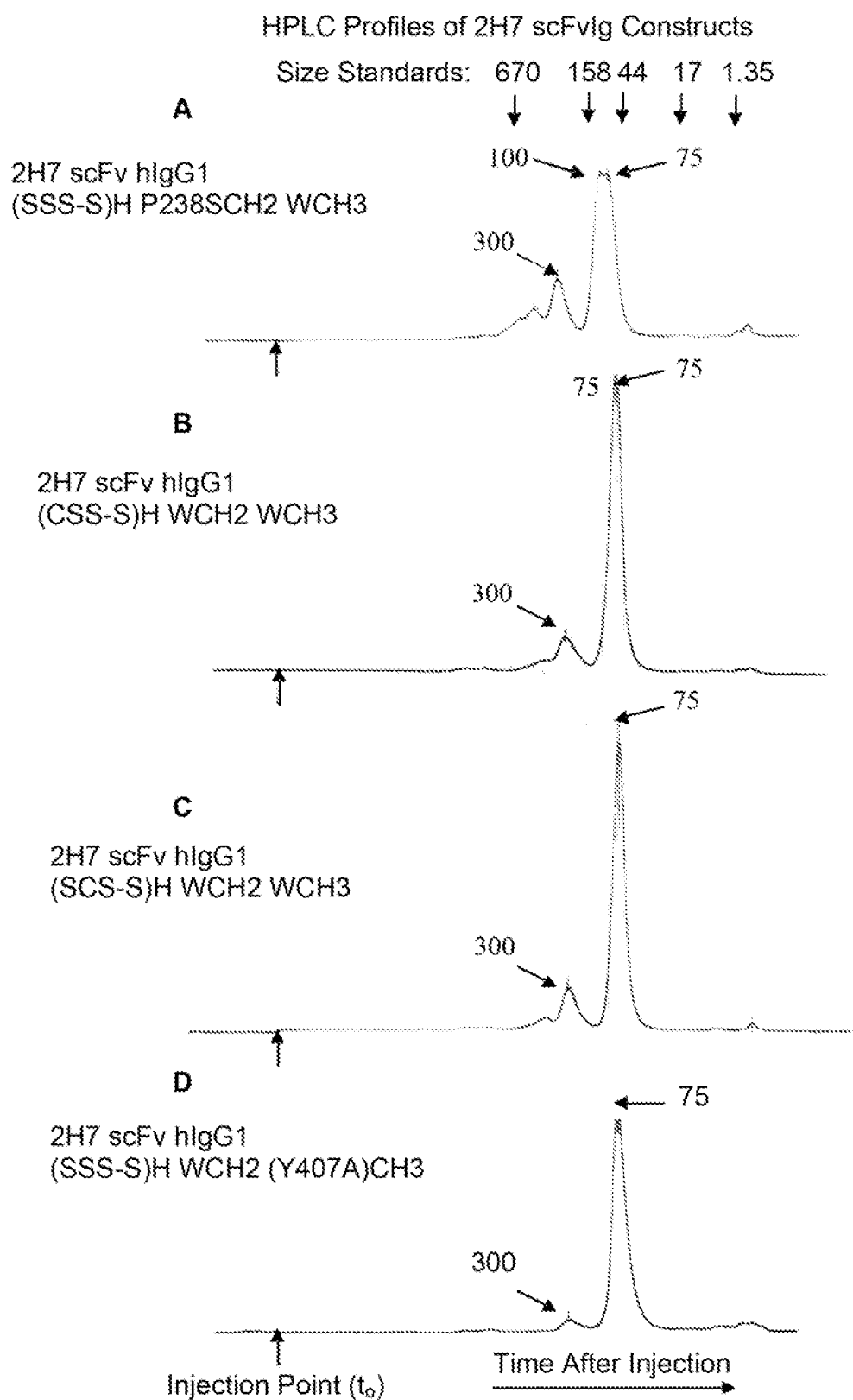


**Fig. 58**

Binding Data for COS derived  $\alpha$ -CD20 (2H7) scFv VHL11S  
mIg E (WCH2 WCH3 WCH4) and  
mIgA (WH WCH2 WCH3) Tailed Molecules

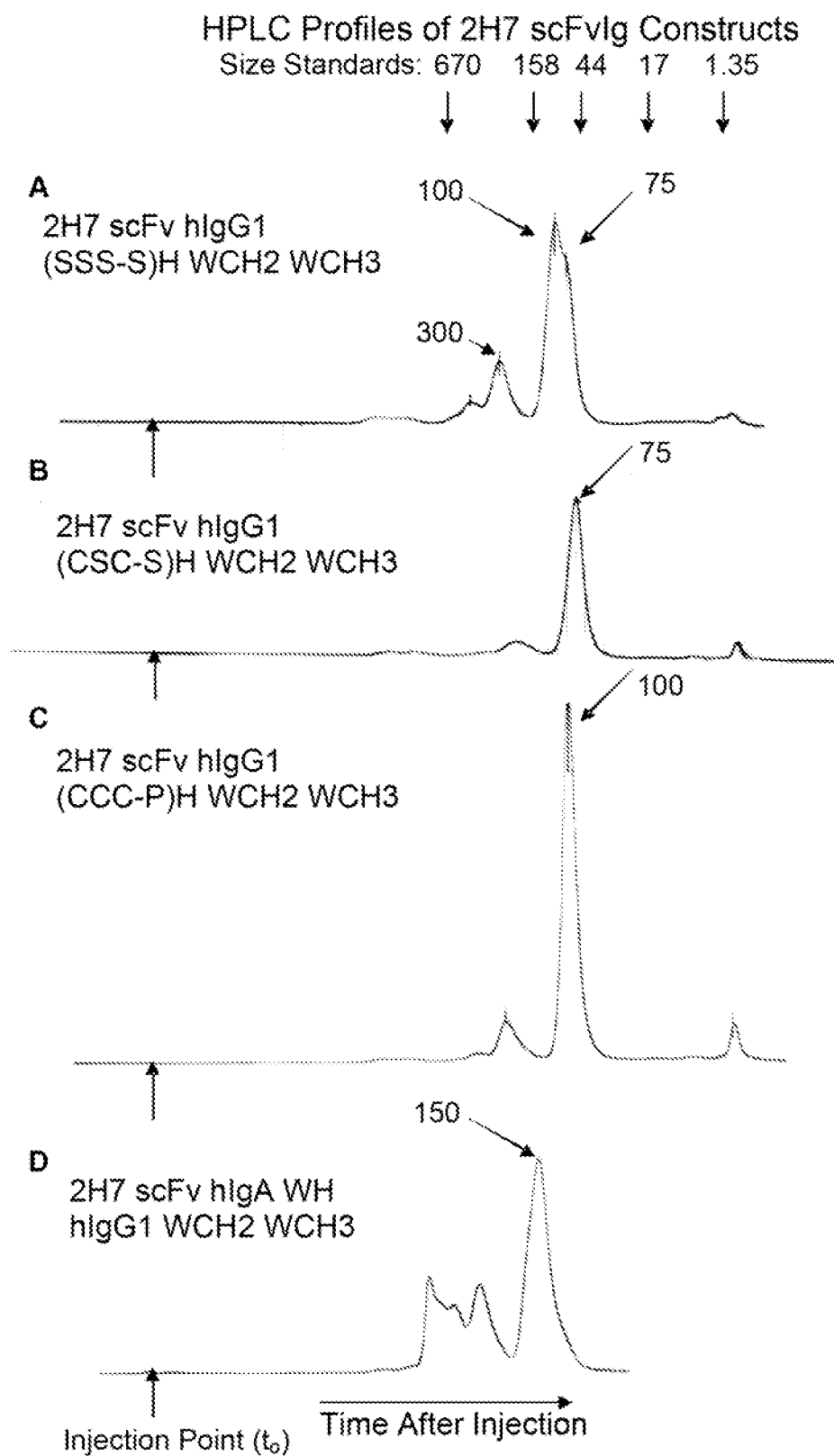


**Fig. 59**

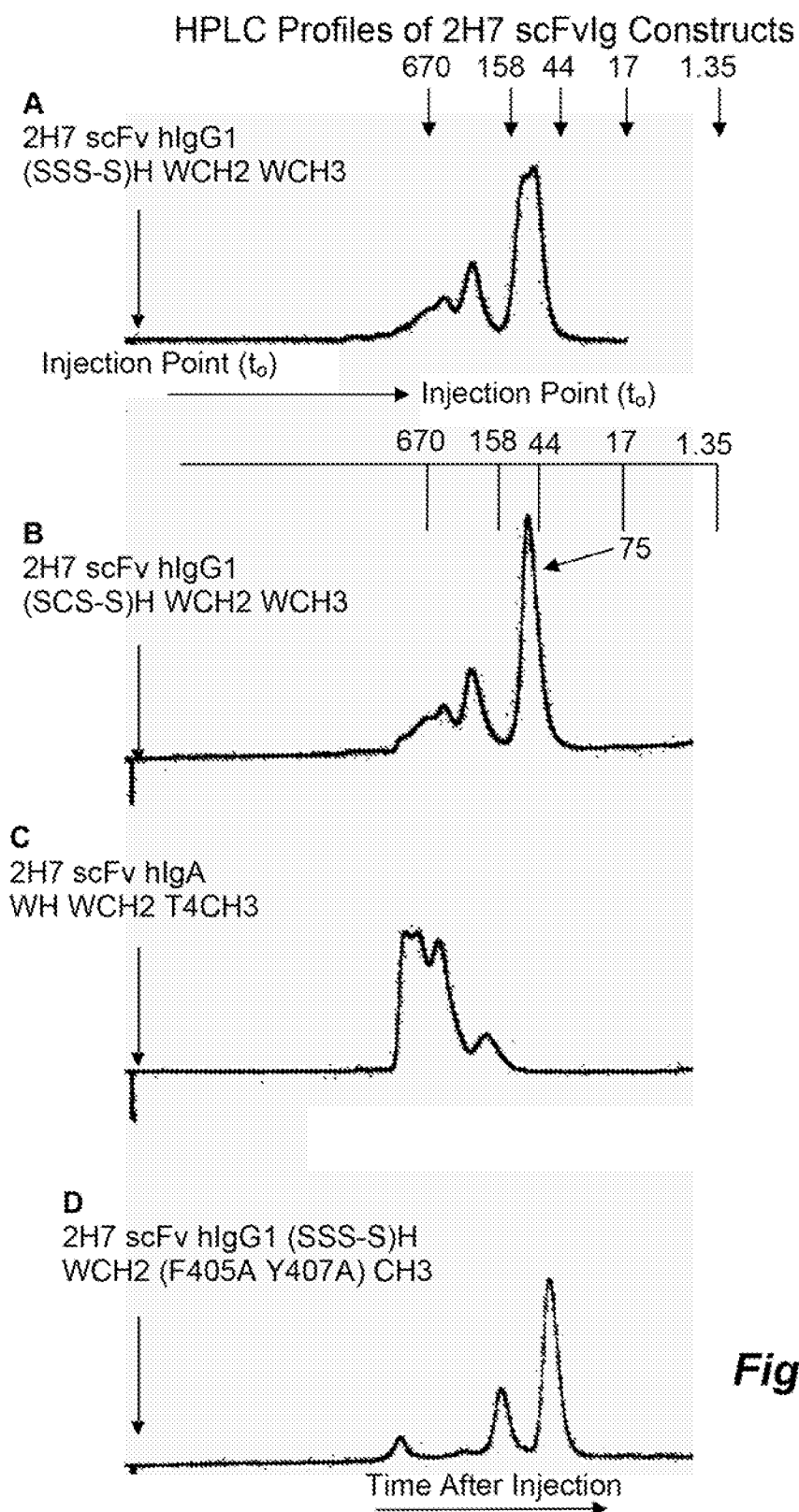


**Fig. 60**



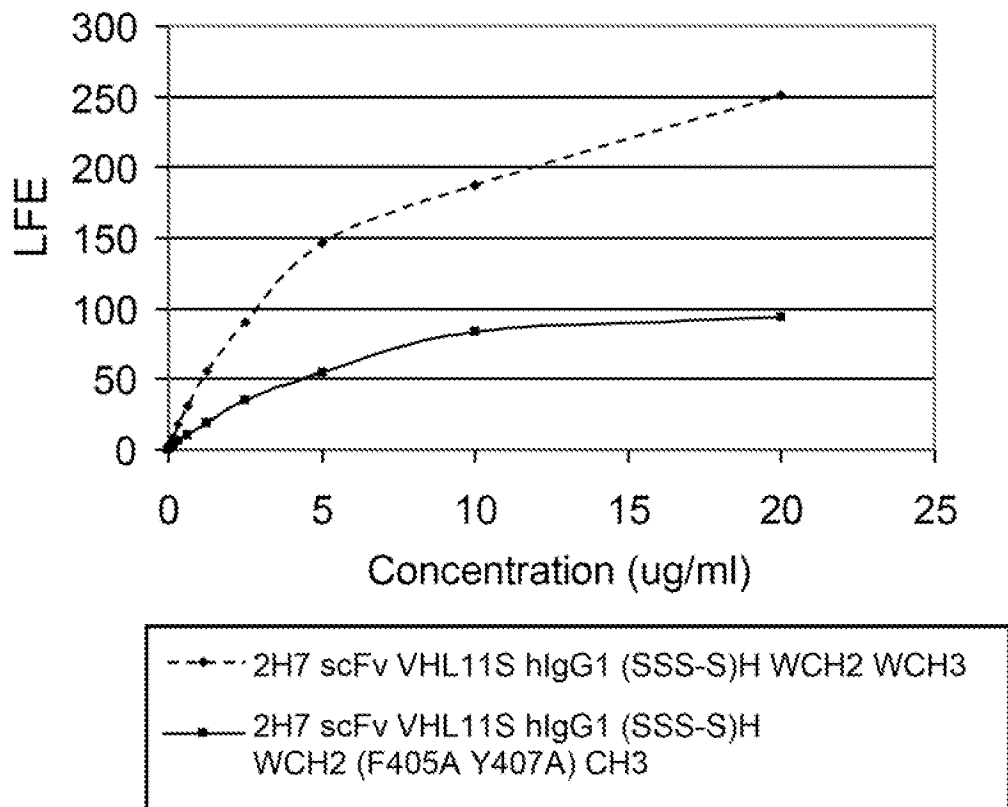


**Fig. 61**



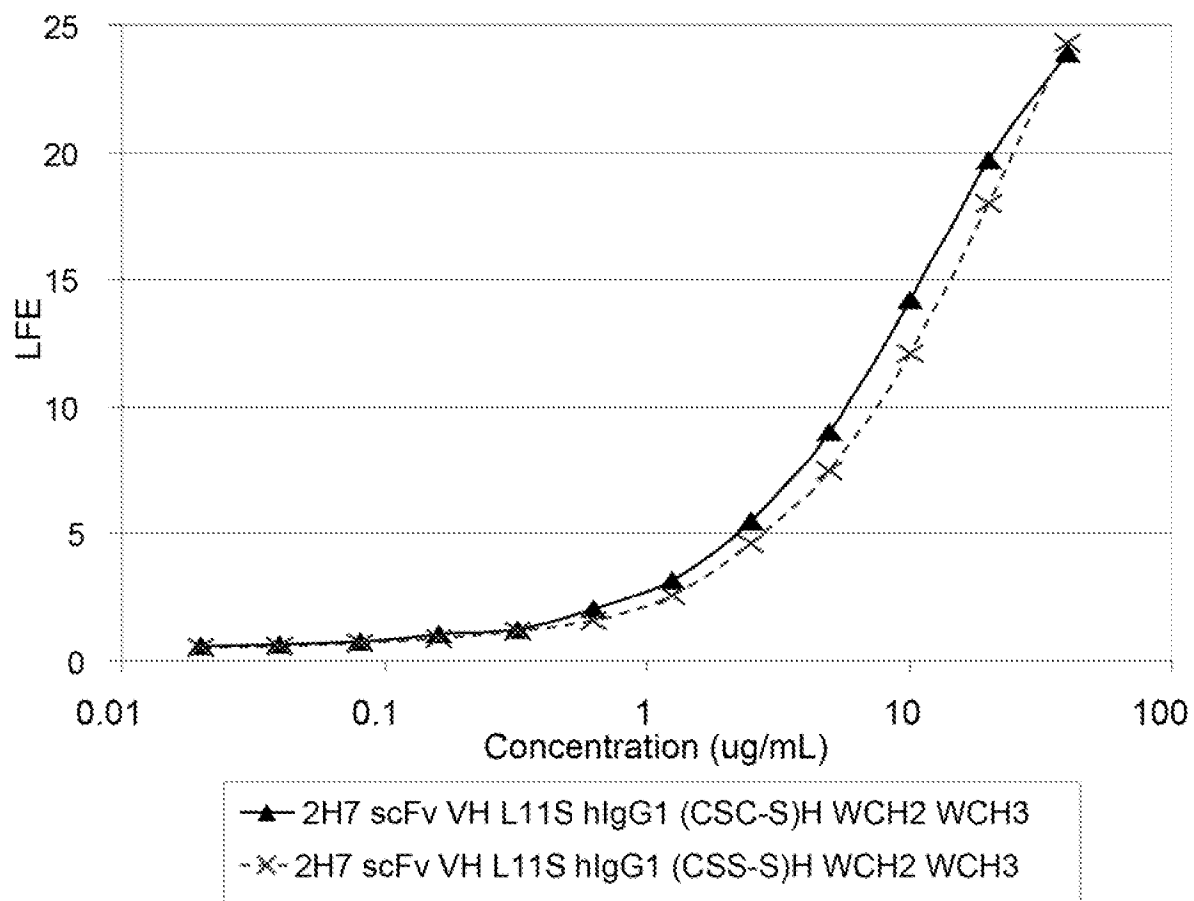
**Fig. 62**

Binding of Purified Proteins from COS Supernatants  
To CD20 CHO cells:  
Differential Effects of CH3 Mutations on Binding



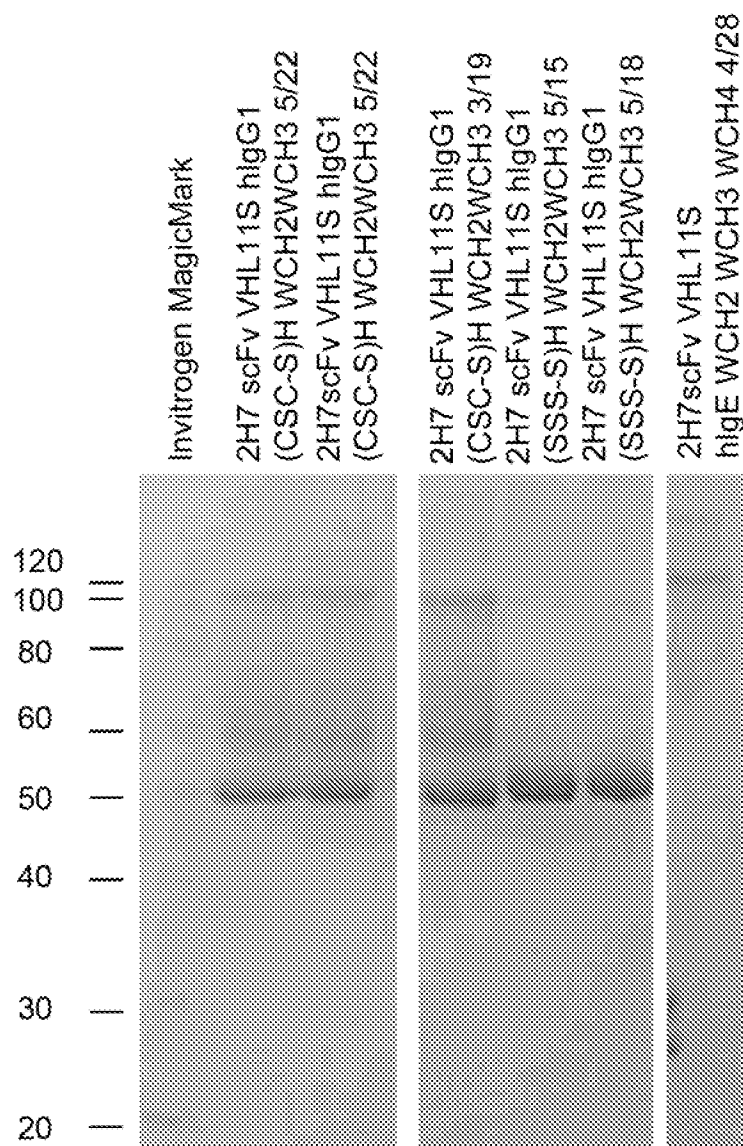
**Fig. 63**

# Binding of FITC conjugated 2H7 scFv VHL11S hlg Proteins to CD20 CH0 Cells



**Fig. 64**

Nonreducing SDS-PAGE on Protein A-Purified Lots  
of 2H7 scFv VHL11S hlg Constructs (10 ug/lane)



**Fig. 65**

Alterations in Human IgG Fc sequence  
that differentially change effector function efficiency

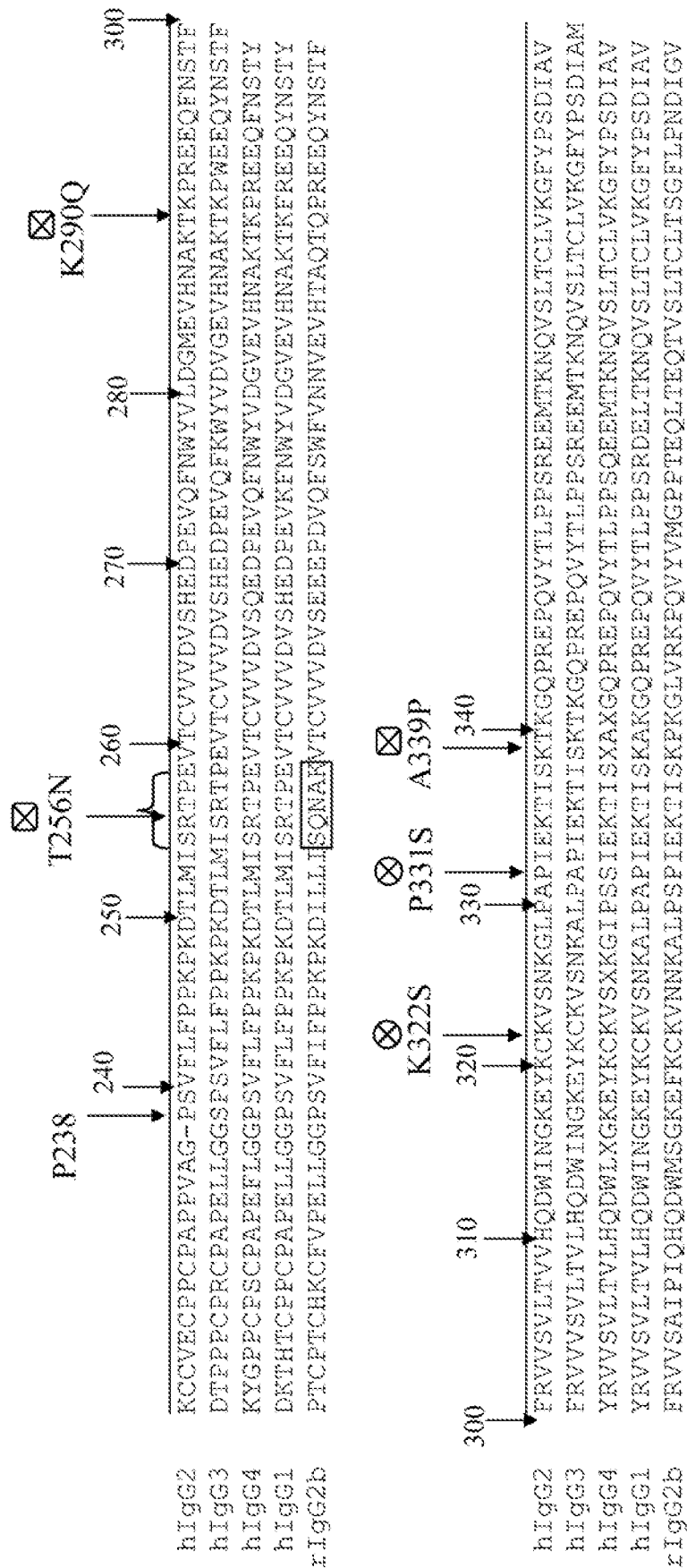
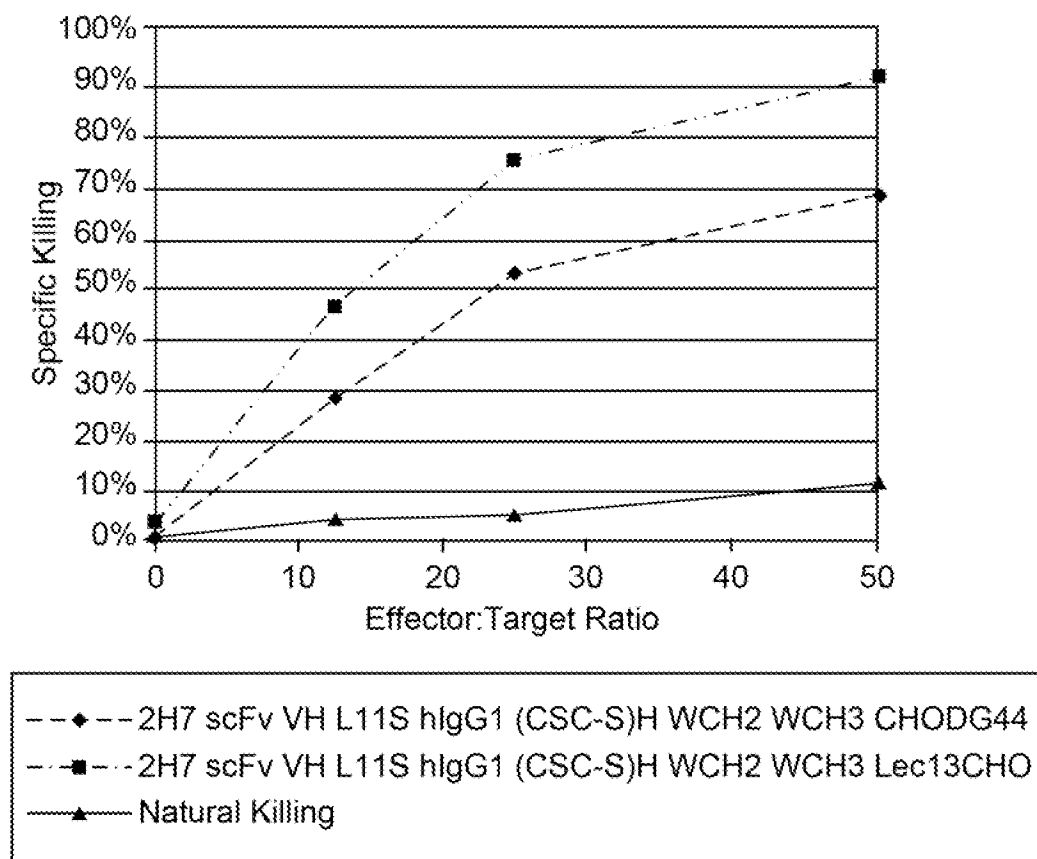


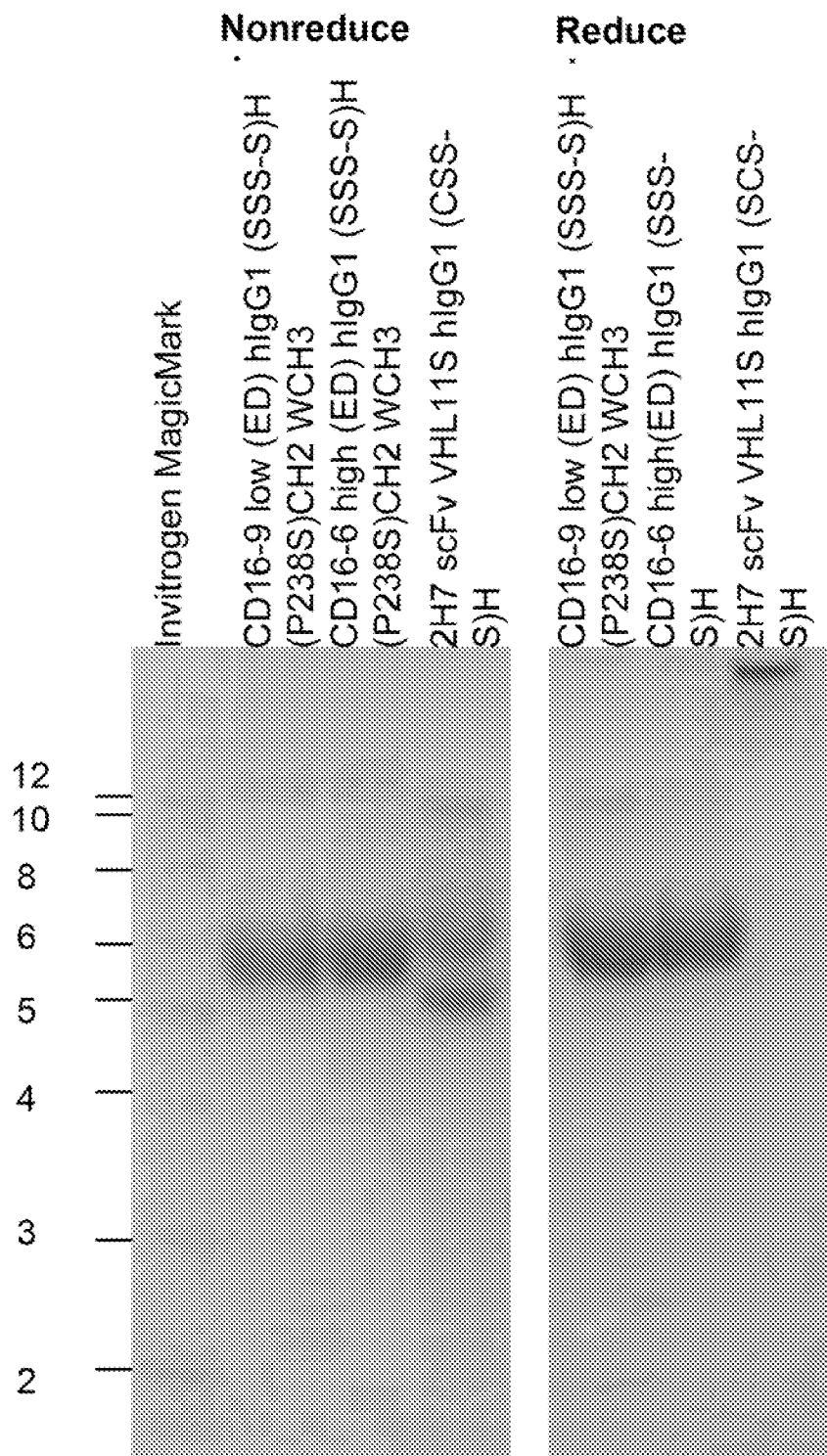
Fig. 66

ADCC Activity of 2H7 scFv VHL11S hIgG1 (CSC-S)H WCH2 WCH3 from  
CHO and Lec13-CHO transient transfections



**Fig. 67**

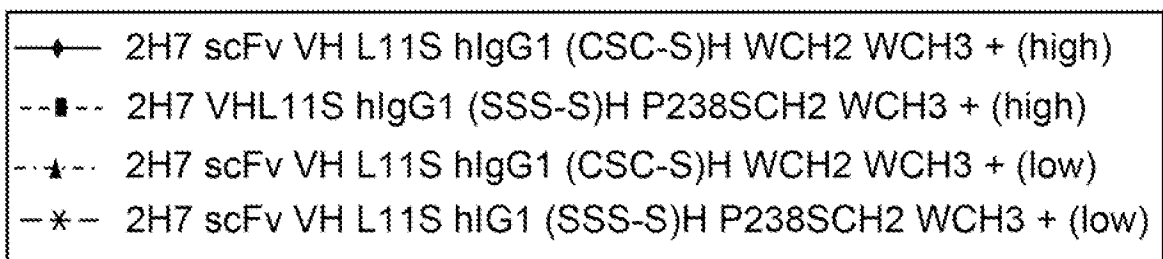
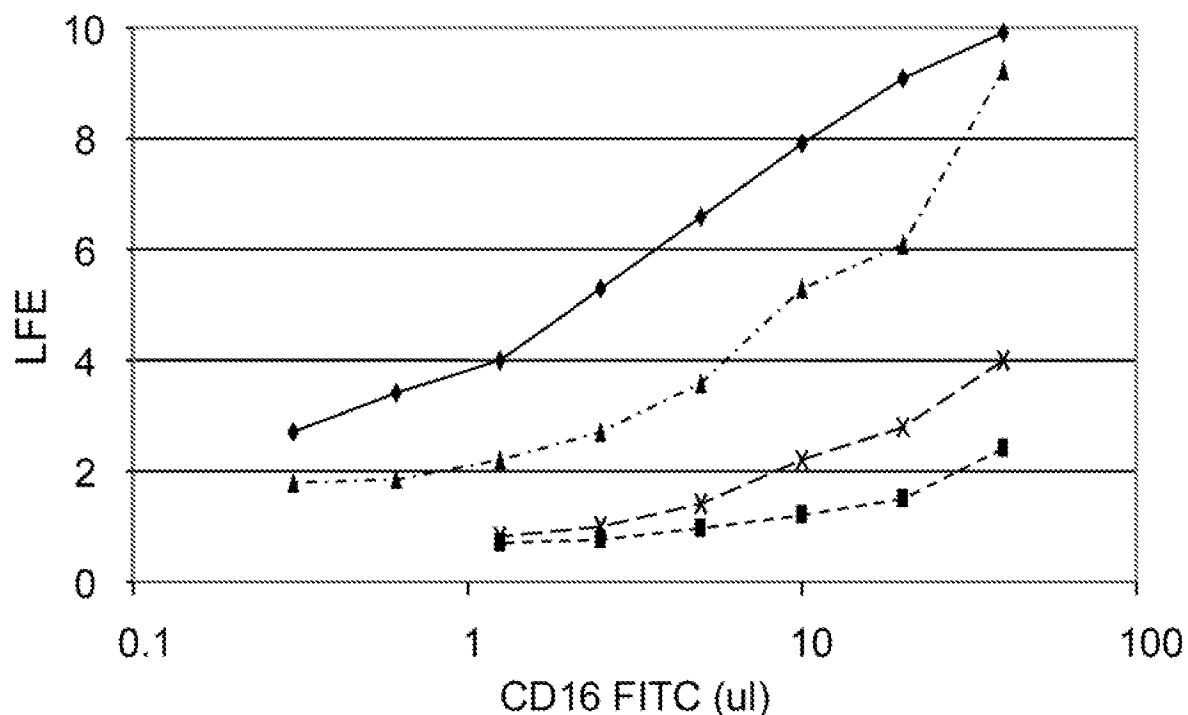
CD16(ED) hlgG1 (SSS-S)H P238S CH2 WCH3 high and  
Low affinity alleles expressed as soluble molecules



**Fig. 68**

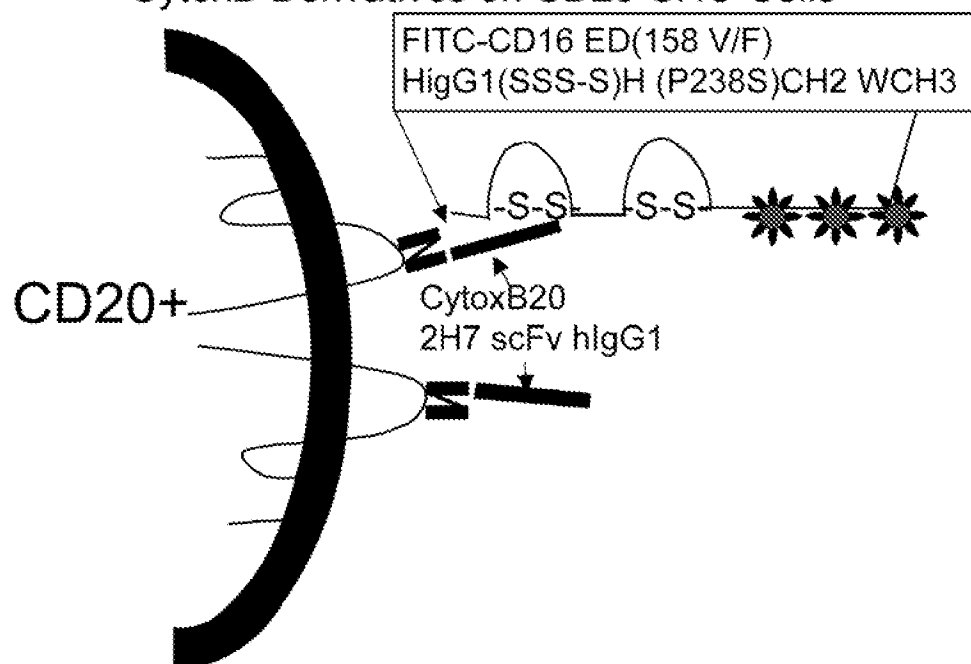


Binding of soluble CD16-FITC high and low affinity fusion proteins to 2H7 scFv VHL11S hlgG1 (CSC-S)H WCH2WCH3 or (SSS-S)H (P238S)CH2WCH3 on CD20CHO Targets

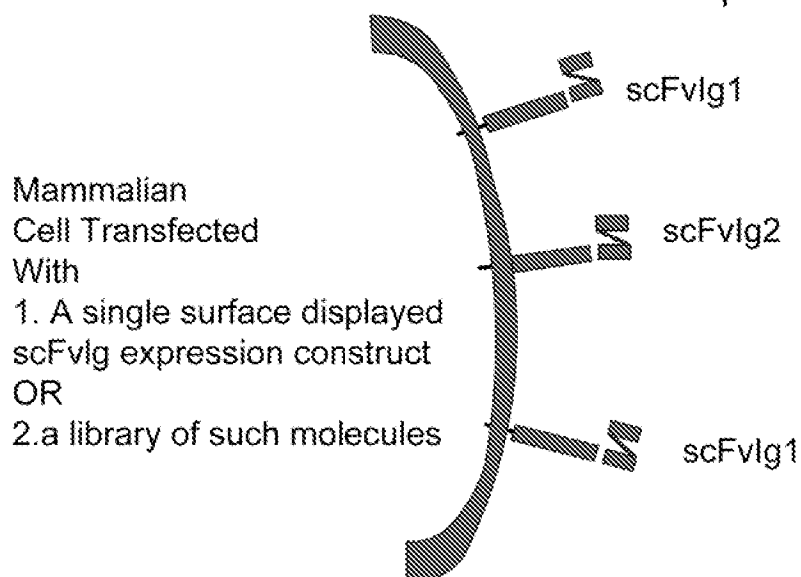


**Fig. 69**

Binding of FITC Labeled, Recombinant Human  
CD16(ED) extracellular domain -Ig Fusion Protein to  
CytosB Derivatives on CD20 CHO Cells



Expression of surface displayed SMIPs links  
modified cDNAs with the altered fusion proteins



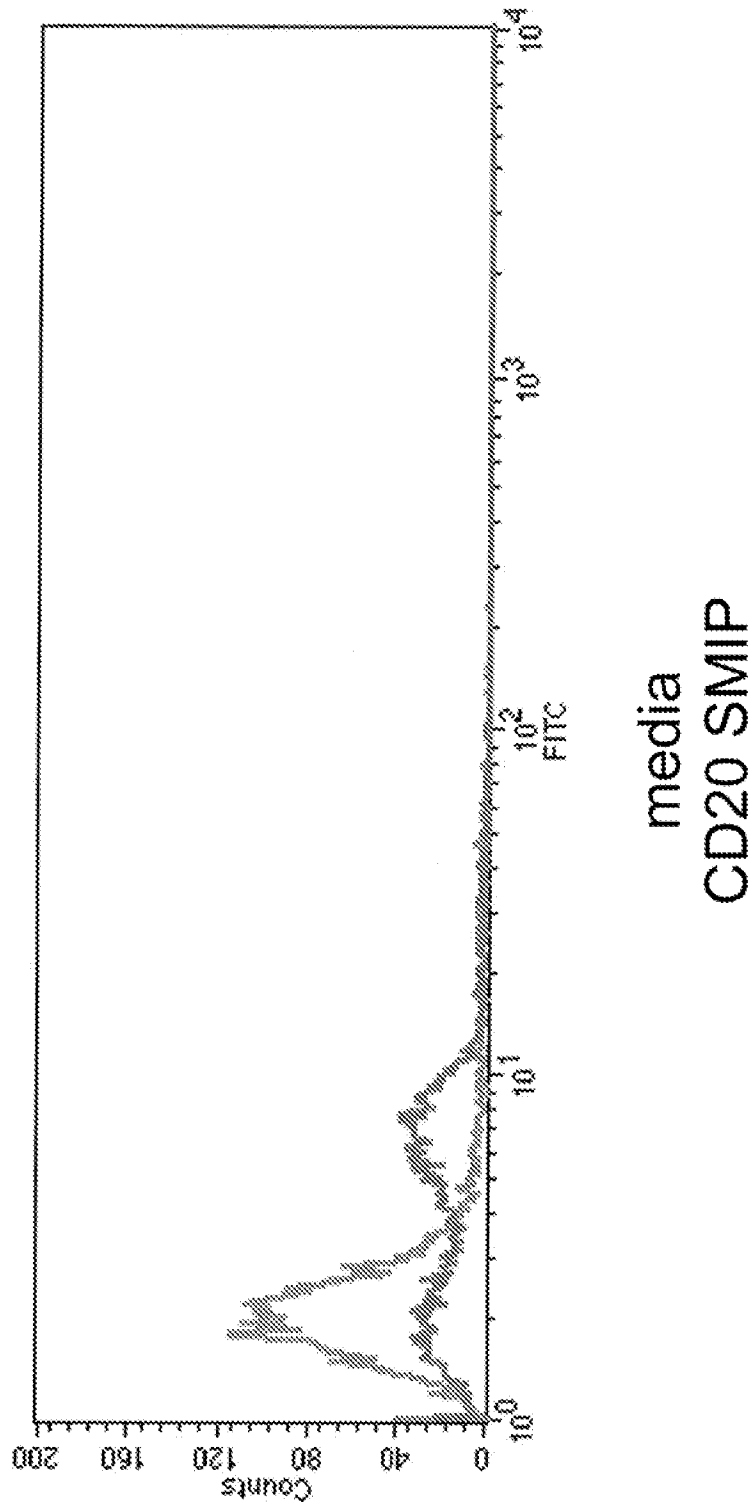
**Fig. 70**

### CD37 mAbs and scFvlg Induce Apoptosis

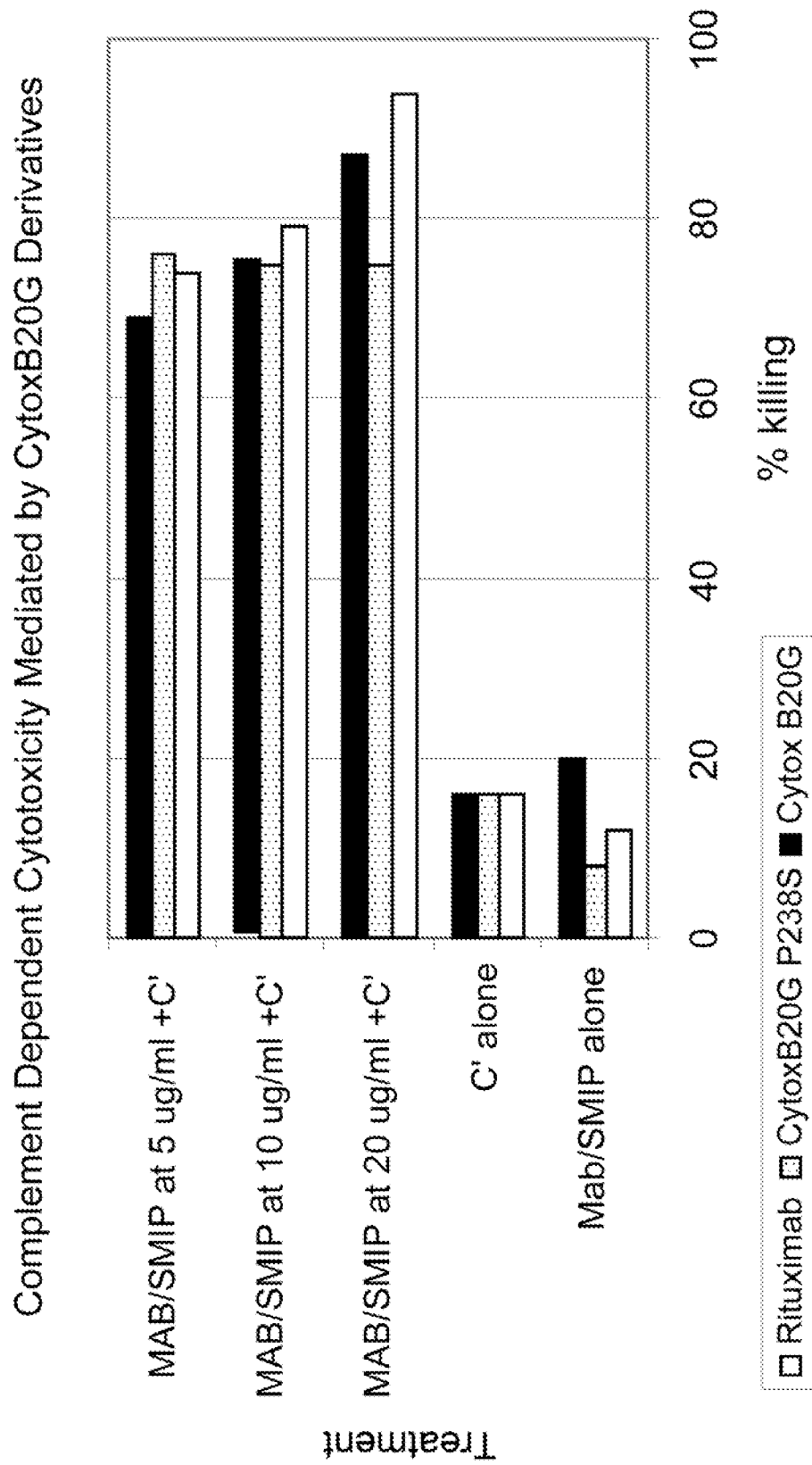
|        |                 |                    |                   |
|--------|-----------------|--------------------|-------------------|
| scFvlg | Bjab Staining   | Annexin V Positive |                   |
|        | No scFvlg       | 17.5               |                   |
|        | 2H7 MH          | 27                 |                   |
|        | G28-1 MH        | 30.6               |                   |
|        | G28-1 IgAH      | 28.9               |                   |
|        | HD37 MH         | 29.1               |                   |
|        | (2H7+G28-1)MH   | 41                 |                   |
|        | (2H7+HD37) MH   | 37.1               |                   |
|        | (G28-1+HD37) MH | 35.3               |                   |
|        |                 |                    |                   |
| mAbs   |                 |                    | plus GAM          |
|        | Ramos           | AnnexinV Positive  | AnnexinV positive |
|        | cells alone     | 3                  | 3.3               |
|        | 2H7 Mab         | 1.4                | 3.1               |
|        | G28-1 Mab       | 18.3               | 8.7               |
|        | HD37 Mab        | 3.7                | 3.1               |
|        | G28-5           | 3.9                | 8.3               |
|        | 2H7+G28-1       | 32.3               | 35.7              |
|        | 2H7+HD37        | 5                  | 10.5              |
|        | 2H7+G28-5       | 5.7                | 19.4              |
|        | HD37+G28-1      | 26.9               | 50                |
|        | HD37+G28-5      | 8.2                | 18.4              |
|        | G28-1+G28-5     | 39.5               | 68.3              |

**Fig. 71**

Caspase 3 Activity in Ramos Cells after 4 Hour  
Incubation with CytoxB20G SMIP



*Fig. 72*



**Fig. 73**

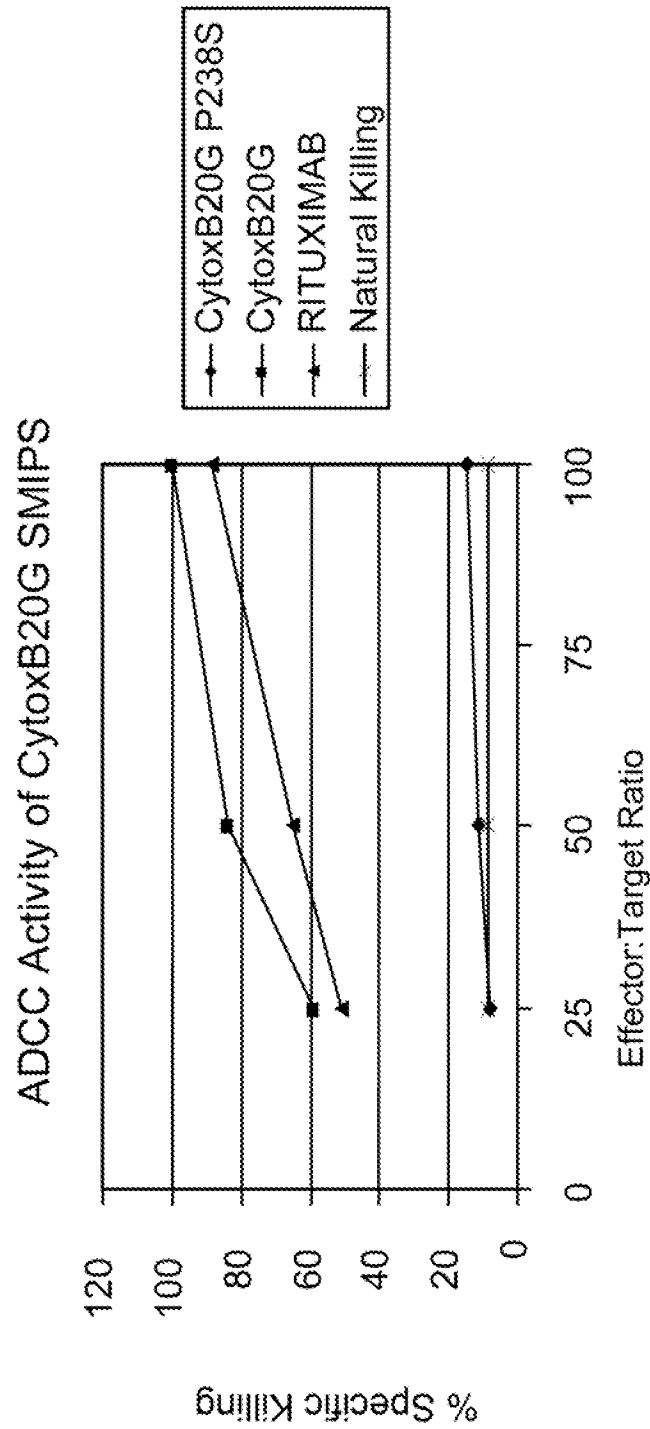


Fig. 74

Binding of soluble FITC-CD16 to CytotoxicB20G on CD20 CHO Cells

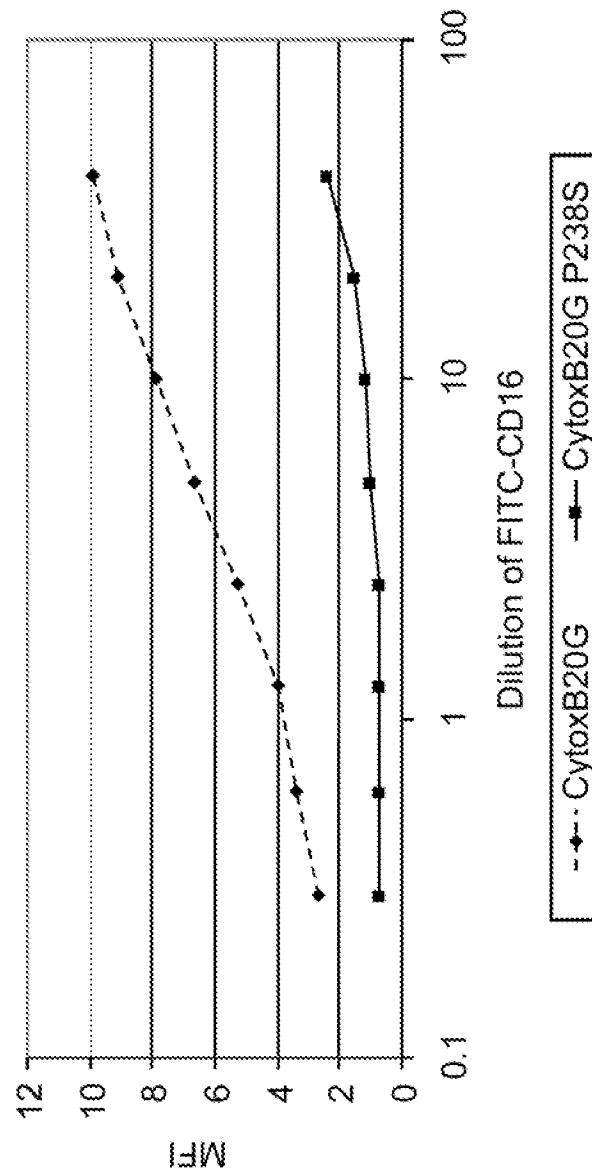
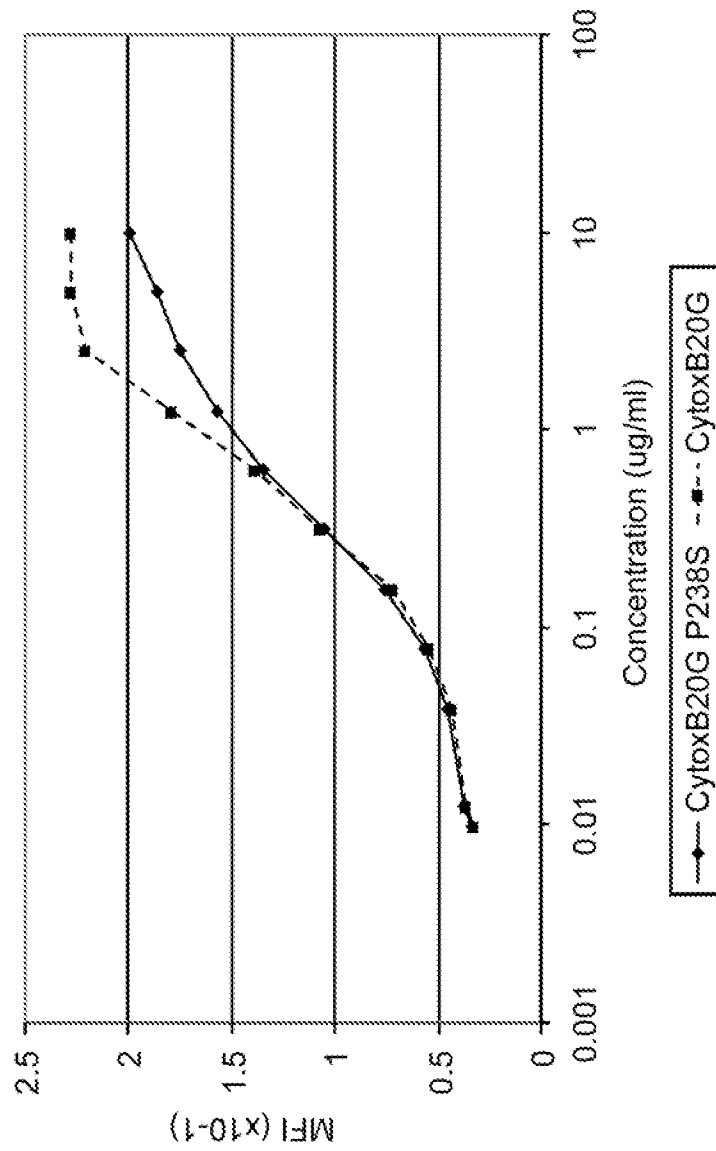


Fig. 75

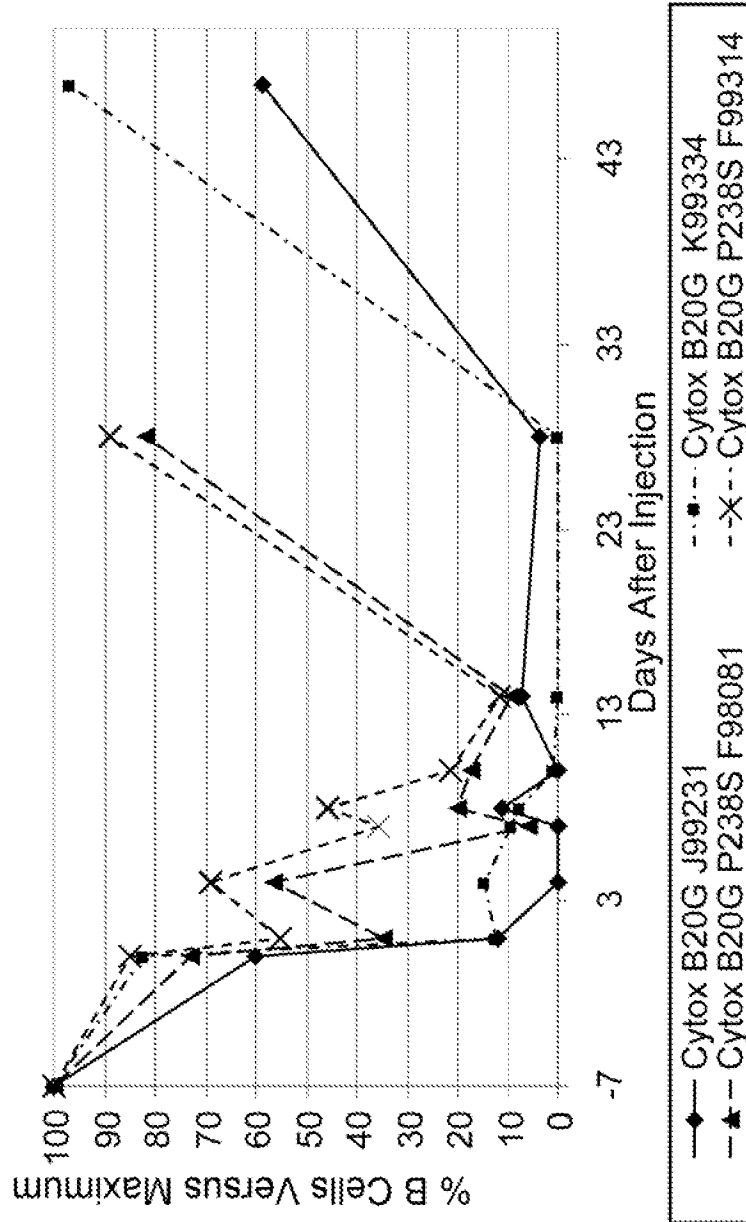
CytoxB20G and Cytox B20G P238S SMIPS bind to U937 Cells  
Expressing Fc $\gamma$ RI High Affinity FcR



**Fig. 76**



**B Cell Depletion Mediated by Cytotoxic B20G SMIPs**



**Fig. 77**

SEC on Cytob37G SMIPs containing SSS and SSC hinge  
Domains from Human IgG1

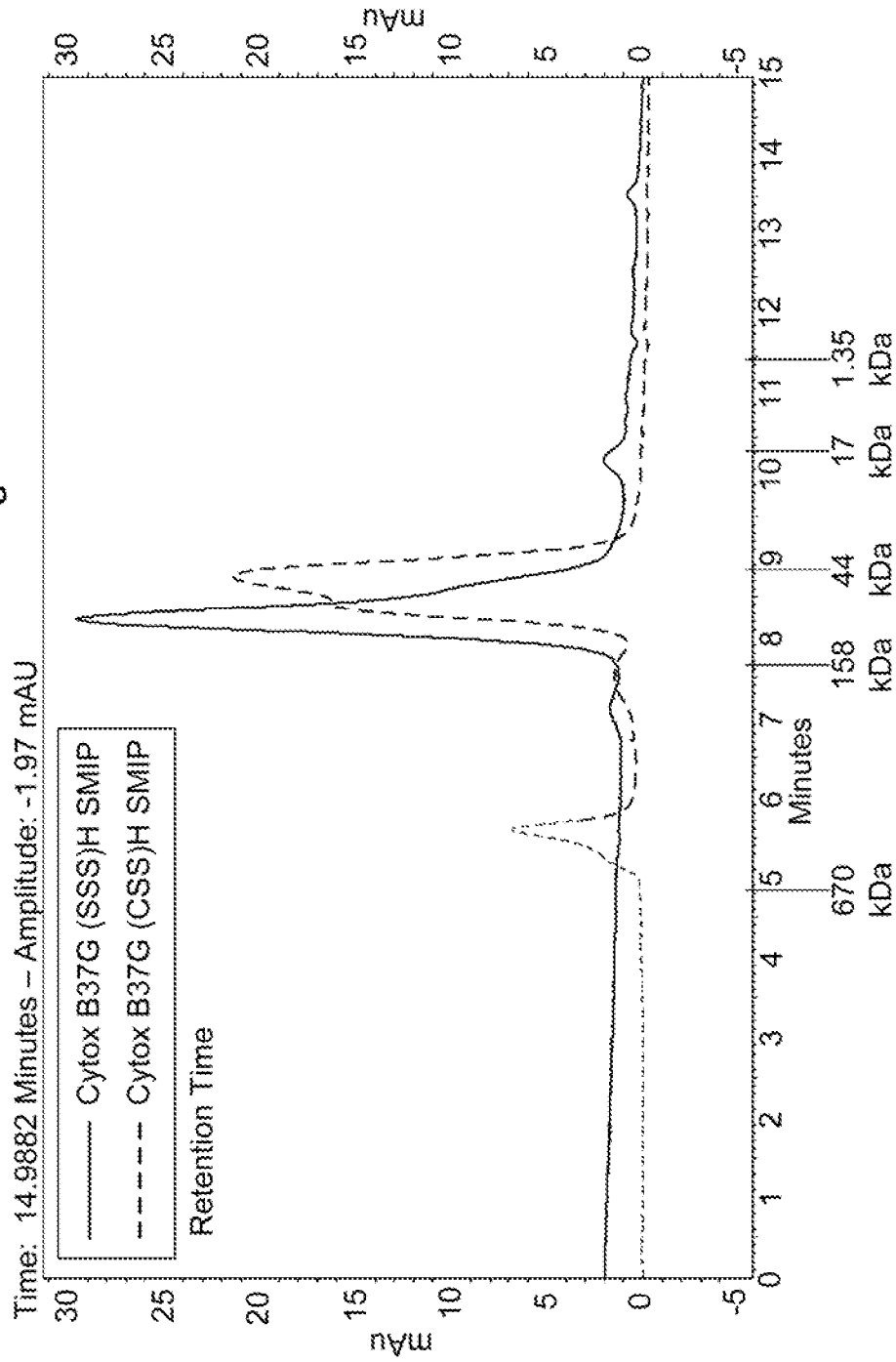
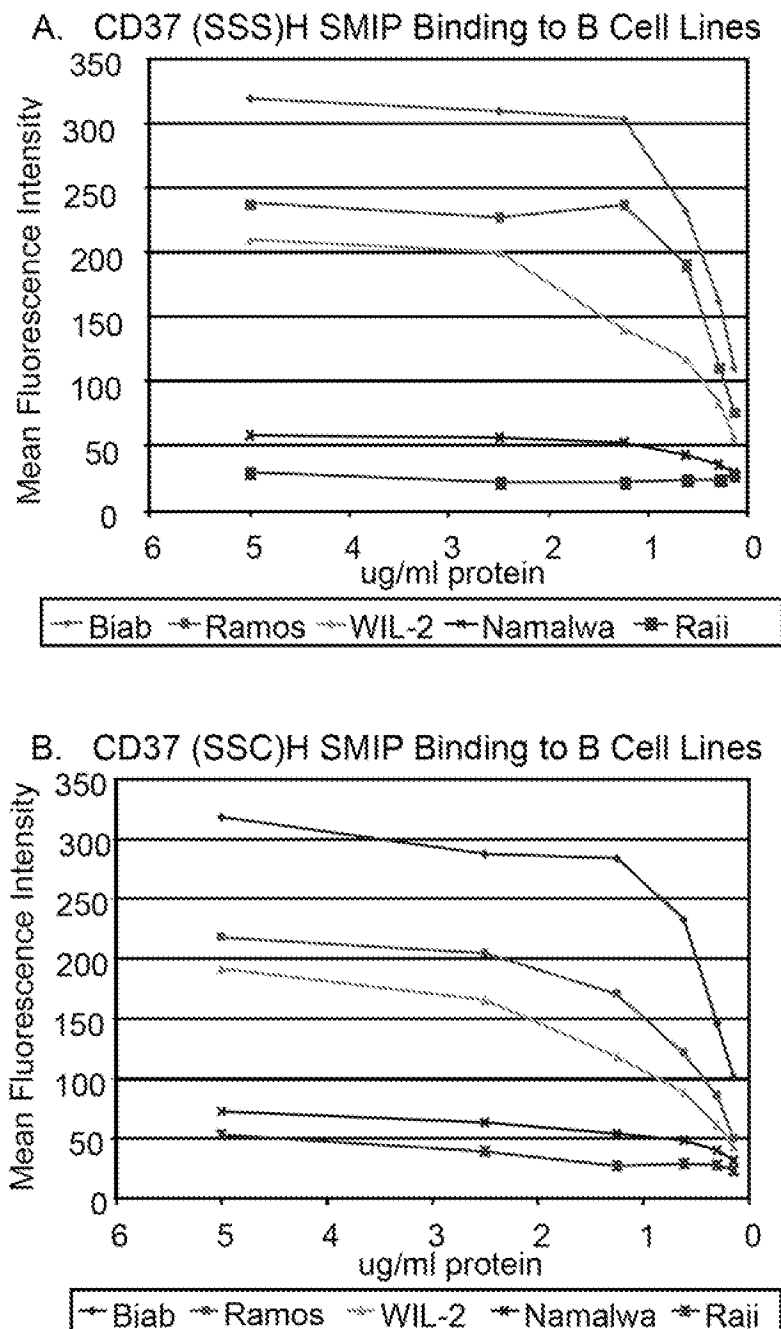


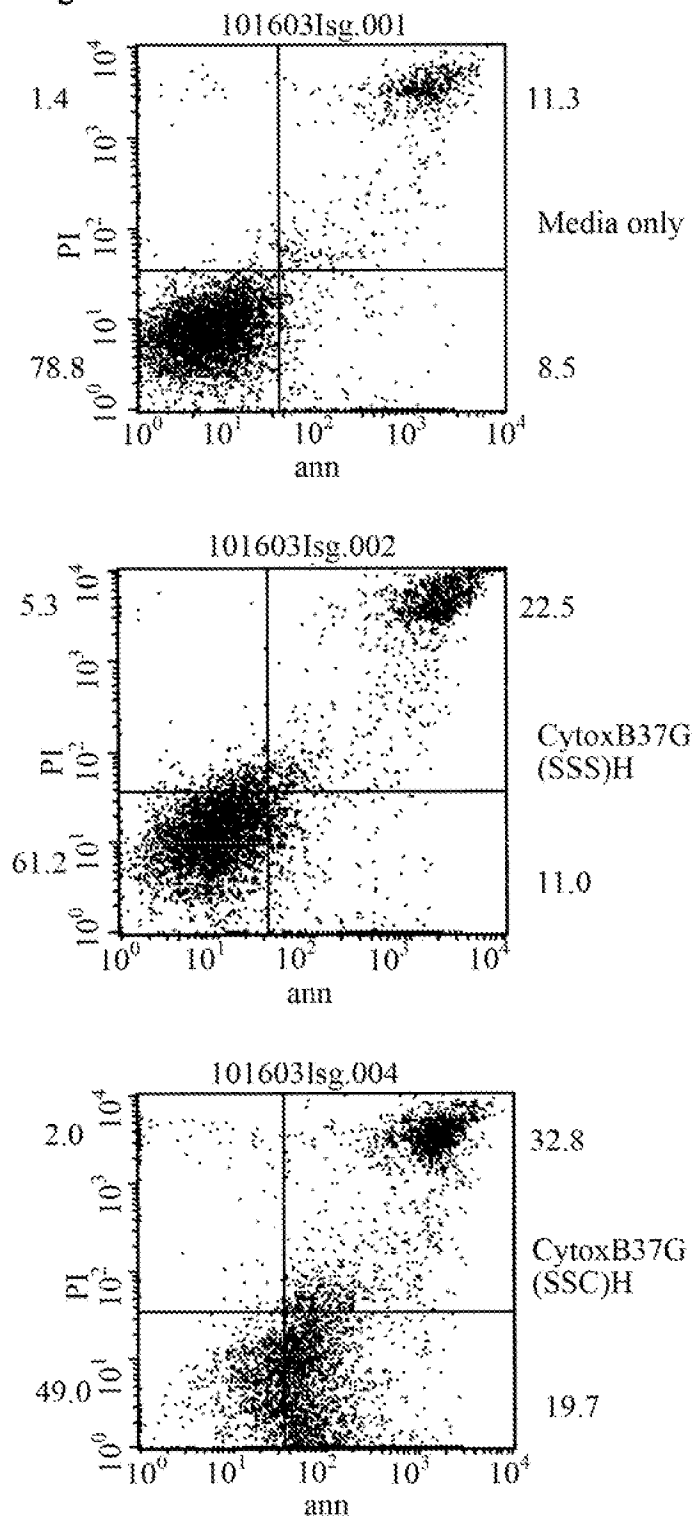
Fig. 78

Binding of CytoxB37G SMIPs to B Cell Lymphoma Cell Lines



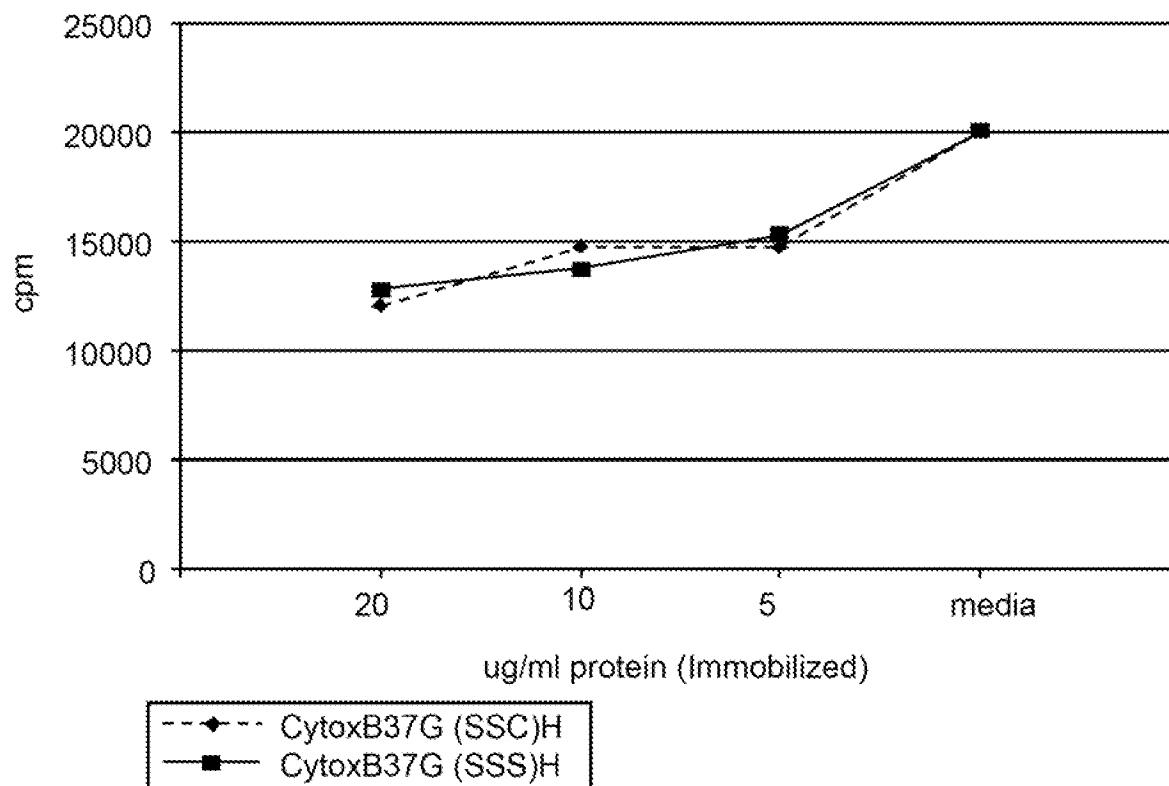
**Fig. 79**

AnnexinV-PI Staining of Ramos Cells Incubated 24 hours with CD37 SMIPS



**Fig. 80**

Thymidine Incorporation (Growth Inhibition) in Ramos  
B-cells after a 48 Hour Incubation with anti-CD37 SMIPS



**Fig. 81**

The Induction of Apoptosis in Ramos B-cells after a 20 hour incubation with different combinations of CD20 and CD37 targeted SMIPS

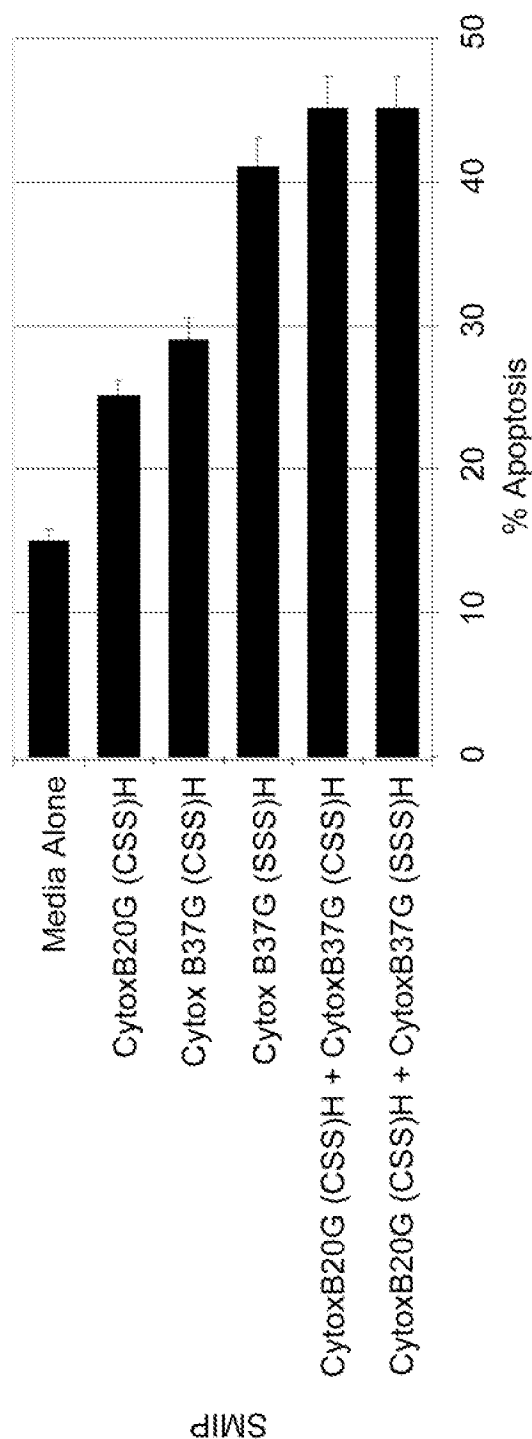
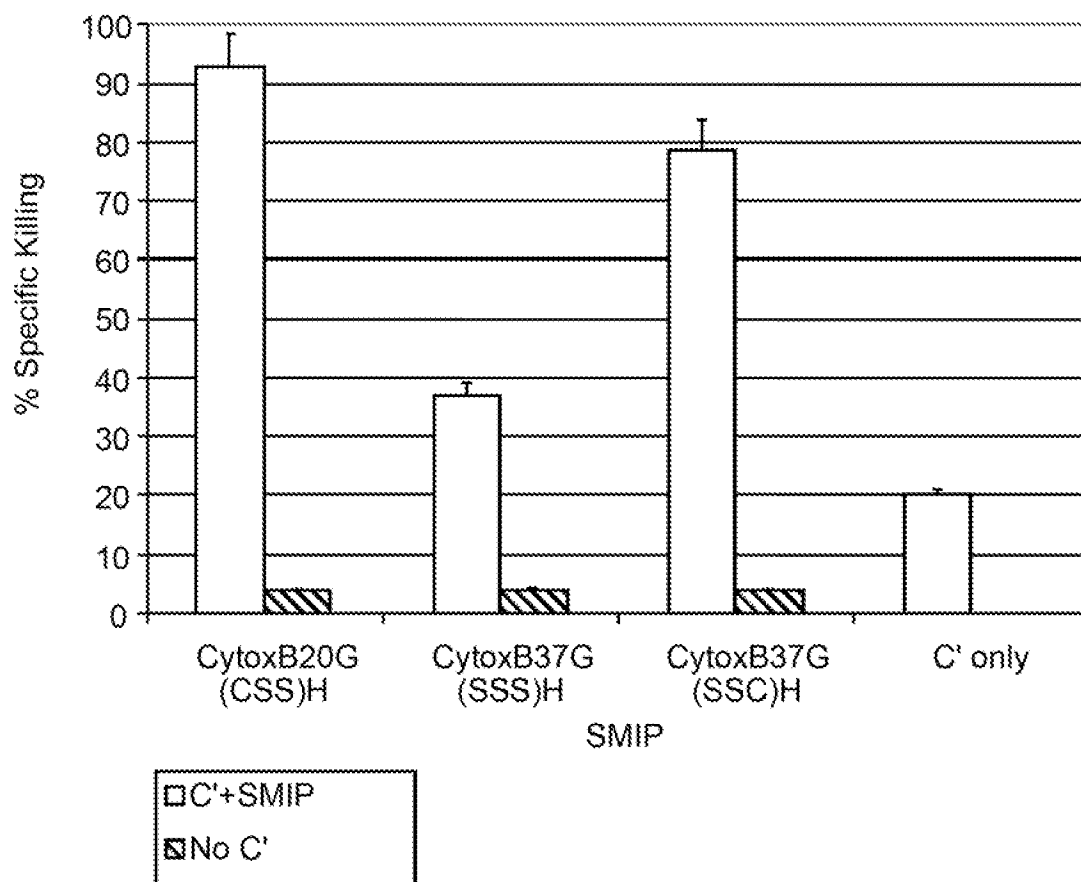


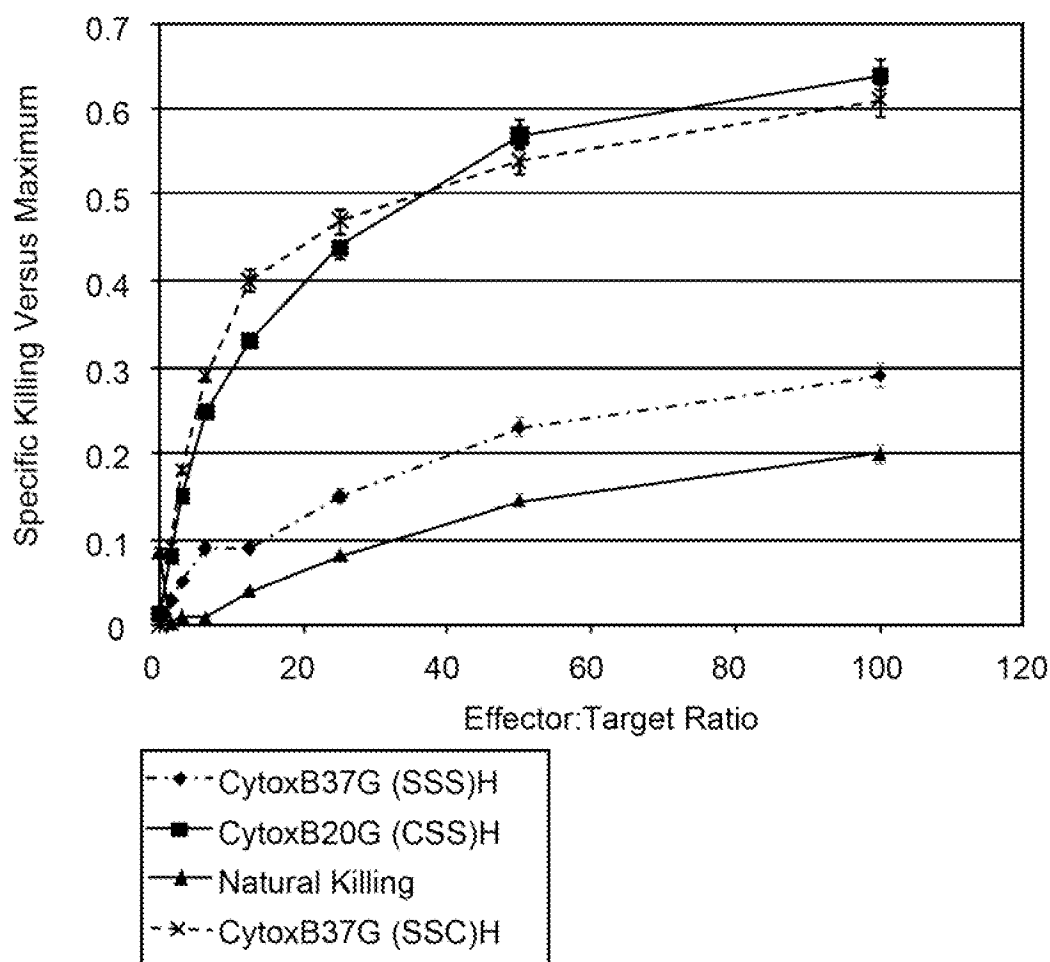
Fig. 82

### Complement Mediated Killing of Ramos Cells by CD37 SMIPs



**Fig. 83**

### ADCC Activity of CD37 SMIPs Against Ramos Targets



**Fig. 84**